



The State University  
of New York

Office of the  
Chief Financial Officer

State University Plaza  
Albany, New York 12246

[www.suny.edu](http://www.suny.edu)

June 15, 2016

President Robert J. Jones  
University at Albany  
1400 Washington Avenue  
Albany, NY 12222

Re: START-UP NY

Dear President Jones:

Congratulations. Attached is the approved application for the University at Albany's Amended Campus Plan for Designation of Tax-Free Area(s).

After completion of the required 30-day comment period, please submit evidence of stakeholder notification, along with your complete campus plan, to Empire State Development at [designations@esd.ny.gov](mailto:designations@esd.ny.gov).

Best of luck to you and the University at Albany in launching the START-UP NY program.

Best Regards,

A handwritten signature in black ink, appearing to read "Eileen McLoughlin".

Eileen McLoughlin  
Senior Vice Chancellor for  
Finance and Chief Financial  
Officer

Attachment

Copy: SUNY START-UP NY Proposal Review Team

To Learn  
To Search  
To Serve

the Power of The SUNY logo is a blue circle with the word "SUNY" inside.



The State University of New York

SUNY START-UP NY  
Campus Plan for Designation of Tax-Free Area(s) Memorandum (CPM)

To: SUNY Chancellor

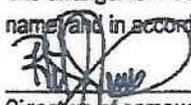
From: Robert J. Jones, President

Re: University at Albany (UAlbany) Campus Plan for Designation of Tax-Free Area(s)

Date: April 7, 2016

For campus Office of the President:

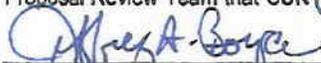
The arrangement documented in the attached Campus Plan is aligned to the academic mission of UAlbany name and in accordance with all SUNY policies, procedures, and guidelines.

  
Signature of campus President

Robert J. Jones  
Print Name

-----FOR SUNY SYSTEM ADMINISTRATION USE ONLY-----

For SUNY's START-UP NY Proposal Review Team Co-Chair: It is recommended by the SUNY START-UP NY Proposal Review Team that SUNY [approve/reject] the attached Campus Plan:

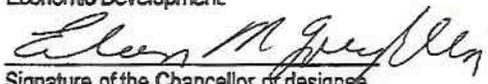
  
Proposal Review Team Co-Chair

6-14-16  
Date

Jeffrey A. Boyce  
Print Name

For SUNY Office of the Chancellor:

The attached Campus Plan is hereby [approved/rejected] for campus submission to the NYS Commissioner of Economic Development.

  
Signature of the Chancellor or designee

6/16/16  
Date

Eileen McLoughlin  
Print Name



The State University  
of New York

To: Howard Zemsky, President & CEO, Empire State Development and Commissioner, NYS  
Department  
of Economic Development  
From: Robert J. Jones  
Re: UAlbany Campus Plan for Designation of Tax-Free Area(s)  
Date: June 9, 2016

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I, Robert J. Jones of SUNY Albany hereby certify the following:

- a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality or municipalities in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and
- b.) we comply with Public Officers Law Section 74; State University of New York's Policy on Conflict of Interest and; ( insert any other applicable policies or guidelines, including local campus policies or where applicable, the Research Foundation's Conflict of Interest Policy and Guidelines for the Management of Conflicts of Interest, or other applicable campus foundation policies or guidelines); and attached copies of the policies and/or guidelines herewith; and
- c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and
- d.) we are aware of the non-governmental use limitations associated with state issued tax exempt bonds and if our proposed Tax-Free NY Area was financed with tax exempt bonds, we will: 1.) make potential businesses aware of these limitations when marketing property; and 2.) take appropriate steps to ensure that non-governmental use of property funded with tax-exempt bonds will not jeopardize the tax exempt status of state issued bonds; and
- e.) we consulted with the municipality or municipalities in which such land or space is located prior to including such space or land in the proposed Tax-Free NY Area and we have given preference to underutilized properties; and
- f.) we have not relocated or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, parking, or any other facility, space or program that actively serves students, faculty or staff in order to created vacant land or space to be designated as a Tax-Free NY Area; and
- g.) the information contained in the enclosed application is accurate and complete.

2/12/2014

SIGNATURE



DATE

6/14/16

Attachments/Enclosures:

- 1.) Tax-Free Area Plan with Polygon shapefile of campus area (if available) and/or point data of vacant space (if available), **OR** AutoCAD rendering of proposed tax-free area on a scaled campus map and/or campus map shaded to indicate building containing proposed tax-free space
- 2.) Excel spreadsheet of property to be designated
- 3.) Applicable conflict of interest policies
- 4.) Evidence of submission of Tax-Free Area Plan to interested parties



START-UP NY CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name: University at Albany, State University of New York  
Campus Contact Name: Matt Grattan  
Campus Contact Title: Director of Community & Economic Development  
Campus Contact E-mail: mgrattan@albany.edu  
Campus Contact Phone: 518-956-8177

**THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:**

- 1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:
  - i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

Name: University at Albany
Campus Address: 1400 Washington Avenue, Albany, NY 12222
Address(es) of Proposed Tax-Free NY Area(s) : <ol style="list-style-type: none"><li>1. Uptown Campus – 1400 Washington Avenue, Albany NY 12222 – space</li><li>2. Downtown Campus – 135 Western Avenue, Albany NY 12203 – space</li><li>3. East Campus – 5 University Place, Rensselaer, NY 12144 – space</li><li>4. Uptown Campus – 1400 Washington Avenue, Albany NY 12222 – land</li><li>5. East Campus – 5 University Place, Rensselaer, NY 12144 – land</li><li>6. Off-campus - 418 Broadway, Albany, NY 12207 – space</li><li>7. Off-campus - 80 Wolf Road, Albany, NY 12205 – space</li><li>8. Off-campus – 52 James Street, Albany, NY 12208 – space</li><li>9. Off-campus – 384 Broadway, Albany, NY 12207 - space</li><li>10. Off-campus – 150 New Scotland Avenue, Albany, NY 12208 - space</li><li>11. Off-campus – 279 Central Avenue, Albany, NY 12208 - space</li><li>12. Off-campus – 61 Columbia Street, Albany, NY 12210 - space</li><li>13. Off-campus – 50 State Street, Albany, NY 12207 - space</li><li>14. Off-campus – 22 Clinton Avenue, Albany, NY 12207 - space</li><li>15. Off-campus – 175 Central Avenue, Albany, NY 12206 - space</li><li>16. Off-campus – 125 Monroe Street, Watervliet, NY 12189 -space</li><li>17. Off-campus – 3 Washington Square, Albany, NY 12205 - space</li><li>18. Off-campus – 200 Great Oaks Boulevard, Albany, NY 12203 - space</li><li>19. Off-campus – 300 Great Oaks Boulevard, Albany, NY 12203 - space</li><li>20. Off-campus – 286 Washington Avenue Extension, Albany, NY 12203 - space</li><li>21. Off-campus – 240 Washington Avenue Extension, Albany, NY 12203 - space</li></ol>

Description of Physical Characteristics of Proposed Tax-Free NY Area(s):

VACANT SPACE AVAILABLE IN CAMPUS BUILDINGS

At this time, UAlbany has identified the below list of spaces within its campus boundaries to be designated under the START-UP NY initiative. These identified spaces are based on existing campus facilities vacancies, in the context of anticipated new faculty and staff hiring, out-year renovation, and projected research growth. UAlbany carefully considered these factors to ensure that its existing academic, research and student support programs are not impacted by the designation of space under the START-UP NY initiative.

Uptown Academic Podium – 1,360 Vacant SF wet lab with support spaces

About this Location – Home to STEM disciplines, arts and humanities, social sciences, business, and education, the Uptown Academic Podium provides the highest level of access and interconnectedness with UAlbany students, faculty, researchers, and staff. It also provides access to high-quality support services, resources and amenities.

Description of Space – UAlbany has identified vacant Biology Building lab suite B 031 for designation under the START-UP NY initiative. This space is currently vacant and will require upgrades prior to occupancy. The suite includes work bench areas, lab shelving and case work, equipment and write-up spaces. Also identified are 1,360 square feet on the second floor of the Life Science Building, newly constructed and suitable for work with RNA.

Uptown Academic Podium – 230 Vacant SF office space

Description of Space – UAlbany has identified vacant office space adjacent to the NYS Mesonet Operations & Lecture Center in the sub-basement of the Academic Podium.

Downtown Academic Campus – 10,000 Vacant SF in Schuyler School Building

About the Location – The Downtown Academic Campus, at 135 Western Avenue, is in the center of the City of Albany and home to nationally ranked UAlbany programs in Criminal Justice, Social Welfare, and Political Science. The Schuyler School Building was recently acquired by the University and provides an opportunity for businesses to integrate with these instructional and research programming on the downtown campus. Additionally, this facility is geographically situated in an underserved area further creating a nexus to UAlbany's academic and research programs (e.g., micro-loan entrepreneurship) which could spur growth in economically distressed areas.

Description of Space – UAlbany has identified 10,000 vacant SF of space within the Schuyler Building under the START-UP NY initiative. While the entire 127,000 SF facility is vacant and requires gut renovation, it is a priority of UAlbany's Capital Plan; and the University will explore opportunities for START-UP NY ventures as opportunities arise.

East Campus – 21,680 SF of vacant lab and office spaces

About the Location – Home to the UAlbany's top ranked School of Public Health, this technology-focused hub located in Rensselaer County consists of instructional, office, and

research space, including high quality biology, chemistry, materials science and animal research labs, along with approximately 20 tenants including Taconic Farms and Albany Molecular Research.

Description of Space – UAlbany has earmarked 21,680 vacant SF on its East Campus, including 6 wet labs, most with fume hoods. The East Campus is owned and operated by a UAlbany affiliate, the University at Albany Bioscience Development Corporation.

#### VACANT LAND AVAILABLE ON EXISTING CAMPUS PROPERTIES

UAlbany has very few available campus parcels of land for development given its urban setting and rigid site plan. The following two parcels, each of which could be subdivided, have been identified for designation and potential development under the START-UP NY initiative.

#### East Campus Land Parcels – 26.4 and 9.7 vacant acres

UAlbany has earmarked two parcels of vacant land, one for 26.4 acres, and the other for 9.7 acres of buildable land on its East Campus, in the Town of East Greenbush, for designation under the START-UP NY initiative. In addition to the East Campus' School of Public Health and existing biotechnology partners, this site is directly adjacent to Regeneron Pharmaceuticals. The land is a strategic location for future growth, particularly in the biomedical and public health disciplines given the campus's state-of-the-art infrastructure.

#### Main Campus Land Parcels 18.7 Acres

UAlbany has identified 18.7 vacant acres of land on Fuller Road, in the City of Albany, for designation under the START-UP NY initiative. This site includes acreage adjacent to UAlbany student housing facilities. It is suitable for low impact development, providing access to the University facilities as well as UAlbany researchers, students, faculty and staff.

#### UP TO 200,000 SQUARE FEET OF SPACE AND LAND BEYOND THE CAMPUS BOUNDARIES

#### 2,800 Vacant Square Feet at 418 Broadway, Albany, New York

UAlbany has designated 2,800 vacant square feet of office space at 418 Broadway, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

#### 9,030 total Vacant Square Feet at 80 Wolf Road, Albany, New York

UAlbany has designated a total of 9,030 vacant square feet on the 3rd and 5th floor at 80 Wolf Road, Albany, New York (within the Town of Colonie) is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Weekend MBA Program classroom and office space located at 5 Computer Drive South, Albany, New York.

3,141 Vacant Square Feet at 52 James Street, Albany, New York

UAlbany has designated 3,141 vacant square feet of office space at 52 James Street, in downtown Albany, New York for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

2,657 Vacant Square Feet at 384 Broadway, Albany, New York

UAlbany has designated 2,657 vacant square feet of office space at 384 Broadway, in downtown Albany, New York for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

25,237 Vacant Square Feet at 150 New Scotland Avenue, Albany, New York

UAlbany has designated a total of 25,237 vacant square feet at 150 New Scotland Avenue, the Center for Medical Science, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Downtown Campus at 299 Washington Avenue in Albany, New York.

20,500 total Vacant Square Feet at 279 Central Avenue, Albany, New York

UAlbany has designated a total of 20,500 vacant square feet at 279 Central Avenue, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Downtown Campus at 299 Washington Avenue in Albany, New York and is owned by the Central Management District, Inc, also doing business as the Central Avenue Business Improvement District. The building will be known as the STEAM Garden and will be an incubator for technology and arts related startup companies and we are working on an MOU to affiliate this space to the University.

1,630 total Vacant Square Feet at 61 Columbia Street, Albany, New York

UAlbany has designated 1,630 vacant square feet of office space at 61 Columbia Street, downtown Albany, New York for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

3,750 Vacant Square Feet at 50 State Street, Albany, New York

UAlbany has designated 3,750 vacant square feet of office space at 50 State Street, in downtown Albany, New York for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

3,700 Vacant Square Feet at 22 Clinton Avenue, Albany, New York

UAlbany has designated 3,700 vacant square feet of office space at 22 Clinton Avenue, Albany, New York for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of 99 Pine Street, Albany, New York, where the University at Albany leases space.

5,561 total Vacant Square Feet at 175 Central Avenue, Albany, New York

UAlbany has designated a total of 5,561 vacant square feet at 175 Central Avenue, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Downtown Campus at 299 Washington Avenue in Albany, New York.

70,500 total Vacant Square Feet at 125 Monroe Street, Watervliet, New York

UAlbany has designated a total of 70,500 vacant square feet at 125 Monroe Street, Watervliet, New York (within the City of Watervliet) is designated for availability to a company or companies that align with or further the University's academic mission. The two buildings at the Watervliet Arsenal are not located within 1 mile radius of a Campus Controlled space but UAlbany feels this is a very interesting and exceptional space that can be made available to companies through the Arsenal Business and Technology Partnership that aligns with the academic mission of the University. We are requesting a waiver of the one mile radius rule to include this property and are presently working on an MOU to later affiliate this space to the University through the Arsenal Business and Technology Partnership's non-profit status.

8,312 total Vacant Square Feet at 3 Washington Square, Albany, New York

UAlbany has designated a total of 8,312 vacant square feet at 3 Washington Square, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is not located within one mile of campus controlled space. We are requesting a waiver of the one mile radius rule to include this property as this is an underutilized space that will benefit from being added to the plan.

1,329 Vacant Square Feet at 200 Great Oaks Boulevard, Albany, New York

UAlbany has designated a total of 1,329 vacant square feet at 200 Great Oaks Boulevard, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Uptown Campus at 1400 Washington Avenue Extension in Albany, New York.

6,153 total Vacant Square Feet at 300 Great Oaks Boulevard, Albany, New York

UAlbany has designated a total of 6,153 vacant square feet at 300 Great Oaks Boulevard, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. This building is located within one mile of the University's Uptown Campus at 1400 Washington Avenue Extension in Albany, New York.

4,774 total Vacant Square Feet at 286 Washington Avenue Extension, Albany, New York

UAlbany has designated a total of 4,774 vacant square feet at 286 Washington Avenue Extension, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. We are requesting a waiver of the one mile radius rule to include this property as this is an underutilized space that will benefit from being added to the plan.

1,110 Vacant Square Feet at 240 Washington Avenue Extension, Albany, New York

UAlbany has designated a total of 1,110 vacant square feet at 240 Washington Avenue Extension, Albany, New York is designated for availability to a company or companies that align with or further the University's academic mission. We are requesting a waiver of the one mile radius rule to include this property as this is an underutilized space that will benefit from being added to the plan.

Future Space or Land Guidance on Additional On-Campus Space for START-UP NY UAlbany's Executive Space Committee will guide the START-UP NY Campus Advisory Committee on matters of future available space (note: the START-UP NY Campus Advisory Committee is defined later in the document). The Executive Space Committee, an already established structure, provides the expertise and knowledge about space allocation, both current and planned, and can provide essential insight into space opportunities and constraints within a particular building or campus location. More information regarding the Executive Committee can be found at:

<http://www.albany.edu/facilities/campusplanning/spacemembers.html>

- ii. Complete the Excel spreadsheet template provided with this document, noting the instructions on page 2. Include the official SUNY Physical Space Inventory (PSI) building number and a clear description of the spaces in the building or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Include only properties sought to be designated now and exclude potential sites that may be considered in the future (see 2a below). Attach the completed spreadsheet to this plan.
  - iii. Provide also a representation of each proposed site drawn in AutoCAD on a scaled campus map with boundaries drawn clearly. Two versions should be created; one including an imbedded layer from Google Earth or other aerial photograph of the property. The second version should exclude the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which ties to identifiers in the Excel spreadsheet. If digital files containing Polygon shapefile that delineates area for designation are available, provide these as well. Attach these materials to this plan.
  - iv. Provide a campus map with each proposed building shaded. Label each building with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. For each building shaded and labeled, include floor plans of all areas under consideration with the specific spaces clearly shaded and labeled with official PSI room numbers. If digital files containing Point shapefiles that provide locations of area for designation are available, provide these as well. Attach these materials to this plan.
- 2) The total square footage of the space and/or acreage of land proposed for designation as a Tax-Free NY Area is:

SPACE – 33,270 SF on campus
LAND – 54.8 acres

SPACE – 170,184 SF off campus

2a) *If applicable:* You may include here a description of any potential space or acreage of land that you may seek to designate as a Tax-Free NY Area under the START-UP NY Program in the future. This may include campus property that may become vacant, or other properties in your community that are not currently part of your campus but may be desirable for a company partner and with which you may consider an affiliation if an appropriate partner is identified. Do not include these properties in the Excel spreadsheet.

2b) *If applicable:* The total square footage of the space or acreage of land that you may propose to designate as a Tax-Free Area as identified in 2a, if known.

3) Provide a description of the type of business or businesses that may locate in the area identified in #1.

Eligible START-UP NY businesses will need to be aligned with or further UAlbany's academic mission spanning the arts, sciences and professions (for more information, see "Alignment with and Furthering the Academic Mission")

Businesses must either be a 1) new company/start-up, 2) an out-of-state company relocating to New York State, or 3) an expanding business currently based in New York State. The overarching criterion is that the prospective business must demonstrate that it is creating net new jobs, in addition to aligning with or furthering UAlbany's mission and providing positive economic and community benefits.

Ineligible businesses will include retail/wholesale businesses, restaurants, real estate brokers, law firms, medical or dental practices, real estate management companies, hospitality industries, finance/financial services, and other personal services. Additionally, utilities and businesses engaged in the generation or distribution of electricity are ineligible.

Moreover, prospective START-UP NY businesses cannot compete against local businesses already in existence.

4) Provide a description of the campus academic mission, and explain how the businesses identified in #3 will align or further the academic mission of the university or college.

UAlbany will only consider businesses that align with or further its academic mission under the START-UP NY initiative. Public-private partnerships that meet this test might feature:

- Sponsorship of current and/or developing faculty research, scholarship, and creative work

- Experiential learning and workforce opportunities for students and graduates (e.g., internships, fellowships, full-time jobs)
- Access to specialized instrumentation, tools, and/or laboratory equipment
- Support for scholarships, fellowships, or endowed chairs
- Instructional contributions and student mentoring
- Access to intellectual property, expertise, or other business resources
- Support for campus facilities and/or other institutional services/amenities UAlbany's START-UP NY plan capitalizes on the campus's following strategic priorities:
- Climate, Environmental and Economic Sustainability--Predicting, Planning and Conserving our Environment for Future Generations
- Emerging Technologies--Improving Human Efficiency and Security through Computational and Forensic Sciences
- Human Health and Biomedical Sciences--Translating Science into Human Health
- Public Service and Policy--Improving the Human Condition through Research on Policy and Practice
- Business and Entrepreneurship--Creating a Culture of Innovation and Entrepreneurship in a Secure Global Marketplace
- Liberal Arts and Sciences--The Critical Foundation of an Educated Person Prepared for Citizenship, Work, and Life

Leveraging UAlbany's NYSUNY 2020's Four Transformational Research Clusters

Building on its NYSUNY 2020 plan approved by Governor Andrew M. Cuomo, UAlbany will seek to harness the intellectual capital of four transformational research clusters. Prospective businesses that have synergistic connections with these research disciplines will be well positioned to capitalize on the START-UP NY initiative as detailed below.

Research Cluster #1: Climate and Environmental Science Research

Leveraging our internationally acclaimed Department of Atmospheric and Environmental Science (DAES) and Atmospheric Science Research Center (ASRC), UAlbany will target businesses that align with or further its Climate and Environmental Science Research Cluster under NYSUNY 2020.

This cluster will pursue advances in environmental science and climate prediction with the potential to serve as an R&D arm for climate sensitive businesses and industries. UAlbany can offer cutting-edge facilities to spur fruitful collaborations for mutual benefit -- including outstanding opportunities for the University's students to obtain invaluable experience on business applications related to their research and studies.

Research Cluster #2: Biomedical Science and Biotechnology

UAlbany will capitalize on its internationally recognized strength in the Life Sciences to pursue advances in areas of neurodegenerative diseases and neurodevelopment, infectious disease, and cancer. The University's RNA Institute, Cancer Research Center and Center for Functional Genomics have successfully led the way in interfacing with businesses to advance basic and applied research through public-private partnership. The available infrastructure at these facilities and others ensures that the University is ready and prepared to offer emerging

biotechnology companies and existing companies new opportunities for collaboration with our researchers and students.

#### Research Cluster #3: Forensic Sciences and Cyber Security

The University's forensic sciences and cyber security cluster is poised to partner with new business and industry to develop novel advances across a range of disciplines including crime scene and digital forensics. The University is well-prepared to work with companies to translate research discoveries into practical tools and technologies that would transform cybersecurity, digital forensics, and criminal investigations locally, nationally, and around the world.

#### Research Cluster #4: Advanced Data Analytics

UAlbany will pursue new business and industry collaborations that leverage the University's research strength across a wide array of advanced data analytics and informatics including natural language processing, artificial intelligence, software engineering, information science, computer architecture, knowledge representation and planning. Utilizing an interdisciplinary approach in which technology is developed from an understanding of both the application domain and the ways in which humans think, UAlbany's research strengths will be enhanced by collaborations with the private sector leading to the commercialization of research that will help businesses compete in an increasingly data-driven economy.

#### Leveraging the Breadth of the University at Albany's Mission

##### Comprehensive Undergraduate, Graduate and Professional Education

As a major public research university, UAlbany provides a wide range of academic programs spanning the arts, sciences and professions including over 250 undergraduate and graduate degree offerings. The University's plan will leverage START-UP NY initiative benefits to partner with business and industry in order to strengthen its nationally ranked and/or distinctive academic programs across the University including, but not limited to, biomedical science, business, counseling and clinical psychology, computer science, criminal justice, economics, education, English, information and technology management, library and information studies, math, nonprofit management, political science, public affairs, public finance and budgeting, public health, public management administration, social work and sociology.

##### Research and Discovery

The University's Plan will focus on opportunities with business and industry to leverage, capitalize and grow its diverse research portfolio, which includes more than 60 research centers

##### Entrepreneurship and Innovation

Entrepreneurship and innovation are emerging university-wide priorities for both faculty and students. Under UAlbany's approved NYSUNY 2020 plan, the University is developing an Emerging Technologies and Entrepreneurship Complex (E-TEC) and corresponding ecosystem to transform pioneering research and innovation into commercial activity and public-private partnership opportunities. Leveraging the E-TEC ecosystem, which brings together business development and technology transfer, the University is particularly well positioned to support the creation and growth of new business and industry under the START-UP NY initiative.

The University envisions START-UP NY businesses to support and foster entrepreneurship through new and existing programs such as student venture funds, social entrepreneurship, business plan competitions, SBIR/STIR partnerships and other business development opportunities.

*Community Engagement in Economically Distressed Areas*

As a public research university, UAlbany is committed to engaging the communities surrounding its three campuses – particularly regions that are underserved. The University's active community engagement agenda through its academic disciplines and extracurricular volunteer work will be enhanced by START-UP NY business initiatives that focus in particular on underserved areas in our communities. Public-private partnerships created through the START-UP NY program can offer new opportunities for our students, faculty and researchers to tackle real-life problems from an interconnected academic and business perspective. The University's plan will capitalize on the START-UP NY initiative to engage businesses that will contribute to the revitalization of economically distressed regions to create jobs and positive economic impact.

Under the START-UP NY initiative, UAlbany's plan will enable the pursuit of public-private partnerships that advance the University's mission, while creating jobs and positive economic impact throughout the region.

- 5) Provide a description of how participation by these types of businesses in the START-UP NY Program will generate positive community and economic benefits, including but not limited to:
- Increased employment opportunities;
  - Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
  - Diversification of the local economy;
  - Environmental sustainability;
  - Increased entrepreneurship opportunities;
  - Positive, non-competitive and/or synergistic links to existing businesses;
  - Effect on the local economy; and
  - Opportunities as a magnet for economic and social growth.

UAlbany's proposed START-UP NY plan anticipates attracting new businesses and new jobs to the Capital Region over the next ten (10) years. These businesses will include out-of-state companies, new start-ups or expanding businesses from New York State.

Eligible START-UP NY businesses that align with the academic mission under the University's START-UP NY plan will not only create new employment opportunities, but will attract new investment locally spurring additional economic growth across the Capital Region economy.

- This economic growth will result in a number of benefits including:
- Increased employment opportunities
- Diversification of the regional economy
- Expanded purchasing/spending in the local economy
- Greater economic impact through increased population

- More home ownership and residential investment throughout the region

The University's START-UP NY plan will place enhanced priority on prospective businesses that will engage and benefit underserved communities.

The positive economic and community impact of the START-UP NY initiative in the Capital Region will be further enhanced by the alignment of the University's plan with key strategic goals of the Capital Region Economic Development Council:

- to leverage resources among academic, not-for-profit, governmental and commercial organizations
- to open new doors for business, cultivate opportunities for startup companies, and build the competency of research, education and health care
- to prepare for tomorrow by supporting education and workforce development
- to focus development within the urban core

Through public-private partnerships under START-UP NY, the University will be able to expand educational and training programs, establish new experiential learning opportunities for students, generate new research and discovery, and/or transfer and commercialize more research and technology to the market place – all outcomes that will allow the university to better serve the Capital Region.

- 6) Provide a description of the process the Sponsor (campus) will follow to select participating businesses. The description should identify the membership of any group or committee that may make recommendations, the final decision-maker, and the criteria that will be used to make decisions. This group or committee must include representation from faculty governance. The criteria may include some or all of the following:

A. Academic and Research Alignment

1. Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
2. Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
3. Does the business provide areas for partnership and advancement for faculty and students?
4. Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
5. Will the business fund scholarships, campus facilities or other academic services or amenities?
6. Will the business and/or its employees contribute to instruction or provide student mentoring?
7. Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

B. Economic Benefit

1. How many net new jobs will be created?
2. Is the business viable in both the short- and long-term?
3. Will the business attract private financial investment?
4. Does the business plan to make capital investments (e.g., renovation, new construction)?
5. Are the new jobs in critical areas of the economy?
6. How will the University financially benefit from the terms of the lease?

C. Community Benefits

1. Does the business have the support of one or more municipal or community entities?

2. Is the business recruiting employees from the local workforce?
3. Does the business invest in underserved, economically distressed regions?
4. Will the business rely on suppliers within the local and regional economy?

#### Accepting Businesses Applications

Upon its plan being approved by SUNY and the Commissioner of Economic Development, UAlbany will begin accepting business applications under the START-UP NY initiative. The Office of Community and Economic Development will first determine if a business applicant meets certain minimum eligibility requirements under the START-UP NY initiative and University's Plan. Information regarding the UAlbany START-UP NY initiative will be available on a secure and dedicated webpage at <http://www.albany.edu/start-up-ny>.

#### START-UP NY Campus Advisory Committee

The University will establish a START-UP NY Advisory Committee to review, evaluate business applications, which will include a determination of alignment with the academic mission, and make recommendations to the President (or designee) for final campus approval. The membership of the group will include appropriate senior administrative officers, as well as faculty and student representatives. Through its work, the committee will provide a broad University perspective when implementing this high profile program.

The evaluation and selection process will have two different approval pathways – 1) for space and land associated under the State University of New York at Albany and 2) for space and land associated with a University affiliate, such as the University at Albany Foundation OR the 200,000 square feet of property owned by a third party landlord or developer.

#### #1) State University of New York at Albany – Evaluation and Selection Process

UAlbany's newly established Office of Community and Economic Development will serve as the "front door" for all prospective businesses seeking to secure START-UP NY designation. If a business applicant satisfies certain minimum criteria, the Office of Community and Economic Development will refer the "business application" to the START-UP NY Campus Advisory Committee for review and evaluation.

Whenever necessary, the START-UP NY Campus Advisory Committee will seek guidance and feedback from various campus committees and units on matters including academic and research alignment, space and land, budget/finance impact and community impact as appropriate.

#### \*Membership Structure of the Start UP NY Campus Advisory Committee

- Provost/Chief Academic Officer (Chair)
- Vice President for Research
- Director of Community and Economic Development
- Vice Provost for Undergraduate Education
- Distinguished Professor of Economics
- Distinguished Professor of Social Welfare

- Dean of the School of Business
- Distinguished Professor of Biological Sciences
- Undergraduate Student Representative
- Graduate Student Representative

#### Evaluation and Selection

The START-UP NY Campus Advisory Committee will select prospective businesses for participation in the University's program on the basis of their ability to align with or further the academic mission of the University, their potential for economic benefits and their potential for benefits to the community. At least half of the evaluation for prospective businesses will be based on the furtherance of the University's academic mission, with economic and community benefits comprising the remaining considerations. Through this approach, the University's START-UP plan will consider a number of related factors in assessing business applications which may include, but are not limited to:

#### Academic and Research Alignment

- Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
- Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
- Does the business provide areas for partnership and advancement for faculty and students?
- Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
- Will the business fund scholarships, campus facilities or other academic services or amenities?
- Will the business and/or its employees contribute to instruction or provide student mentoring?
- Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

#### Economic Benefit

- How many net new jobs will be created?
- Is the business viable in both the short- and long-term?
- Will the business attract private financial investment?
- Does the business plan to make capital investments (e.g., renovation, new construction)?
- Are the new jobs in critical areas of the economy?
- How will the University financially benefit from the terms of the lease?

#### Community Benefits

- Does the business have the support of one or more municipal or community entities?
- Is the business recruiting employees from the local workforce?
- Does the business invest in underserved, economically distressed regions?

- Will the business rely on suppliers with in the local and regional economy?

Upon review and approval from the START-UP NY Campus Advisory Committee, the business application will be advanced to the President (or designee) for final campus approval. Upon the President's or designee's approval, the Office of Community and Economic Development will complete the "Sponsoring University Application for Business Participation." This form, along with the business application, will be submitted to the NYS Commissioner of Economic Development for final approval.

#### #2) University Affiliate or Third Party Landlord or Developer for the Off-Campus 200K Square Feet Designation

For business applications that seek to utilize land or space owned by a University Affiliate (for example, the University at Albany Foundation) or by a third party landlord or developer, the process requires an additional step.

UAlbany's Office of Community and Economic Development will continue with the first review to ensure that prospective businesses seeking to secure START-UP NY designation satisfy certain minimum criteria.

Before the application is moved forward to the START-UP NY Campus Advisory Committee and the President, it requires an evaluation by the University affiliate for economic viability, corporate governance and business planning reasons.

Again, existing University committees can be solicited to assist with the review process and an Ad-hoc Academic Review Committee can be convened as needed to provide the University and its affiliates with the full array of information needed to assess each application.

#### Upon Final Approval

Upon final approval, the University will work with the business to facilitate implementation of the terms and conditions of agreement under the START-UP NY initiative. The University will co-operate with the Commissioner in its monitoring and reporting responsibilities.

#### Other Issues

##### Confidentiality

Recognizing that for any number of reasons, a business may require total confidentiality, the University will, as appropriate, execute non-disclosure agreements with prospective business partners in order to explore potential business relationships.

##### Conflict of Interest

The University's evaluations and selection process will account for prospective conflict of interest issues through adherence to the section four hundred thirty-nine of Article 21 of the Economic Development Law, as well as the policies of the State University of New York, the

Research Foundation of the State University of New York and the University at Albany Foundation.

*Accelerated Decision-Making Process*

Some prospective START-UP NY businesses may require a more rapid decision-making process. Accordingly, the President of the University reserves authority to select and advance businesses under the START-UP NY program to NYS Commissioner of Economic Development for final approval.

# PUBLIC OFFICERS LAW

## ATTACHMENT #4

### § 74. Code of ethics.

1. **Definition.** As used in this section: The term "**state agency**" shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the finance law or their successors.

The term "**legislative employee**" shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. **Rule with respect to conflicts of interest.** No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

#### 3. Standards.

- a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his independence of judgment in the exercise of his official duties.
- b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him to disclose confidential information which he by reason of his official position or authority.
- c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him in the course of his official duties nor use such information to further his personal interests.
- d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.
- e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.
- f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

- g. An officer or employee of a state agency should abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.
- h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.
- i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.

**4. Violations.** In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.

 <p>Category: HR / Labor Relations Legal and Compliance</p> <p>Responsible Office: <a href="#">University Counsel</a></p>	<p>Policy Title: Conflict of Interest Document Number: 6001</p> <p>Effective Date: October 01, 1995</p> <p>This policy item applies to: State-Operated Campuses</p>
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## Summary

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests and are required to avoid conflicts of interest. Where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by University policy. This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

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## Policy

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests. In keeping with this obligation, they are also required to avoid conflicts of interest.

In instances where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by this University policy. It is the responsibility of campus officials charged with implementing this policy to identify potential or actual conflicts of interest and take appropriate steps to manage, reduce, or eliminate them.

This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

1. University faculty and staff may not engage in other employment which interferes with the performance of their professional obligation.

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**Appendices**

There are no appendices relevant to this policy.

2. University faculty and staff are expected to comply with the New York State Public Officers Law provisions on conflict of interest and ethical conduct.
3. University faculty and staff, to the extent required by law or regulation, shall disclose at minimum whether they (and their spouses and dependent children) have employment or financial interests or hold significant offices, in external organizations that may affect, or appear to affect, the discharge of professional obligations to the University.
4. University campuses shall ensure that all faculty and staff subject to pertinent laws and regulations disclose financial interests in accordance with procedures to be established by the Chancellor or designee. Campuses shall retain the reported information as required, identify actual or apparent conflicts of interest and seek resolution of such conflicts.
5. Each campus president shall submit to the chancellor's designee the name and title of the person or persons designated as financial disclosure designee(s) and shall further notify the chancellor's designee when a change in that assignment occurs. The chancellor's designee shall also be notified of any reports regarding conflict of interest that are forwarded to state or federal agencies.

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### **Definitions**

**Conflict of interest** — any interest, financial or otherwise, direct or indirect; participation in any business, transaction or professional activity; or incurring of any obligation of any nature, which is or appears to be in substantial conflict with the proper discharge of an employee's duties in the public interest. A conflict of interest is also any financial interest that will, or may be reasonably expected to, bias the design, conduct or reporting of sponsored research.

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### **Other Related Information**

[Outside Activities of University Policy Makers](#)

[Ethics in State Government - A Guide for New York State Employees](#)

[National Science Foundation, Grant Policy Manual](#)

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### **Procedures**

There are no procedures relevant to this policy.

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### **Forms**

There are no forms relevant to this policy.

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### **Authority**

[42 CFR 50, Subpart F](#)

The following link to FindLaw's [New York State Laws](#) is provided for users' convenience; it is not the official site for the State of New York laws.

[NYS Public Officers Law, Section 73-a, and 73 and 74](#)

In case of questions, readers are advised to refer to the New York State Legislature site for the menu of [New York State Consolidated](#).

[Board of Trustees Policies - Appointment of Employees \(8 NYCRR Part 335\)](#)

State University of New York Board of Trustees Resolution adopted June 27, 1995

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### **History**

Memorandum to presidents from the office of the University provost, dated June 30, 1995 regarding revision to University conflict of interest policy to bring it in conformity with federal guidelines issued by the National Science Foundation and the Public Health Service.



## Conflict of Interest Policy

**Effective Date:** March 15, 2013  
**Supersedes:** Conflicts of Interest Policy pursuant to 95-5 Resolution and the Procedure of Investigating Conflict of Interest Policy  
**Policy Review Date:** February 2016  
**Issuing Authority:** Research Foundation President  
**Responsible Party:** Chief Compliance Officer  
**Contact Information:** (518) 434-7145  
[rfcompliance@rfsuny.org](mailto:rfcompliance@rfsuny.org)

### Reason for Policy

As Research Foundation Board Members, Officers, and Employees, we hold positions of trust and must act in the best interests of the Research Foundation. We must avoid any activity that impairs or would reasonably appear to impair the ability to perform our duties with independence and objectivity. A conflict of interest arises if our personal relationships, activities, or finances interfere, or appear to interfere, with our ability to act in the best interests of the Research Foundation.

Research Foundation Board Members, Officers, and Employees must incorporate, where necessary and possible, the following rules into their services on behalf of the Research Foundation. Research Foundation Officers and Employees must adhere to the standards outlined in the New York State Public Officers Law Section [74](#). This policy incorporates the key standards outlined in Section [74](#).

### Statement of Policy

Research Foundation Board Members, Officers, and Employees may not have any interest or engage in any outside activity which results in an unmanaged conflict of interest. To this end, Board Members, Officers, and Employees must disclose their interests and outside activities, and those of a Related Party, which may affect their ability to perform their duties with independence and objectivity. A conflict of interest must be managed so the conflict is reduced or eliminated, and compliance with conflict of interest management plans should be monitored where necessary.

### Prohibited Conflicts of Interest

A conflict of interest exists if you or a Related Party has a Financial or Other Interest that will or may reasonably be expected to:

- substantially conflict with the proper discharge of your duties in the Research Foundation's best interests;
- result in the disclosure of the Research Foundation's information that you have gained by reason of your position or authority; or

- impair your ability to exercise independent judgment in the performance of your duties and responsibilities.

### **Conflicts of Interest Posed By Outside Employment, Investments, or Other Business Activities**

As a Research Foundation Board Member, Officer or Employee, you must not make personal investments in enterprises that you have reason to believe may be directly involved in decisions to be made by you or will otherwise create substantial conflict between your duty on behalf of the Research Foundation and your private interest.

If you or a Related Party has a Financial or Other Interest in any business entity, you may not represent the Research Foundation in any transaction with that entity and must disclose the interest in accordance with this policy and the Procedure for Managing Conflicts of Interest.

Procurement of goods or services by the Research Foundation shall be conducted consistent with the Foundation's established procurement policy.

You may not accept employment or engage in any business or professional activity that will impair the independence of your judgment in the exercise of your duties for the Research Foundation or require you to disclose confidential information that you gained by reason of your affiliation with the Research Foundation.

### **Prohibition Against Disclosure or Use of Confidential Material for Personal Gain**

The Research Foundation prohibits disclosure of information that is confidential to the Research Foundation, acquired by any Board Member, Officer, or Employee in the course of his/her duties, except as required by law or as expressly authorized in writing by an Officer or other designated representative of the Research Foundation.

Board Members, Officers, and Employees may only use such confidential information in furtherance of their duties as a representative of the Research Foundation and shall not use such confidential information to further their personal interests or that of a Related Party.

You must not accept employment or engage in any business or professional activity that will require you to disclose confidential information that you gained by reason of your official position or affiliation with the Research Foundation.

### **Use of Research Foundation or State Resources**

You may not misappropriate the property, services or other resources of the Research Foundation, SUNY, or others, whether for yourself or someone else.

### **Avoiding the Appearance of Impropriety**

Board Members, Officers, and Employees shall not, by their conduct, give reasonable basis for the impression that any person can improperly influence them or unduly enjoy their favor in performance of their duties, or that they are affected by the kinship, rank, position, or influence of any party or person.

You may not use, or attempt to use, your position to secure unwarranted privileges or exemptions for yourself or others.

Similarly, bribery, extortion, and other attempts to exert undue influence are strictly prohibited. The Research Foundation expects Research Foundation Board Members, Officers, and Employees to avoid any conduct that may give the appearance of engaging in acts that are in violation of their trust.

### **Disclosing a Real, Apparent, or Potential Conflict of Interest**

Board Members, Officers, and Employees must disclose all real, apparent, or potential conflicts of interest for review as described below. At an Operating Location, those disclosures should be made to the operations manager or his/her designee in accordance with this policy and the Procedure for Managing Conflicts of Interest. Disclosures are required in three instances:

1. **Annual Disclosures By Research Foundation Board Members, Officers, and Key Employees.** Board Members, Officers, and Key Employees must report Direct or Indirect Financial or other Interests that pose or may pose a real, apparent, or potential conflict of interest on an annual basis. These disclosures must be updated both annually and as new reportable interests are obtained or as new reportable activities occur.
2. **Grant-Related Disclosures.** Principal investigators must follow the policy at their respective campus locations.
3. **Situational Disclosures.** Board Members, Officers, and Employees must report any Direct or Indirect Financial Interest or other activity that may pose a conflict of interest under this policy. Such situational disclosures must be made as soon as practicable after the individual learns of the potential conflict.

When a disclosure is made under this policy, the actual, apparent, or potential conflict of interest will be reviewed pursuant to Procedure for Managing Conflicts of Interest. If a conflict of interest is found to exist, the Research Foundation must take steps to manage, reduce, or eliminate the conflict of interest. Individuals may appeal determinations with which they disagree. Please consult the Procedure for Managing Conflicts of Interest for more information.

### **Violation**

In addition to any penalty contained in any provision of law or federal or state policy, individuals who knowingly and intentionally violate any of these provisions may be subject to action by the Research Foundation. For employees, this may include action under the Research Foundation's progressive discipline policy, including suspension or termination from employment.

### **Recordkeeping**

The operating location operations manager must designate an appropriate office of record and must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of interest are retained and documented. In addition to any recordkeeping process established by the operations manager, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

At the central office, the chief compliance officer must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of interest for all disclosures, at the central office or otherwise brought to the attention of the chief compliance officer, are retained and documented. In addition to any recordkeeping process established by the chief compliance officer, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

### **Campus Policy**

An Operating Location may adopt a policy no less restrictive than this Policy. If a local policy is adopted, then a copy of that policy must be filed with the RF's chief compliance officer.

### **Staffing Services**

Employees employed by the RF under an agreement or contract, other than the 1977 Agreement between the RF and SUNY must adhere to the conflicts of interest policy in place by the entity the employees are employed to support. In the absence of a policy, the conflicts of interest policy effective at the associated operating location must govern.

### **Responsibilities**

The following table outlines the responsibilities for compliance with this policy:

<b>Responsible Party</b>	<b>Responsibility</b>
Board Members, Officers, and Key Employees	Annual Disclosures
Principal Investigators	Grant-Related Disclosures
Employees	Situational Disclosures as needed

### **Definitions**

*Board Member:* A member of the Research Foundation's board of directors.

*Direct or Indirect Financial or Other Interests:* Financial or Other Interests held by the Research Foundation Employee or by their spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

*Employee:* Officers, Key Employees, and any individual compensated employee of the Research Foundation.

*Financial or Other Interests:* Shall include, but are not limited to, the following:

- ownership or investment in any outside enterprise;
- serving as a director, officer, partner, consultant, broker, agent, or representatives of any outside enterprise;
- outside professional activity; or
- outside employment.

*Key Employee:* A "Key Employee" for purposes of this Policy includes:

1. Vice presidents;
2. Operations managers;
3. Deputy operations managers;
4. Chief research officers;
5. Technology transfer directors;
6. Sponsored program office directors or equivalent;
7. Other appointed officers

8. At the central office:
  1. Vice presidents
  2. Senior directors;
  3. Assistant Vice-Presidents; and
  4. Directors;
  5. Other appointed officers; and
  
9. Any other persons who have procurement authority equal to or exceeding \$100,000 per transaction.

*Officer:* An officer elected under the Research Foundation's bylaws, including the Research Foundation's president, general counsel, secretary, and chief financial officer and those appointed pursuant to Article IV Section 13 of the RF's bylaws as appointed officers.

*Operating Location:* Research Foundation office located at a SUNY campus location or other SUNY location supporting the Research Foundation mission and SUNY operations overseen by an operations manager.

*Operations Manager:* An individual appointed to the position of operations manager by the Research Foundation.

*Principal Investigator:* Primary individual(s) in charge of a research grant or other project administered by the Research Foundation. The term "Principal Investigator" includes those individuals serving as co-principal investigators.

*Related Party:* A Research Foundation Employee's spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

*Research Foundation (or Foundation or RF):* The Research Foundation for The State University of New York.

## **Related Information**

[Management of Conflicts of Interest Procedure](#)

[Managing Conflicts of Interest Guidelines](#)

NYS Public Officer's Law Sections [73 & 74](#)

[Conflicts of Interest in Public Health Service Sponsored Programs](#)

[Nepotism Policy](#)

[Gifts to Employees from Non-RF Sources Policy](#)

## **Forms**

[Conflict of Interest Annual Disclosure Statement](#)

[Conflict of Interest Situational Disclosure Statement](#)

**Change History**

<b>Date</b>	<b>Summary of Change</b>
December 7, 2012	Clarifies who is required to disclose conflicts, how, and when. Also allows for locations to use their own conflicts of interest policies and procedures, provided the policy is submitted to the compliance office and is no less restrictive than RF policy. Effective 3/15/2013

# RESEARCH INTEGRITY

MARCH, 2013



The State University  
of New York

## Statement on Research Integrity The State University of New York March, 2013

The value of research for human society, and the trust that the public places in science and the scientific and technological process, are vitally dependent on research integrity.<sup>1,2</sup>

The State University of New York (SUNY) and the Research Foundation for SUNY (RF) are committed to excellence, objectivity, accountability, professional courtesy, fairness, good stewardship, and – above all – integrity in the conduct of scholarly research.

Research university systems, such as SUNY, are special places where *knowledge creation* through research and scholarship expands and enriches the process of *knowledge dissemination* through teaching and learning, each component acting together to amplify the co-benefits for people and society. It is in such institutions that the “leaders of each new generation are nurtured; it is there that boundaries to our existing knowledge are explored and crossed; it is there that unfettered thinking can thrive and unconstrained intellectual partnerships can be created. It is there, within each new class, within each new generation, that the future is forged.”<sup>3</sup>

It is a privilege to be able to conduct research and scholarship at SUNY and connect these vital activities with the academic and public service missions of the system. In this light, the research process itself must be transparent and our researchers must take responsibility for assuring the trustworthiness of their research. Freedom of inquiry, openness to new ideas, a love of learning, and a commitment to rigorous study are the necessary components for first-class research and scholarship. SUNY researchers should not avoid difficult or controversial areas, since it is often in these areas that the greatest societal contributions are made. It is professional integrity that allows such new scholarship to be debated, criticized, attacked, defended, digested, and accepted by the scientific community and society, thereby adding to the corpus of human knowledge. When properly exercised, academic freedom, and the concomitant commitment to rigor and excellence, yields the knowledge base on which tomorrow’s society depends.

SUNY seeks to reaffirm and maintain its full commitment to integrity in research. This commitment will incorporate regular review and update of existing policies with the following principles in mind.

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<sup>1</sup> Singapore Statement on Research Integrity, 2nd World Conference on Research Integrity, 21-24 July, 2010, Singapore  
<http://www.icsu.org/publications/cfrs-statements/singapore-research-integrity>

<sup>2</sup> Scientific Integrity; Presidential Memorandum for Heads of Executive Departments and Agencies, the White House, March 9, 2009  
<http://www.whitehouse.gov/the-press-office/memorandum-heads-executive-departments-and-agencies-3-9-09>

<sup>3</sup> Duderstadt, James, J. et al., *A University for the 21st Century*. University of Michigan press, 2003, p324

## SUNY Principles of Research Integrity

- 1. Integrity:** Researchers and scholars should take responsibility for the integrity of their work and results. Campus and system administrators should take responsibility for the formulation and implementation of policies related to research integrity.
- 2. Compliance with regulations:** Researchers and scholars should be aware of and comply with regulations and policies related to research.
- 3. Research methods:** Researchers and scholars should employ appropriate research methods, base conclusions on critical analysis of the evidence and report findings fully and objectively.
- 4. Transparency:** Basic research should be open to review and vetting. Known potential conflicts of interest should be disclosed along with funding sources and affiliations.
- 5. Independence:** Researchers must be free of undue outside influence when conducting or reviewing research. Many science and technology issues are closely related to and may influence a number of public policy issues and priorities, making "high quality objective scientific advice" vital and in the public interest.
- 6. Free and Open Communication:** SUNY researchers and scholars are free to express their personal opinions in areas of particular expertise, so long as it is clear those opinions are theirs and not SUNY's or the RF's. This is true no matter how controversial the subject, even if there are public policy implications. When engaged in public discussions about the importance and application of their research findings, researchers should clearly distinguish professional comments from opinions based on personal views. In their outside communications, employees have an obligation to indicate that they are not institutional spokespersons.
- 7. Authorship:** Researchers and scholars must have the ability to review, comment, and amend a final version of a document or publication that relies on their research or represents their scientific opinion. Researchers should take responsibility for their contributions to all publications, funding applications, reports and other representations of their research. Lists of authors should include all those and only those who meet applicable authorship criteria. All authors must review and approve the document prior to submission. All those (including funders) who made significant contributions (but do not meet applicable authorship criteria) should be acknowledged in publications and reports.
- 8. Information Sharing:** Sharing information and research data is a key component of the scientific process. Researchers should keep clear, accurate records of all research in ways that will allow verification and replication of their work by others. Researchers should share data and findings openly and promptly, as soon as they have had an opportunity to establish priority and ownership claims. Researcher should be aware of and comply with policies with regard to disclosures, patents and intellectual property rights.
- 9. Peer Review:** Unbiased peer review is essential in research and provides for credibility and important quality assurance for the many stakeholders involved. Researchers should provide fair, prompt, and rigorous evaluations and respect confidentiality when reviewing others' work. Researchers should not claim that a piece has been peer reviewed if accepted disciplinary norms and standards have not been followed.
- 10. External Pressure and Biases:** Undue external pressure must be absent from the research process. Scientists and researchers must be protected from undue external pressures from private and public sponsors, government officials, and university administrators.
- 11. Conflicts of Interest:** Policies and procedures governing disclosure and management of conflicts of interest must be well developed and rigorously observed. Researchers should disclose financial and other conflicts of interest that could compromise the trustworthiness of their work in research proposals, publications and public communications, as well as in all review activities.
- 12. Misconduct Allegations:** Allegations of fabrication, falsification, or plagiarism in proposing, performing, or reviewing research or in reporting research results must be reviewed pursuant to applicable policies. Individual whistleblowers must be protected from retaliation. When misconduct or other irresponsible research practice is confirmed, appropriate actions should be taken promptly, including correcting the research record.
- 13. Protecting Human Subjects and Humane Use of Animals:** All researchers must protect the rights and welfare of any human research subjects and must obtain prior approval from their Institutional Review Board for such work to go forward. All research on animals must be conducted in a humane manner. Researchers planning to use live vertebrate animals for research or education must obtain prior approval from their Institutional Animal Care and Use Committee.
- 14. Scientific Basis for Public Policy and Discourse:** When researchers or scientists have reason to believe that policy makers may utilize their research or publications as the basis of supporting or rejecting a policy initiative, researchers and the university should make every effort to present or disclose information related to the underlying research, the findings, the scientific approach and process used to develop the underlying scientific information.<sup>4</sup>
- 15. Research Environments:** Research institutions should create and sustain environments that encourage integrity through education, clear policies, and reasonable standards for advancement, while fostering work environments that support research integrity.
- 16. Societal Considerations:** Researchers, scholars and the SUNY institutions that support them should recognize that they bear an important ethical obligation to appropriately weigh societal benefits against risks inherent in their work. This is especially important in areas that touch on public health and safety.

<sup>4</sup>United States Department of Agriculture, Secretary's Memorandum 1074-001, USDA Scientific Integrity Policy p2.

# The University at Albany Foundation: Policies, Procedures and Guidelines

## The University at Albany Foundation Policies, Procedures and Guidelines

### (a) CASE Statement of Ethics

*The CASE Board of Trustees adopted this Statement of Ethics in 1982.*

Institutional advancement professionals, by virtue of their responsibilities within the academic community, represent their colleges, universities, and schools to the larger society. They have, therefore, a special duty to exemplify the best qualities of their institutions and to observe the highest standards of personal and professional conduct.

In so doing, they promote the merits of their institutions, and of education generally, without disparaging other colleges and schools.

Their words and actions embody respect for truth, fairness, free inquiry, and the opinions of others.

They respect all individuals without regard to race, color, sex, sexual orientation, marital status, creed, ethnic or national identity, handicap, or age.

They uphold the professional reputation of other advancement officers and give credit for ideas, words, or images originated by others.

They safeguard privacy rights and confidential information.

They do not grant or accept favors for personal gain, nor do they solicit or accept favors for their institutions where a higher public interest would be violated.

They avoid actual or apparent conflicts of interest and, if in doubt, seek guidance from appropriate authorities.

They follow the letter and spirit of laws and regulations affecting institutional advancement.

They observe these standards and others that apply to their professions and actively encourage colleagues to join them in supporting the highest standards of conduct.

### **Principles of Practice for Fund-Raising Professionals at Educational Institutions**

*Approved by the CASE Board of Trustees in November 2005*

Philanthropy is a voluntary exchange in which the values and aspirations of donors are matched with the values and aspirations of those they benefit.

Educational fund-raising professionals work on behalf of those served by their institutions during this exchange of values and represent their universities, colleges and schools to donors, volunteers, and the larger public. In doing so, they also represent the integrity of the institution and of the fund-raising profession. They must, in discharging responsibilities, observe and promote the highest standards of personal and professional conduct and continually strive to increase their knowledge of the profession.

The following principles are consistent with CASE's position on commission-based compensation developed by the Commission on Educational Fund Raising (now the Commission on Philanthropy) in 1991 and reaffirmed in 2005, and the [Donor Bill of Rights](#). They are intended to provide guidance and direction to educational fund-raisers and volunteers as they make ethical choices during the philanthropic exchange of values. The principles are not, and cannot be, an exhaustive list of rules to be applied to every decision in which ethical principles may be involved.

These ethical principles go hand-in-hand with the expectation that educational fund raising professionals are expected to comply with the letter and the spirit of all laws relevant to charitable giving.

## **Ethical Principles**

### **Personal Integrity** Individuals will:

- be fair and honest and conduct themselves with integrity;
- not maintain any vested interest in a professionally related activity that could result in personal gain without prior full disclosure and approval;
- respect that their relationships with prospective donors, donors, volunteers, and employees are professional relationships and may not be exploited.

### **Confidentiality** Individuals will:

- safeguard and respect donor and prospective donor information;
- honor the wishes of an individual and/or organizational constituent with regard to how directory information and/or giving history is used;
- record and keep only information relevant to cultivation, solicitation, and stewardship;
- identify the source of retained information;
- safeguard prospective donor, donor, and other constituent lists compiled by the institution as the property of the institution; these lists may not be distributed or used for unauthorized purposes or for personal gain;
- make every effort to ensure that volunteers, vendors, and external entities with access to constituent information understand and agree to comply with the organization's confidentiality and public disclosure policies.

### **Public Trust** Individuals will:

- ensure donated funds are used in accordance with donors' intentions;
- obtain specific instructions from a donor before altering conditions of a restricted gift (consistent with applicable law);
- provide prompt, responsive and truthful replies to donor and public inquiry in accordance with the organization's stated policies;
- place the mission and interest of the institution and its donors above personal gain;
- pursue only gifts that fall within, or advance, the institution's mission and/or approved priorities.

**Disclosure** Individuals will:

- be truthful about the institution's mission, intended use of funds, and capacity of the institution to use donations effectively for the intended purpose;
- be truthful and specific about the identification of the organization they represent and their employment or volunteer status;
- understand and disclose their areas of expertise and will give appropriate advice regarding the involvement of the donors' legal, accounting, financial and tax advisors;
- help ensure appropriate and consistent accounting, budgeting, and reporting methodologies.

**Compensation** Individuals will

- not accept commission-based compensation or compensation based on a percentage of funds raised;
- not accept external compensation for the receipt of a gift or information leading to a gift;
- not agree to pay compensation to individuals in respect of a gift or information leading to a gift.

**Revised:  
1/27/2010**



Category:  
Academic Affairs  
Community Colleges  
Legal and Compliance  
Research

Responsible Office:  
[Academic Affairs](#)

**Policy Title:**  
START-UP NY Program Participation Policy

Document Number:  
6800

Effective Date:  
February 10, 2014

This policy item applies to:  
Community Colleges  
State-Operated Campuses

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## Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21<sup>st</sup> century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

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## Policy

- A. **Campus Plans for Designation of Tax-Free Area(s):** Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor

or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

- B. Sponsoring University or College Applications for Business Participation:** Any campus intending to submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Sponsor Applications within thirty (30) business days of receipt. Any rejected Sponsor Application shall be accompanied by an explanation of the basis for rejection. Once approved the campus may submit the Sponsor Application to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Sponsor Application that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Sponsor Applications must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.
- C. Delegations:** The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.
- D. Conflicts of Interest:** Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 31<sup>st</sup> of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.
- E. Exceptions:** There are no exceptions to this policy.

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## Definitions

**Business Interest** means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

**Official** means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

**Relative** means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

**Sponsoring College or University** means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

**START-UP NY Program** means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

**Tax-Free NY Area** means vacant land or space designated by the Commissioner of Economic Development Article

21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

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## Other Related Information

[Start-Up NY Regulations](#); available at the [Start-Up NY Website](#).

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

[StartUp-NY.gov](#) website and program information.

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## Procedures

[START-UP NY Program Participation, Procedures for](#)

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## Forms

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Memorandum](#)

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Template](#)

[START-UP NY Sponsoring University or College Application for Business Participation Memorandum](#)

[ESD START-UP NY Sponsoring University or College Application for Business Participation](#)

[ESD START-UP NY Business Application Instructions](#)

[ESD START-UP Business Application](#)

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## Authority

[State University of New York Board of Trustees Resolution 14-\( \), START-UP New York Program Administration, adopted January 14, 2014](#)

[Law, New York Economic Development Law Article 21 \(Start-Up NY Program\)](#)

[Start-Up NY Regulations](#)

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## History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

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**Appendices**

There are no appendices relevant to this policy.

Location	UniqueID	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus? Y/N	Latitude	Longitude	Note
City of Albany	SU-101-2-B-99001-000-A	Tl Metzger & Associates, LLC	2	418 Broadway	Albany	12207		418 Broadway	G	2,800		Third floor of downtown Albany location, suitable for office use, minutes from downtown amenities.	no	42° 54' 56.530" N	73° 75' 09.160" W	
City of Albany	SU-101-2-B-99006-000-A	Tl Metzger & Associates, LLC	2	52 James Street	Albany	12208		52 James Street	G	3,141		Fourth floor of downtown Albany location, suitable for office use, full accessibility to downtown amenities.	no	42° 39' 6.5268" N	73° 45' 1.4976" W	
City of Albany	SU-101-2-B-99027-000-A	Wing Building Holding Company, LLC	2	384 Broadway	Albany	12207		R.B. Wing Building	G	2,657		Second floor of the RB Wing Building in Central Business District in Downtown Albany. Suitable for office use.	no	42° 38' 51.27" N	73° 45' 2.8908" W	
City of Albany	SU-101-2-B-99004-000-A	Albany Medical Science Research, LLC c/o Wells Fargo Bank, N.A.	2	150 New Scotland Avenue	Albany	12208		Center for Medical Science	G	25,237		Third floor of the Center for Medical Science Building, a research laboratory that includes BSL2 and BSL3 laboratory spaces that meet or exceeds CDC/NIH standards.	no	42° 39' 10.1808" N	73° 46' 58.2204" W	
City of Albany	SU-101-2-B-99008-000-A	Central Avenue Business Improvement District	2	279 Central Avenue	Albany	12208		S.T.E.A.M. Garden	G	20,500		Basement, 2nd, and 3rd floor of incubator-type office space, central economic location on one of the busiest streets in the City of Albany.	no	42° 39' 51.1092" N	73° 46' 16.4820" W	
City of Albany	SU-101-2-B-99014-202-A	Sosyirma Holding Company, LLC	2	61 Columbia Street	Albany	12210		61 Columbia	G	230		Room 402, 2nd floor office space in downtown Albany.	no	42° 65' 24.03" N	73° 75' 12.86" W	
City of Albany	SU-101-2-B-99014-203-A	Sosyirma Holding Company, LLC	2	61 Columbia Street	Albany	12210		61 Columbia	G	1,400		Rooms 203-206 plus Conference Room, 2nd floor office space in downtown Albany.	no	42° 65' 24.03" N	73° 75' 12.86" W	
City of Albany	SU-101-2-B-99017-000-A	Tracy Metzger Associates, LLC	2	50 State Street	Albany	12207		50 State	G	3,750		Modern 2nd floor office space that includes parking spaces in downtown Albany.	no	42° 38' 55.8733" N	73° 45' 5.7287" W	
City of Albany	SU-101-2-B-99018-000-A	University Development, LLC	2	22 Clinton Avenue	Albany	12207		22 Clinton Ave	G	3,700		3rd floor office space with parking in downtown Albany across from the Palace Theater in the heart of the entertainment district.	no	42° 39' 15.3756" N	73° 45' 3.4704" W	
City of Albany	SU-101-2-B-99019-401-A	C MCD Properties, LLC	2	175 Central Avenue	Albany	12206		175 Central Ave	G	3,663		4th floor office space with parking in Central Business District on Central Avenue in Albany. Many features and amenities, including parking.	no	42° 39' 42.2856" N	73° 46' 6.2616" W	
City of Albany	SU-101-2-B-99020-503-A	C MCD Properties, LLC	2	175 Central Avenue	Albany	12206		175 Central Ave	G	140		small 5th floor office space with parking in Central Business District on Central Avenue in Albany. Many features and amenities, including parking.	no	42° 39' 42.2856" N	73° 46' 6.2616" W	
City of Albany	SU-101-2-B-99021-501-A	C MCD Properties, LLC	2	175 Central Avenue	Albany	12206		175 Central Ave	G	890		5th floor office space with parking in Central Business District on Central Avenue in Albany. Many features and amenities, including parking.	no	42° 39' 42.2856" N	73° 46' 6.2616" W	

Location	Uniquid	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	Sqft	Acres	Description	emCampus? Y/N	Within 1/4 mile of Campus	Latitude	Longitude	Note
City of Albany	SU-101-2-B-99022-502-A	C MCD Properties, LLC	2	175 Central Avenue	Albany	12206	175 Central Ave		G	868		5th floor office space with parking in Central Business District on Central Avenue in Albany. Many features and amenities, including parking	no	yes	42° 39' 42.2856" N	73° 46' 6.2616" W	
City of Watervliet	SU-101-2-B-99004-000-A	US Army	2	125 Monroe Street	Watervliet	12189	Building 125		G	42,500		Mixed use building on Watervliet Arsenal that includes 2,500 sq ft clean room, 22,000 sq ft Class A Office space, 14,000 sq ft manufacturing space, and approximately 4,100 sq ft storage space	no	no	42° 43' 11.5212" N	73° 42' 38.9016" W	
City of Watervliet	SU-101-2-B-99005-000-A	US Army	2	125 Monroe Street	Watervliet	12189	Building 125		G	28,000		Mixed use building on Watervliet Arsenal that includes 11,000 sq ft clean room, 3,000 sq ft warehouse, 11,000 sq ft Class A Office space, and 3,000 sq ft service area	no	no	42° 43' 11.5212" N	73° 42' 38.9016" W	
Town of Colonie	SU-101-2-B-99003-500-A	Picotte Companies	2	80 Wolf Road	Albany	12205	80 Wolf Rd.		G	4,232		Partial office space on fifth floor, access to retail and 2 miles from main campus	no	yes	42.715311N	73.81292W	
Town of Colonie	SU-101-2-B-99024-301-A	Picotte Companies	2	80 Wolf Road	Albany	12205	80 Wolf Rd.		G	1,197		Partial office space on third floor, access to retail and two miles from main campus	no	yes	42.715311N	73.81292W	
Town of Colonie	SU-101-2-B-99025-302-A	Picotte Companies	2	80 Wolf Road	Albany	12205	80 Wolf Rd.		G	1,454		Partial office space on third floor, access to retail and two miles from main campus	no	yes	42.715311N	73.81292W	
Town of Colonie	SU-101-2-B-99026-103-A	Picotte Companies	2	80 Wolf Road	Albany	12205	80 Wolf Rd.		G	2,147		Partial office space on third floor, access to retail and two miles from main campus	no	yes	42.715311N	73.81292W	
Town of Colonie	SU-101-2-B-99024-101-A	The Windsor Companies	2	3 Washington Square	Albany	12205	Washington Square		G	1,350		Suite 101, professional office space centrally located near main campus	no	no	42.711632N	73.868086W	
Town of Colonie	SU-101-2-B-99024-102-A	The Windsor Companies	2	3 Washington Square	Albany	12205	Washington Square		G	3,937		Suite 102, professional office space centrally located near main campus	no	no	42.711632N	73.868086W	
Town of Colonie	SU-101-2-B-99024-103-A	The Windsor Companies	2	3 Washington Square	Albany	12205	Washington Square		G	3,025		Suite 103, professional office space centrally located near main campus	no	no	42.711632N	73.868086W	
Town of Guilderland	SU-101-2-B-99009-000-A	Rosenblum Companies	2	200 Great Oaks Boulevard	Albany	12203	Great Oaks		G	1,329		1st floor office space in Great Oaks Office Park, centrally located in Guilderland. Office includes upscale finishes, parking, multiple fiber optic data services and Energy Star certified building.	no	yes	42° 40' 54.7788" N	73° 50' 54.6972" W	

Location	UniqueID	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus? Y/N	Within1miOf Campus	Latitude	Longitude	Note
Town of Guilderland	SU-101-2-B-99010-320-A	Rosenblum Companies	2	300 Great Oaks Boulevard	Albany	12203		Great Oaks	G	2,517		Suite 320, 2nd floor office space in the Great Oaks Office Park, centrally located in Guilderland. Office includes upscale finishes, parking, multiple fiber optic data services and Energy Star certified building.	no	yes	42° 40' 51.8124" N	73° 50' 49.4340" W	
Town of Guilderland	SU-101-2-B-99011-325-A	Rosenblum Companies	2	300 Great Oaks Boulevard	Albany	12203		Great Oaks	G	3,656		Suite 325, 2nd floor office space in the Great Oaks Office Park, centrally located in Guilderland. Office includes upscale finishes, parking, multiple fiber optic data services and Energy Star certified building.	no	yes	42° 40' 51.8124" N	73° 50' 49.4340" W	
Town of Guilderland	SU-101-2-B-99012-000-A	Rosenblum Companies	2	286 Washington Ave. Extension	Albany	12203		Corporate Plaza West	G	2,737		Suite 101, first floor office space in Corporate Plaza West, centrally located in Guilderland. Space includes flexible custom space layouts, parking, multiple fiber optic data services and Energy Star certified building.	no	no	42° 42' 19.9368" N	73° 51' 39.7980" W	
Town of Guilderland	SU-101-2-B-99013-203-A	Rosenblum Companies	2	286 Washington Ave. Extension	Albany	12203		Corporate Plaza West	G	1,433		Suite 203, 2nd floor office space in Corporate Plaza West, centrally located in Guilderland.	no	no	42° 42' 19.9368" N	73° 51' 39.7980" W	
Town of Guilderland	SU-101-2-B-99014-204-A	Rosenblum Companies	2	286 Washington Ave. Extension	Albany	12203		Corporate Plaza West	G	604		Suite 204, 2nd floor office space in Corporate Plaza West, centrally located in Guilderland.	no	no	42° 42' 19.9368" N	73° 51' 39.7980" W	
Town of Guilderland	SU-101-2-B-99023-000-A	Rosenblum Companies	2	240 Washington Avenue Extension	Albany	12203		Corporate Plaza	G	1,110		Suite 502 on the 1st floor in Corporate Plaza on Washington Ave Ext in Guilderland, includes parking	no	no	42° 42' 15.6636" N	-73° 51' 31.104" W	
City of Albany	SU-101-1-B-00523-000-U	SUNY Albany	1	135 Western Ave	Albany	12203		Schuyler	C	10,000		Portions of Schuyler building on Downtown Campus - bldg. requires gut renovation, no floor plan available	yes	no	42° 39' 40.156" N	73° 46' 23.764" W	
City of Albany	SU-101-1-B-00041-219-A	SUNY Albany	1	1400 Washington Ave	Albany	12222		Life Sciences	C	1,360		Uptown Campus-Life Sciences 2019, 2023, 2023A; RNA Institute - write-up rm, equip rm, res lab	yes	no	42° 41' 5.528" N	73° 49' 15.541" W	
City of Albany	SU-101-1-B-WSHAV-005-A	SUNY Albany	1	1400 Washington Ave	Albany	12222	Parcel A		D	18.7		Parcel on Fuller Road west bound by residences, Freedom Quad, and Nano	yes	yes	42° 41' 23.244" N	73° 50' 18.84" W	
City of Albany	SU-101-1-B-00038-049-A	SUNY Albany	1	1400 Washington Ave	Albany	12222		Academic Podium	C	230		Office space adjacent to the NYS Mesonet Operations & Lecture Center in the sub-basement of the Academic Podium	yes	yes	42° 41' 5.528" N	73° 49' 15.541" W	

Location	UniqueID	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus? Y/N	Within 1/4 mile of Campus	Latitude	Longitude	Note
Town of East Greenbush	SU-101-1-L-ECAM1-003-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	Parcel1		D		9.7	E.Campus Parcel 1 - Vacant land with road frontage and nearby utilities	yes	no	42°37'43.485"N	73°44'49.525"W	
Town of East Greenbush	SU-101-1-L-ECAM2-003-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	Parcel2		D		26.4	E.Campus Parcel 2 - Vacant land with nearby utilities	yes	no	42°37'49.639"N	73°44'19.805"W	
Town of East Greenbush	SU-101-1-B-00401-127-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	474		E.Campus, A-Wing, room 127 - first floor wet lab	yes	no	42°37'43.698"N	73°44'27.114"W	
Town of East Greenbush	SU-101-1-B-00401-130-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	970		E.Campus, A-Wing, room 130 - first floor lab space	yes	no	42°37'43.414"N	73°44'27.287"W	
Town of East Greenbush	SU-101-1-B-00401-207-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	427		E.Campus, A-Wing, room 207 - second floor office	yes	no	42°37'42.696"N	73°44'28.093"W	
Town of East Greenbush	SU-101-1-B-00401-208-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	366		E.Campus, A-Wing, room 208A & 208B - second floor office	yes	no	42°37'42.696"N	73°44'28.093"W	
Town of East Greenbush	SU-101-1-B-00401-209-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	477		E.Campus, A-Wing, room 209 B-1 - second floor office suite	yes	no	42°37'42.696"N	73°44'28.093"W	
Town of East Greenbush	SU-101-1-B-00401-407-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	1,428		E.Campus, A-Wing, rooms 407, 407A, 407B - 4th floor office spaces	yes	no	42°37'42.490"N	73°44'28.181"W	
Town of East Greenbush	SU-101-1-B-00401-410-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	A-wing		C	1,777		E.Campus, A-Wing, room 410 - 4th floor open office space plan	yes	no	42°37'43.134"N	73°44'27.411"W	
Town of East Greenbush	SU-101-1-B-00402-027-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	473		E.Campus, B-Wing, room 027 Rough Office	yes	no	73°44'25.283"W	42°37'42.929"N	
Town of East Greenbush	SU-101-1-B-00402-028-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	488		E.Campus, B-Wing, room 028 Rough Office	yes	no	73°44'25.283"W	42°37'42.929"N	
Town of East Greenbush	SU-101-1-B-00402-103-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	481		E.Campus, B-Wing, room 103 Rough Office	yes	no	73°44'25.283"W	42°37'42.929"N	
Town of East Greenbush	SU-101-1-B-00402-104-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	820		E.Campus, B-Wing, room 104 Rough Office	yes	no	73°44'25.283"W	42°37'42.929"N	
Town of East Greenbush	SU-101-1-B-00402-105-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	310		E.Campus, B-Wing, room 105 Rough Office/ Specialized Use	yes	no	73°44'25.283"W	42°37'42.929"N	
Town of East Greenbush	SU-101-1-B-00402-106-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	B-wing		C	116		E.Campus, B-Wing, room 106 Rough Office/ Specialized Use	yes	no	73°44'25.283"W	42°37'42.929"N	

Location	UniqueID	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus? Y/N	Within1/4MileOf Campus	Latitude	Longitude	Note
Town of East Greenbush	SU-101-1-B-00402-107-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	227		E.Campus, B-Wing, room 107 and 107A&B Rough Office/ Specialized Use	yes	no	73°44'25.283" W	42°37'42.929" N	
Town of East Greenbush	SU-101-1-B-00402-124-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	487		E.Campus, B-Wing, room 124: first floor wet lab	yes	no	73°44'25.623" W	73°44'25.623" W	
Town of East Greenbush	SU-101-1-B-00402-125-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	471		E.Campus, B-Wing, room 125: first floor wet lab	yes	no	73°44'25.623" W	73°44'25.623" W	
Town of East Greenbush	SU-101-1-B-00402-126-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	475		E.Campus, B-Wing, room 126: first floor wet lab	yes	no	73°44'25.623" W	73°44'25.623" W	
Town of East Greenbush	SU-101-1-B-00402-128-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	453		E.Campus, B-Wing, room 128: first floor wet lab	yes	no	73°44'25.623" W	73°44'25.623" W	
Town of East Greenbush	SU-101-1-B-00402-129-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	B-wing	C	457		E.Campus, B-Wing, room 129: first floor wet lab	yes	no	73°44'25.811" W	73°44'25.811" W	
Town of East Greenbush	SU-101-1-B-00403-112-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	447		E.Campus, C-Wing, room 112, first floor office	yes	no	73°44'28.13" W	73°44'28.13" W	
Town of East Greenbush	SU-101-1-B-00403-114-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	946		E.Campus, C-Wing, room 114, first floor office	yes	no	73°44'28.003" W	73°44'28.003" W	
Town of East Greenbush	SU-101-1-B-00403-115-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	683		E.Campus, C-Wing, room 115 & 115B, first floor offices	yes	no	73°44'27.361" W	73°44'27.361" W	
Town of East Greenbush	SU-101-1-B-00403-1AA-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	498		E.Campus, C-Wing, room 115A & 115AA, first floor office - could be part of larger 115 suite	yes	no	73°44'27.361" W	73°44'27.361" W	
Town of East Greenbush	SU-101-1-B-00403-123-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	195		E.Campus, C-Wing, room 123, first floor storage	yes	no	73°44'27.396" W	73°44'27.396" W	
Town of East Greenbush	SU-101-1-B-00403-124-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	C-wing	C	285		E.Campus, C-Wing, room 124, first floor support space	yes	no	73°44'27.548" W	73°44'27.548" W	
Town of East Greenbush	SU-101-1-B-00405-201.1	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	D-wing	C	422		E.Campus, D-Wing, room 201,201A: second floor office space, 2 rooms, one for reception	yes	no	73°44'24.163" W	73°44'24.163" W	g tac
Town of East Greenbush	SU-101-1-B-00405-206-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	D-wing	C	601		E.Campus, D-Wing, rooms 206,206A: second floor office with small equipment room	yes	no	73°44'23.464" W	73°44'23.464" W	
Town of East Greenbush	SU-101-1-B-00404-014-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	584		E.Campus, DB-Wing, basement rooms 1A, 14A, 14B, for storage or support	yes	no	73°44'24.528" W	73°44'24.528" W	

Location	UniqID	Owner	Property Type	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	Sqft	Acres	Description	onCampus? Y/N	Within1/4miOff Campus	Latitude	Longitude	Note
Town of East Greenbush	SU-101-1-B-00404-015-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	620		E.Campus, DB-Wing, basement rooms 15, 15A, 15B, 15C, 15D, 15E, for storage or support	yes	no	42°37'42.043" N	73°44'24.465" W	
Town of East Greenbush	SU-101-1-B-00404-016-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	611		E.Campus, DB-Wing, basement room 16 for storage or support	yes	no	42°37'41.758" N	73°44'24.333" W	
Town of East Greenbush	SU-101-1-B-00404-018-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	594		E.Campus, DB-Wing, basement room 18 for storage or support	yes	no	42°37'41.961" N	73°44'23.765" W	
Town of East Greenbush	SU-101-1-B-00404-019-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	587		E.Campus, DB-Wing, basement rooms 19, 19A, 19B, 19C, 19D, 19E, for storage or support	yes	no	42°37'42.112" N	73°44'24.012" W	
Town of East Greenbush	SU-101-1-B-00404-020-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	583		E.Campus, DB-Wing, basement rooms 20, 20A, 20B, for storage or support	yes	no	42°37'42.411" N	73°44'24.183" W	
Town of East Greenbush	SU-101-1-B-00404-119-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	658		E.Campus, DB-Wing, first floor room 119 rough office/Storage/support	yes	no	42°37'42.887" N	73°44'24.56" W	
Town of East Greenbush	SU-101-1-B-00404-120-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	414		E.Campus, DB-Wing, first floor rooms 120F - H	yes	no	42°37'42.887" N	73°44'24.56" W	
Town of East Greenbush	SU-101-1-B-00404-123-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	433		E.Campus, DB-Wing, first floor room 123 lab	yes	no	42°37'42.887" N	73°44'24.56" W	
Town of East Greenbush	SU-101-1-B-00404-124-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	629		E.Campus, DB-Wing, first floor office suite, 124, 124B, 124C, 124D, 124 E, 3 offices, large open area, foyer	yes	no	42°37'42.887" N	73°44'24.56" W	
Town of East Greenbush	SU-101-1-B-00404-125-A	University at Albany Biosciences Development Corporation	1	5 University Place	Rensselaer	12144	-----	DB-wing	C	713		E.Campus, DB-Wing, first floor room 125 and 125 A-C lab	yes	no	42°37'42.887" N	73°44'24.56" W	

33,270 CAMPUS CONTROLLED SPACE (ON CAMPUS)

170,384 NON CONTROLLED SPACE (OFF CAMPUS)

54.8 LAND (ACRES)

203,454 TOTAL SPACE

UPDATED MAY 13, 2014

\*\* Avenue building  
 B=floor within building  
 C=Room within building  
 D=land on campus  
 E=land off campus  
 F=entire building off campus  
 G=partial building off campus  
 H=state asset

**Designated Land or Building Unique ID Standard**

LL - ## - B - X - YYYYY - ZZZ - A  
 LL - is SU for SUNY, CU for CUNY and PV for Privates  
 ## - is a 3-digit no. code for sponsor campus - 101 is UAlbany  
 B - is a 1 for on campus and a 2 for off-campus  
 X - is L, B or C for Land, Building or Combination  
 YYYYY - is the PSI building number or for land a campus assigned number.  
 ZZZ - is an additional number, generally 000 but where there are several units within a single building each would get a unique identifier.  
 A - indicates A - if the property/space is currently available for a new business, U - is unavailable for any reason other than U, or I - is currently in use by a StartUp NY approved business

UAlbany / Off-Campus - 418 Broadway



**UAlbany / Off-Campus - 418 Broadway**

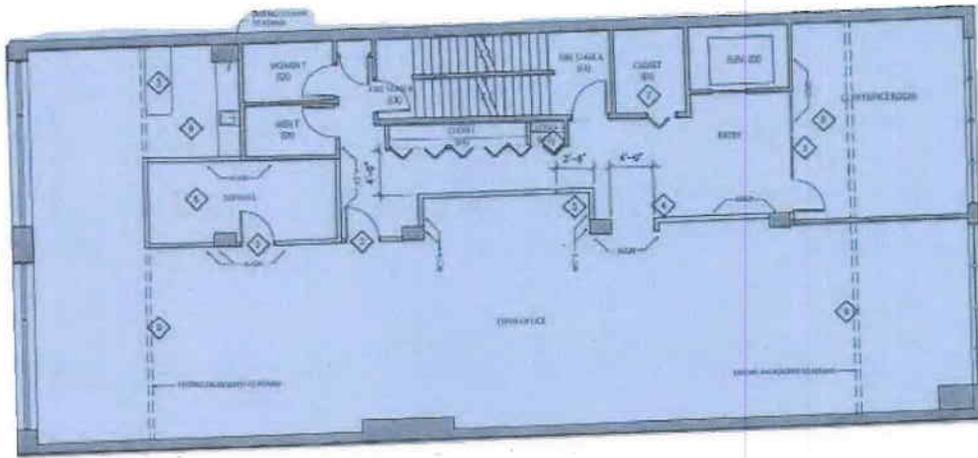


Red Area Indicates Single Leased Block

**Unique ID: SU-101-2-8-99001-000-A**  
**Total Area: 2,800 SF**



**UAlbany Off Campus  
52 James Street, 4th Floor, Albany, NY**



**Single Leased Block**

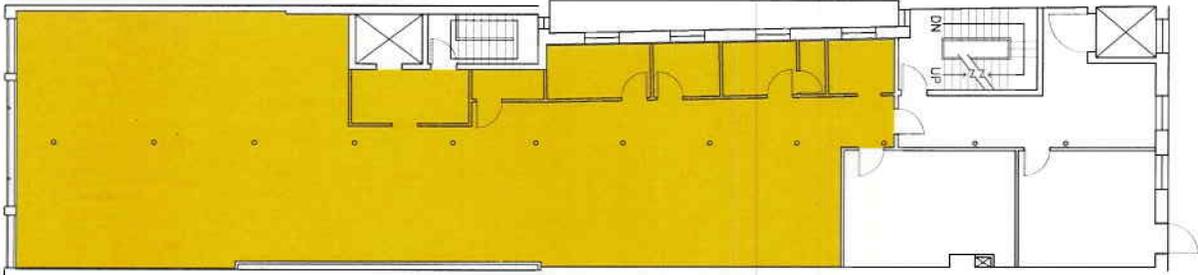
**Unique ID: SU-101-2-B-99006-000-A  
Total Area: 3,141 SF**



UAlbany Center for International Development - 99 Pine Street, Albany,

Measure distance  
Total distance: 1,251.82 ft (381.56 m)

**UAlbany Off/Campus  
384 Broadway Albany, NY**



Unique ID: SU-101-2-B-99027-000-A

■ - Lease Area (2657 sq. ft.)



**Second Floor Plan**

SCALE 3/32" = 1'- 0"

A-1	DRAWING NO.	SCALE AS NOTED CONVENTIONAL	<b>RB WING BUILDING</b> 384 - 386 Broadway ALBANY, NY	DRAWING NO.	A-1
		DRAWN BY CWD/VAS			

Albany Downtown Campus  
Albany, NY

Center for Medical Science  
150 New Scotland Avenue, Albany, NY

150 New Scotland Ave

Measure distance

Total distance: 3,919.13 ft (1.19 km)



Albany / Off-Campus - Center for Medical Sciences - 150 New  
Scotland Avenue, Albany, NY



Unique ID: SU-101-2-B-99004-000-A  
Total Area: 25,237 SF

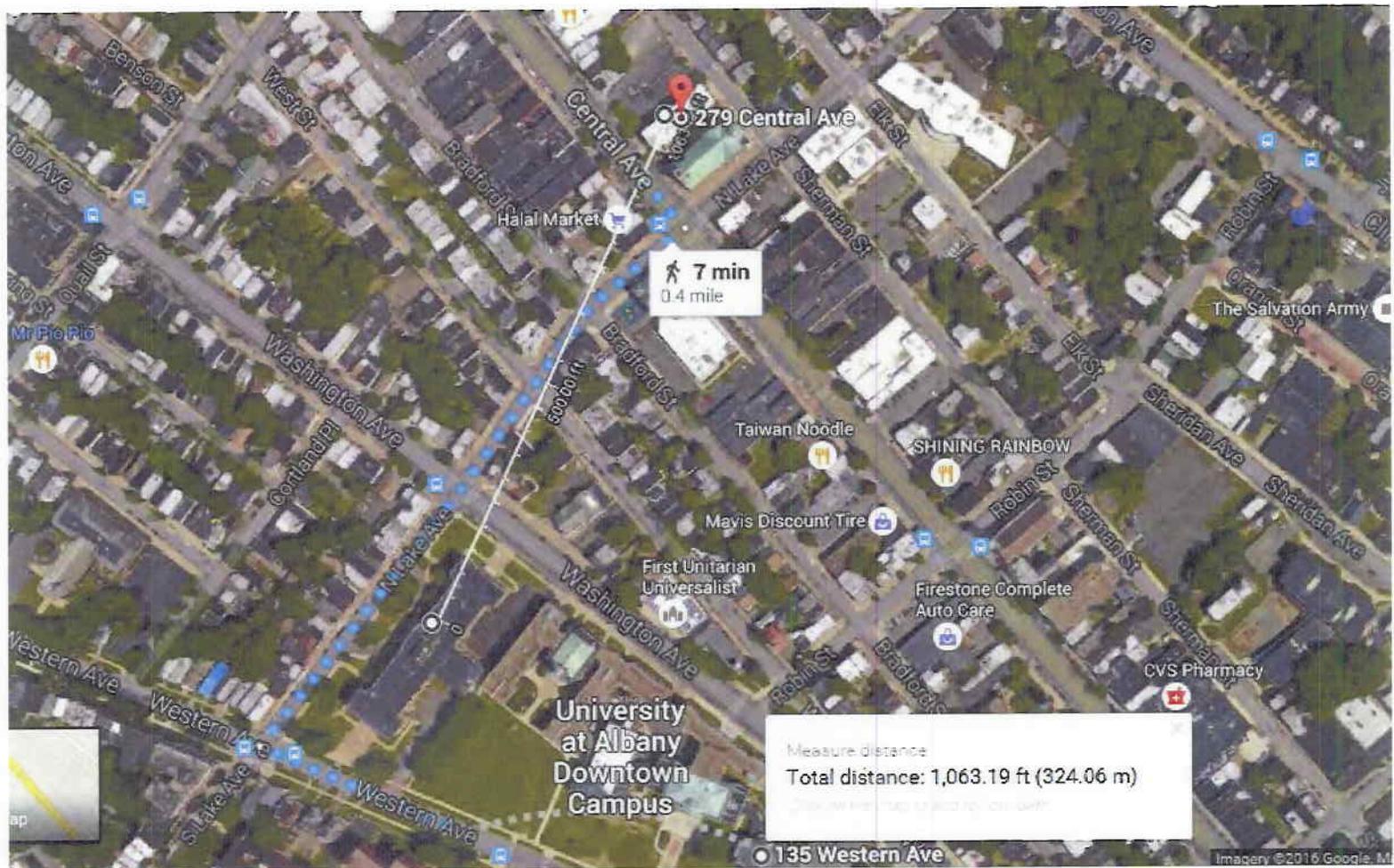
Shaded area is vacant area and  
could be sub-divided



CENTER OF MEDICAL SCIENCES  
150 New Scotland Ave. Albany, NY 12242

THIRD FLOOR PLAN

03/2008



279 Central Ave

7 min  
0.4 mile

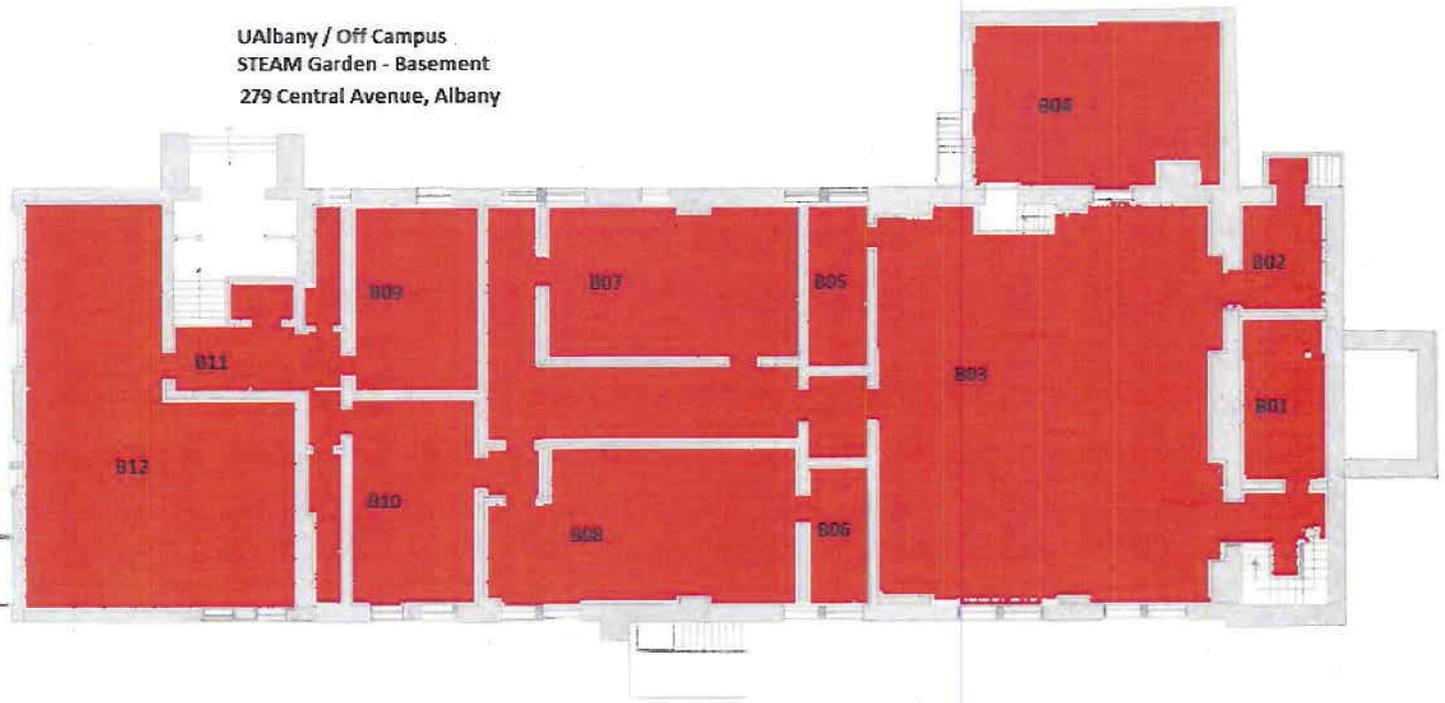
University  
at Albany  
Downtown  
Campus

Measure distance  
Total distance: 1,063.19 ft (324.06 m)

135 Western Ave

Imagery ©2016 Google M

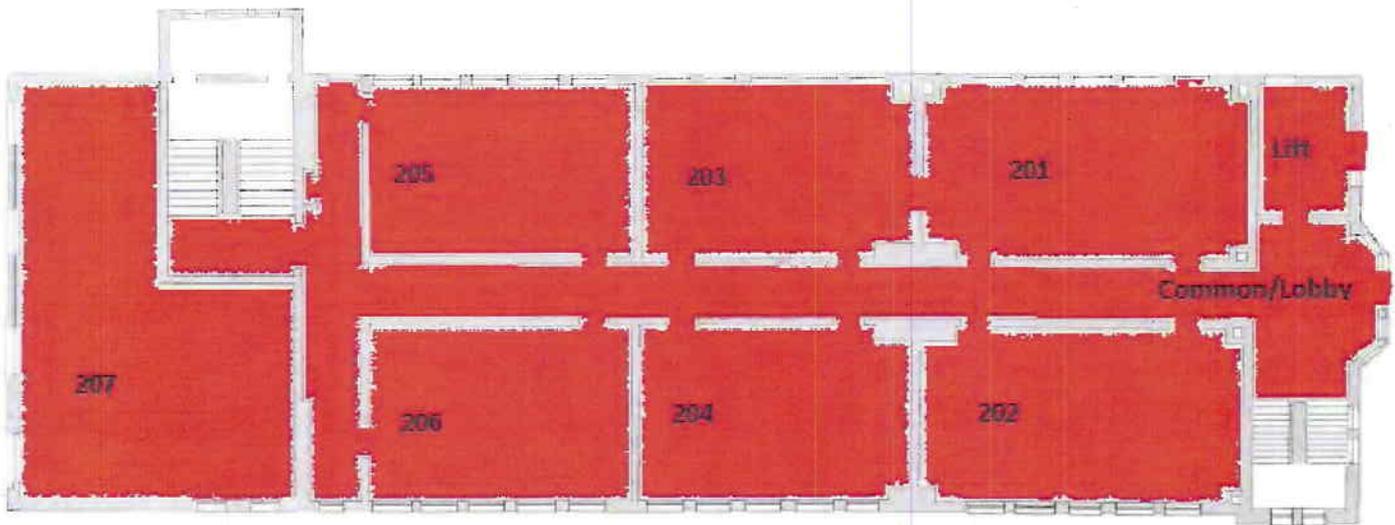
UAlbany / Off Campus  
STEAM Garden - Basement  
279 Central Avenue, Albany



Unique ID: SU-101-2-B-99008-000-A  
Total Area: 7000 SF

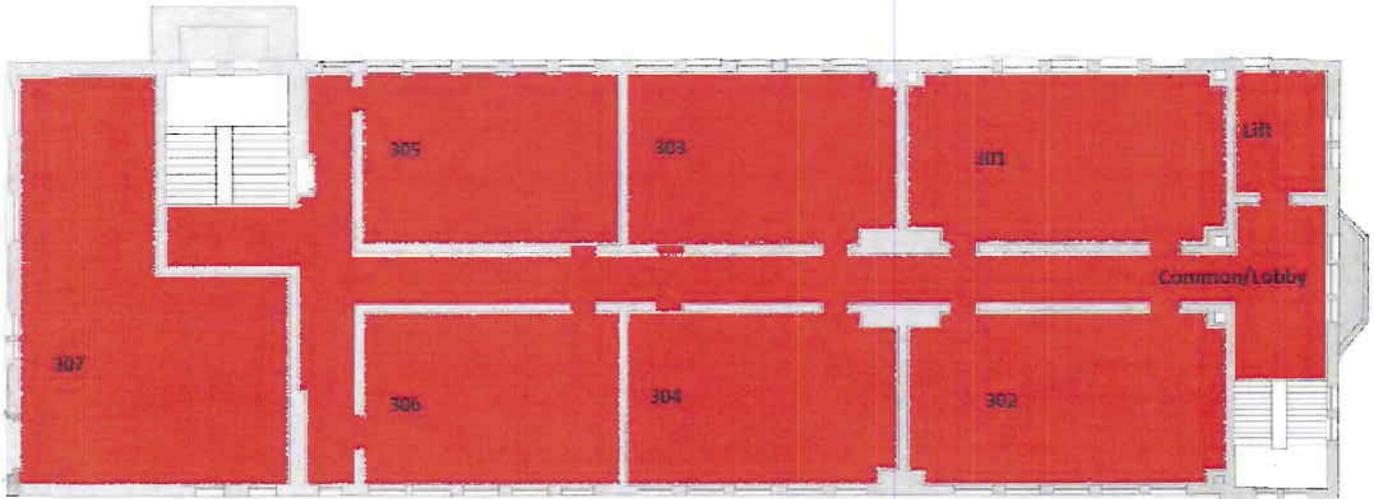
1/10/2018

UAlbany / Off Campus  
Steam Garden - 279 Central Avenue, Albany  
2nd Floor



Unique ID: SU-101-2-B-99008-000-A  
Total Area: 6750 SF

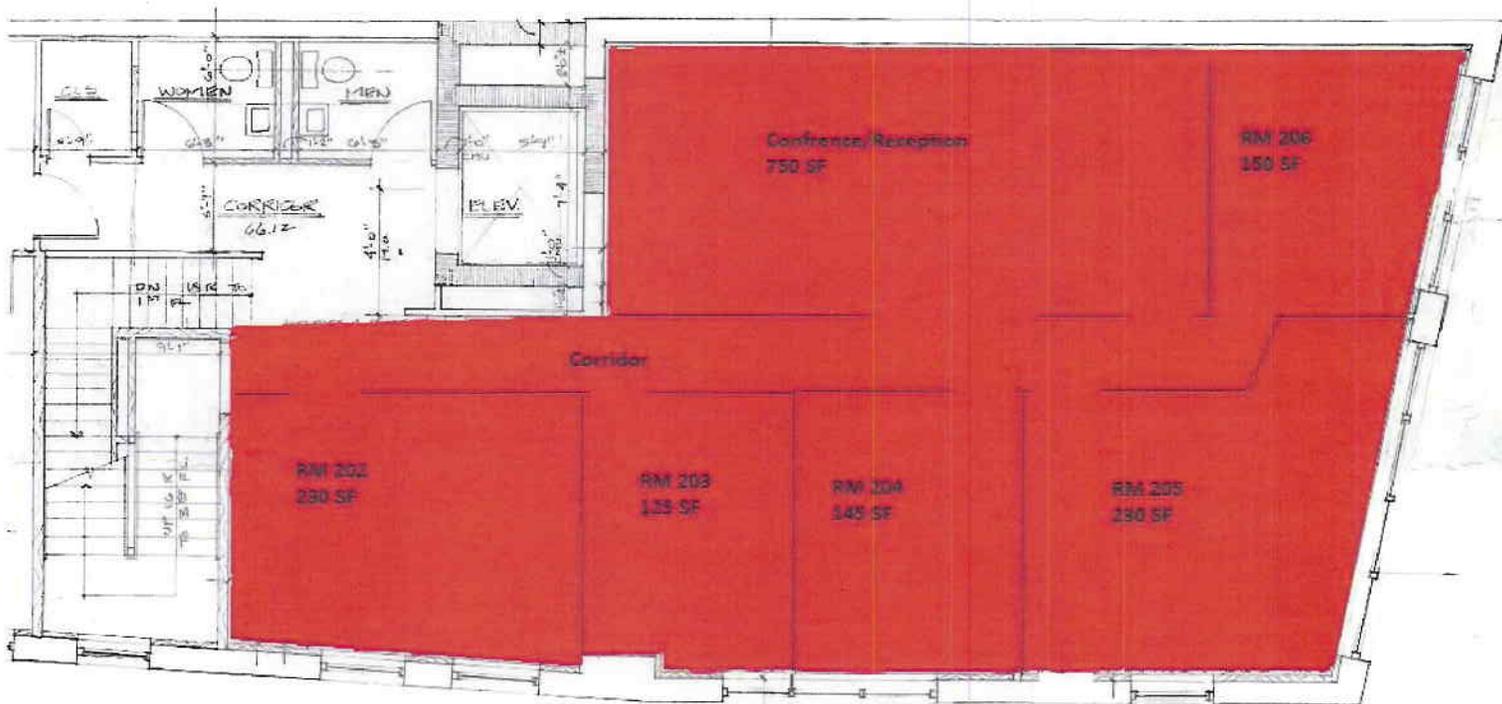
UAlbany / Off-Campus  
STEAM Garden - 279 Central Avenue, Albany  
3rd Floor



Unique ID: SU-101-2-B-99008-000-A  
Total Area: 6750 SF



UAlbany / Off-Campus  
61 Columbia Street, Albany



Unique ID Rooms 203,204,205,206 & Conf: SU-101-2-p-99014-203-A

Total Area: 1,400 SF

Unique ID Room 202: SU-101-2-B-99014-202-A

Total Area: 230 SF



UAlbany Center for International Development  
99 Pine Street, Albany

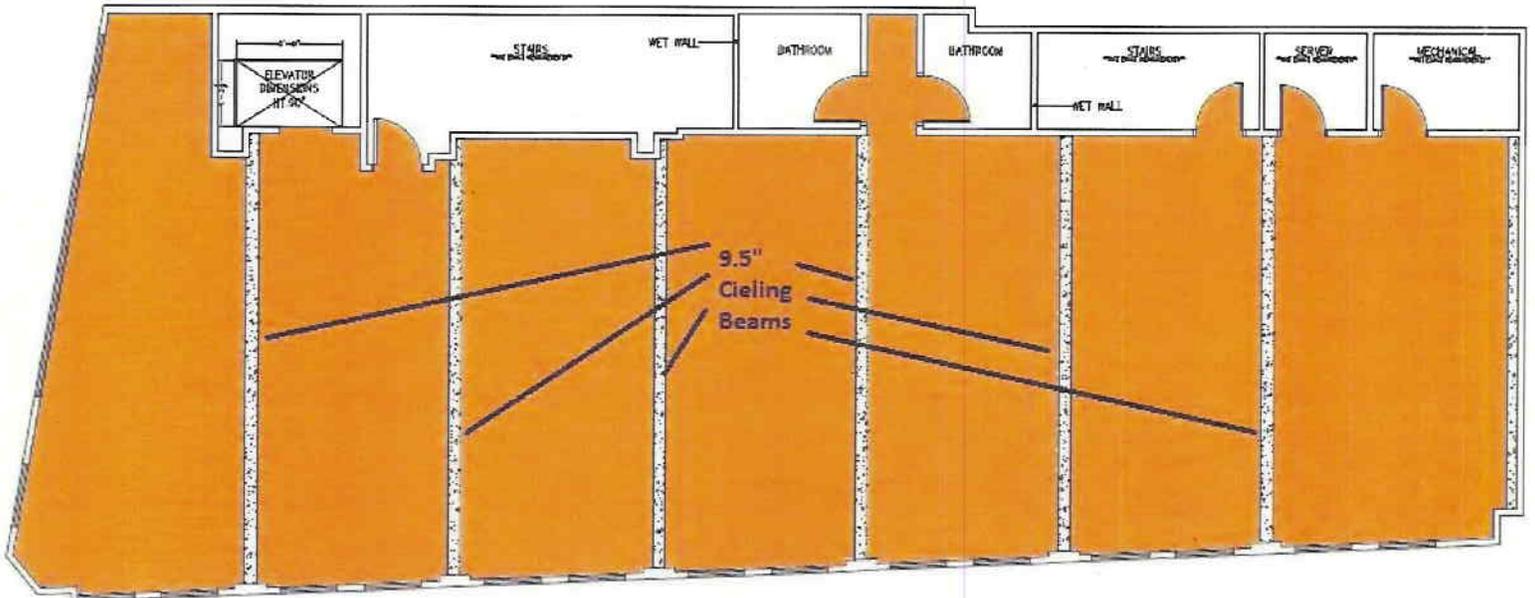
4 min  
0.2 mile

4 min  
0.2 mile

50 State Street, Albany

Measure distance  
Total distance: 803.95 ft (245.04 m)  
Click on the map to add another point

UAlbany / Off Campus  
50 State Street, 2nd Floor, Albany, NY



Shaded area leased as single block

Unique ID: SU-101-2-B-99017-000-A  
Total Area: 3750 SF

99 Pine St, Albany, NY 12207

22 Clinton Ave, Albany, NY 12207

OPTIONS

Send directions to your phone

via N Pearl St 6 min  
0.3 mile

DETAILS

via Chapel St 7 min  
0.3 mile

UAlbany Center for International Development - 99 Pine St. ALBANY

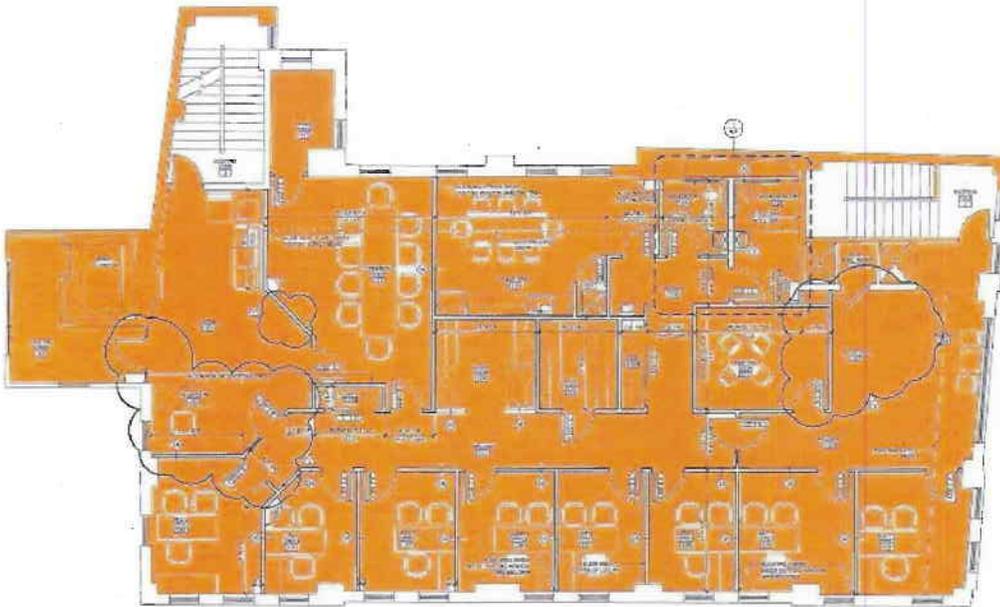


Measure distance  
Total distance: 1,127.11 ft (343.54 m)

UAlbany / Off Campus  
22 Clinton Avenue, Albany, NY

Unique ID: SU-101-2-B-99018-000-A

Total Area: 3,700 SF



1 CONSTRUCTION PLAN

PROJECT NO. 101-2-B-99018-000-A

CLIENT: UALBANY STATE UNIVERSITY

DATE: 11/11/11

PROJECT NAME: UALBANY / OFF CAMPUS

PROJECT ADDRESS: 22 CLINTON AVENUE, ALBANY, NY 12242

PROJECT TYPE: CONSTRUCTION PLAN

SCALE: 1/8" = 1'-0"

DATE: 11/11/11

DESIGNED BY: J. J. JACOBI & ASSOCIATES, INC.

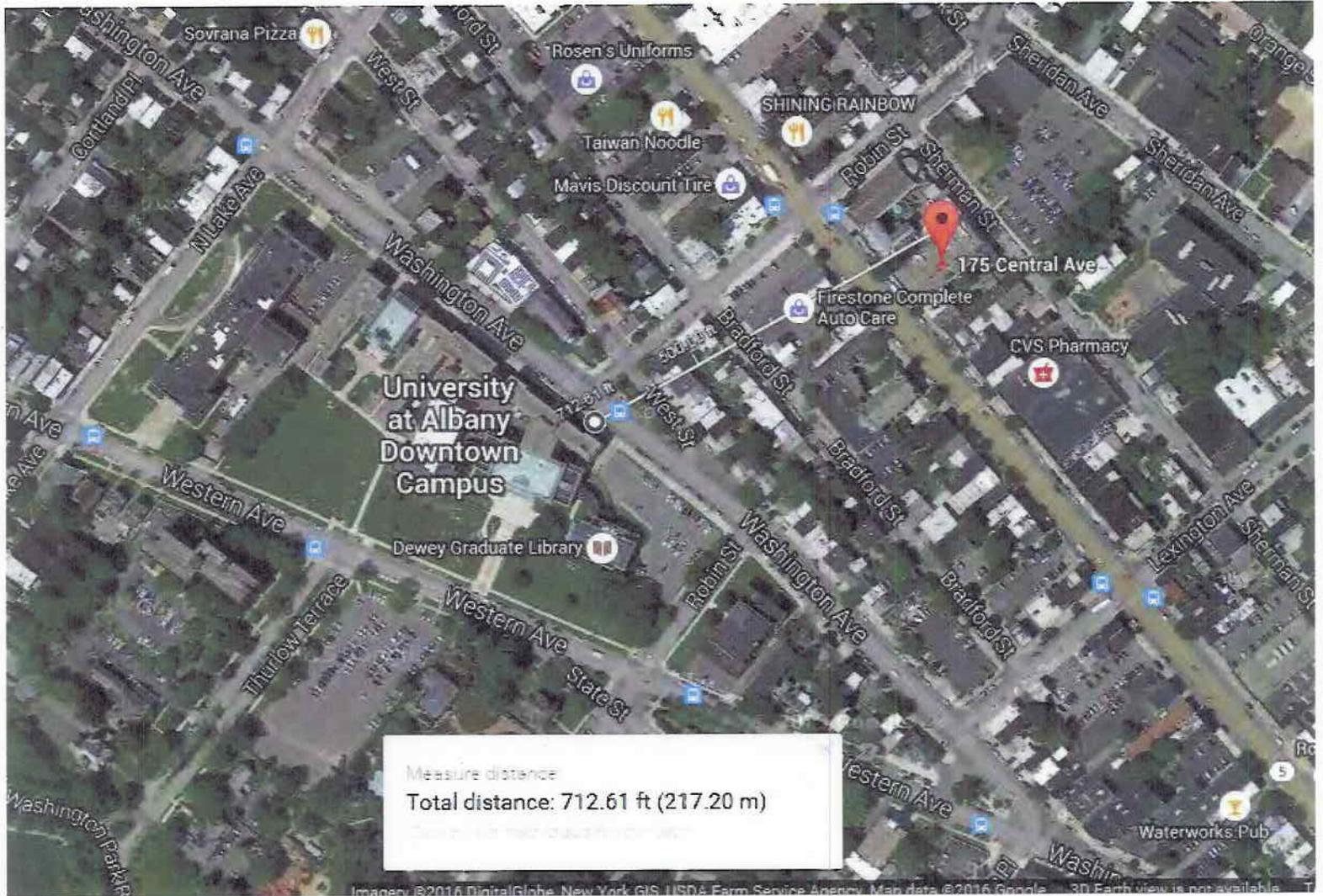
30  
jacobifac.com

ALL DIMENSIONS UNLESS NOTED OTHERWISE

DATE: 11/11/11

A1.0







**UAlbany / Off Campus**  
**175 Central Avenue - 4th Floor, Albany,**

**Unique ID: SU-101-2-B-99019-401-A**  
**Total Area: 3663 SF**

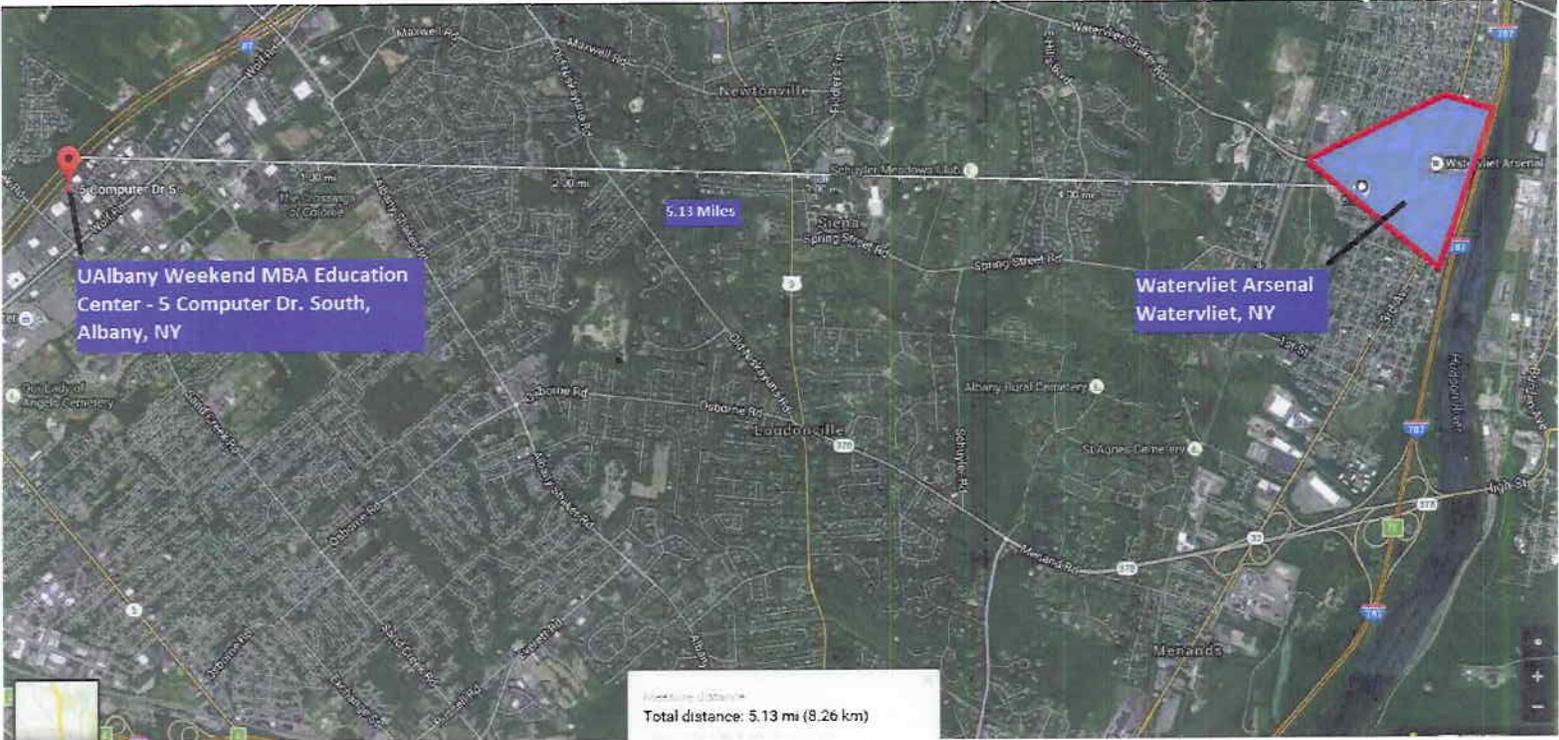


**UAlbany / Off Campus  
175 Central Avenue, 5th Floor, Albany**

**Suite 501**  
Unique ID: SU-101-2-B-99021-501-A  
Total Area: 890 SF

**Suite 502**  
Unique ID: SU-101-2-B-99022-502-A  
Total Area: 868 SF

**Suite 503**  
Unique ID: SU-101-2-B-99020-503-A  
Total Area: 140 SF



**UAlbany Weekend MBA Education Center - 5 Computer Dr. South, Albany, NY**

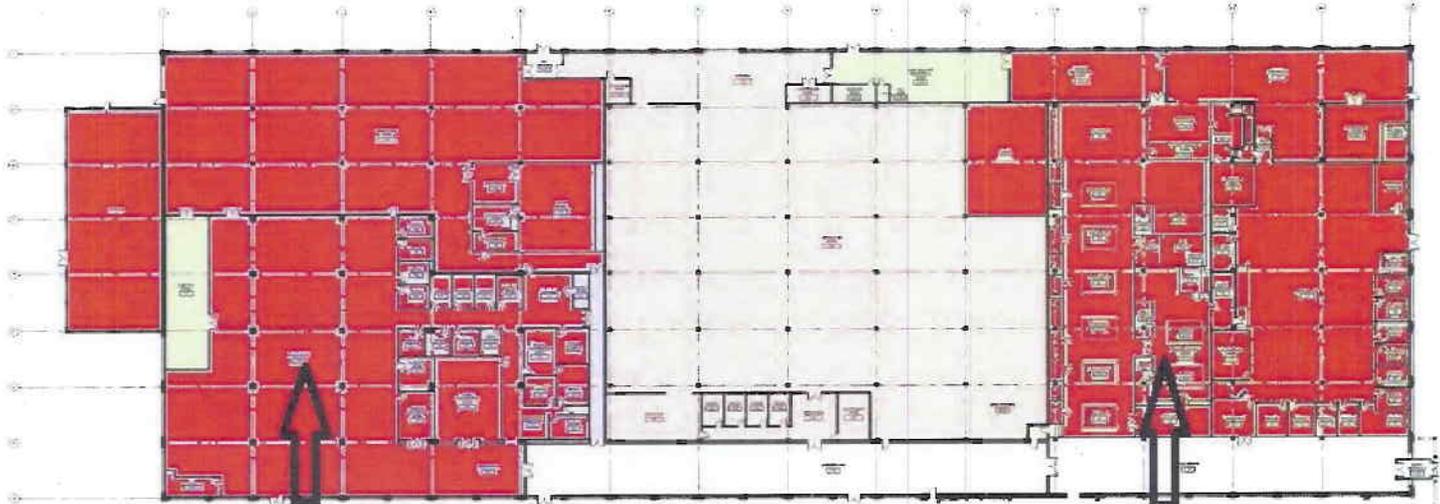
**Watervliet Arsenal  
Watervliet, NY**

Scale: 1:50,000  
**Total distance: 5.13 mi (8.26 km)**



**Building 125 - Watervliet Arsenal**  
125 Monroes Street, Watervliet, NY

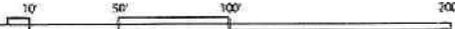
**UAlbany/Off-Campus - Watervliet Arsenal  
125 Monroe Street, Watervliet, NY**



**Unique ID: SU-101-2-B-99004-000-A**  
**Total Area: 42,500 SF**

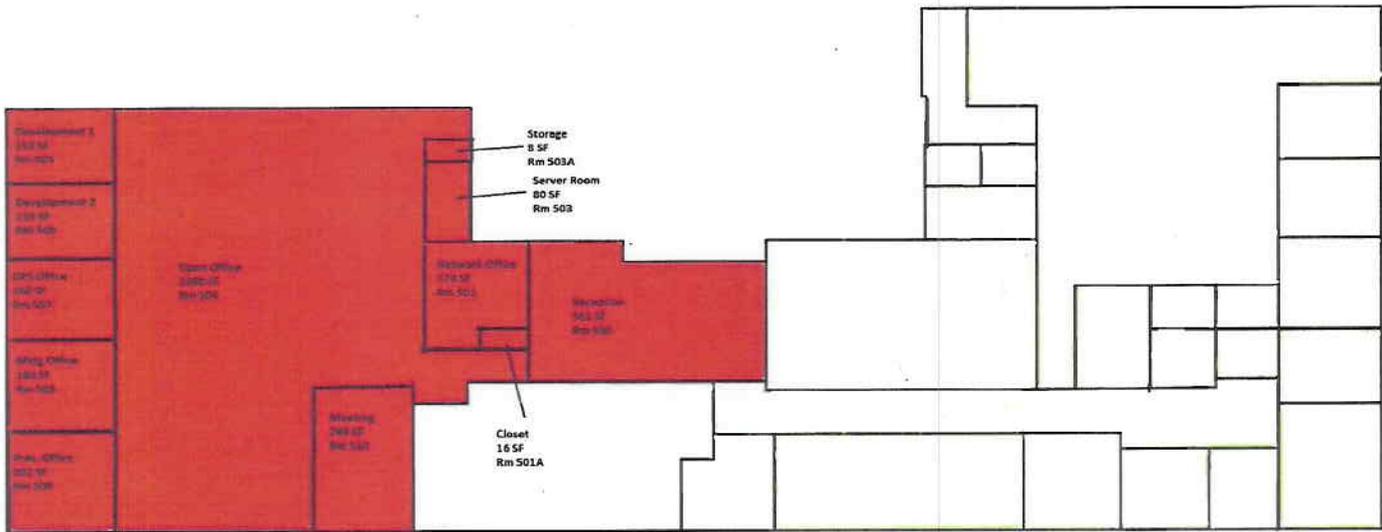
**Unique ID: SU-101-2-B-99005-000-A**  
**Total Area: 28,000 SF**

**Overall Building Plan of Building 125**  
Watervliet Arsenal, Watervliet, NY





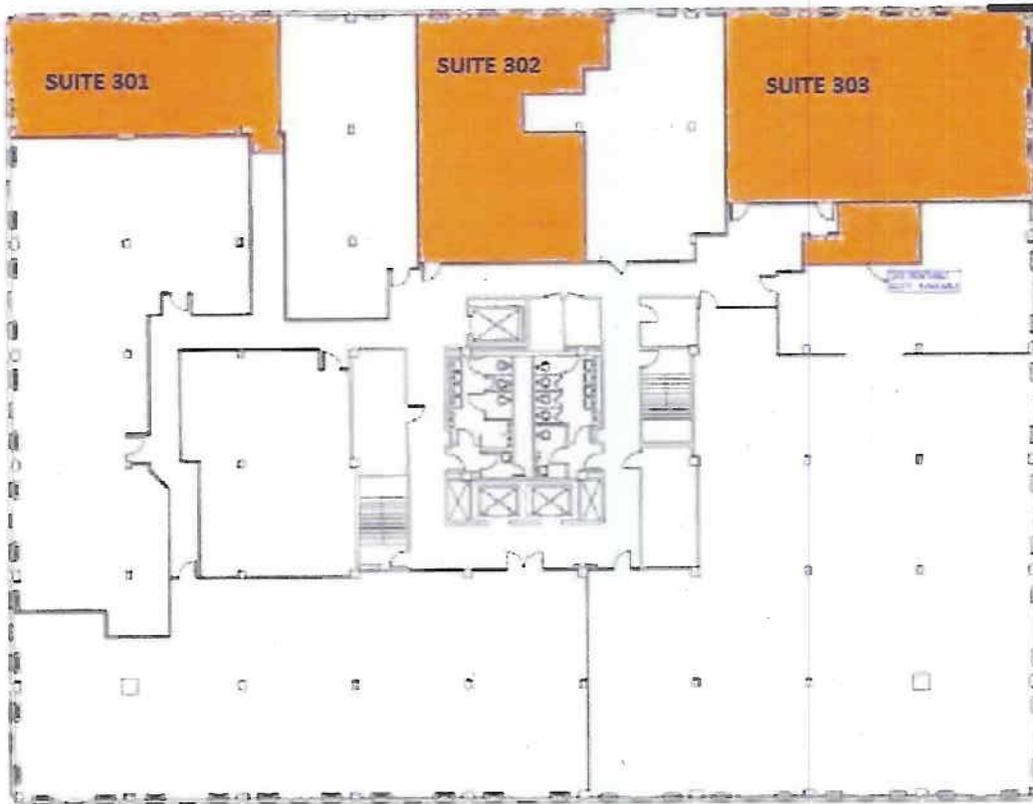
**UAlbany Off-Campus - 80 Wolf Road, Albany**



Red Area Indicates Single Leased Block for designated TFA space.

**Unique 10: SU-101-2-B-99003-500-A**  
**Total Area : 4232 SF**

**UAlbany / Off Campus  
80 Wolf Road 3rd Floor, Albany**

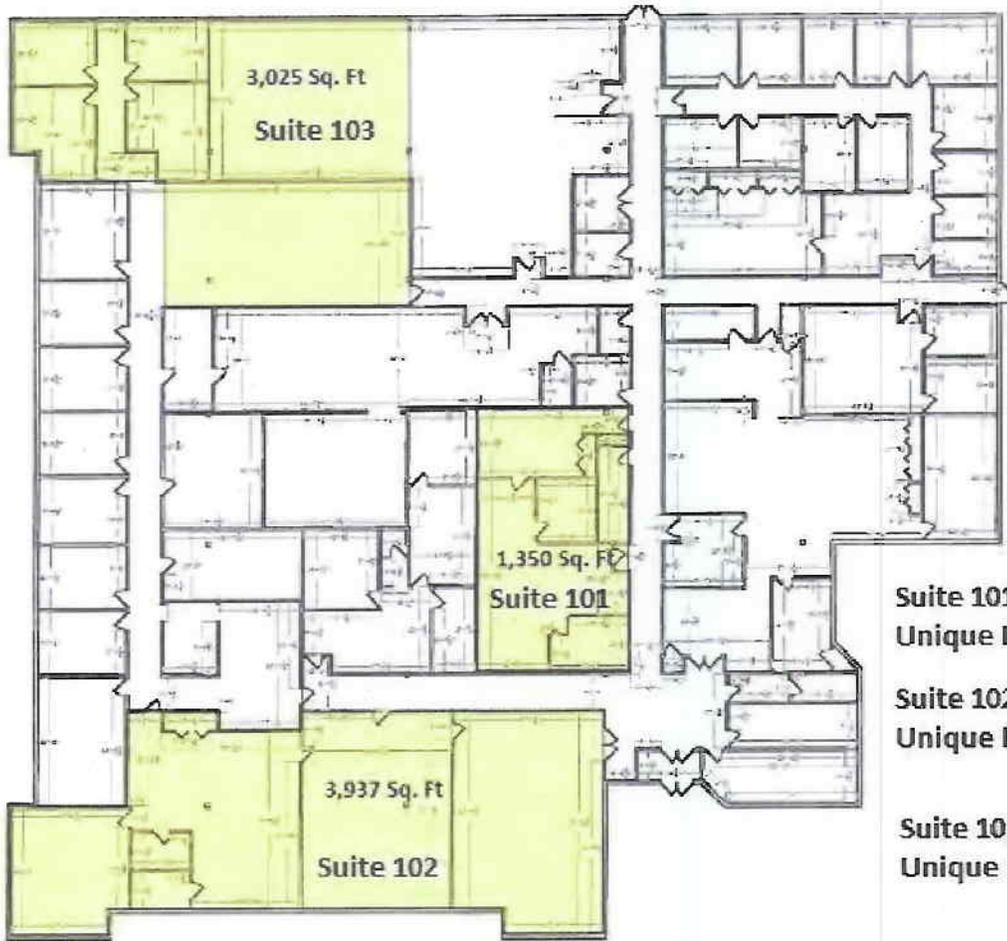


**Suite 301**  
Unique ID: SU-101-2-B-99024-301-A  
Total Area: 1197 SF

**Suite 302**  
Unique ID: SU-101-2-B-99025-302-A  
Total Area: 1454 SF

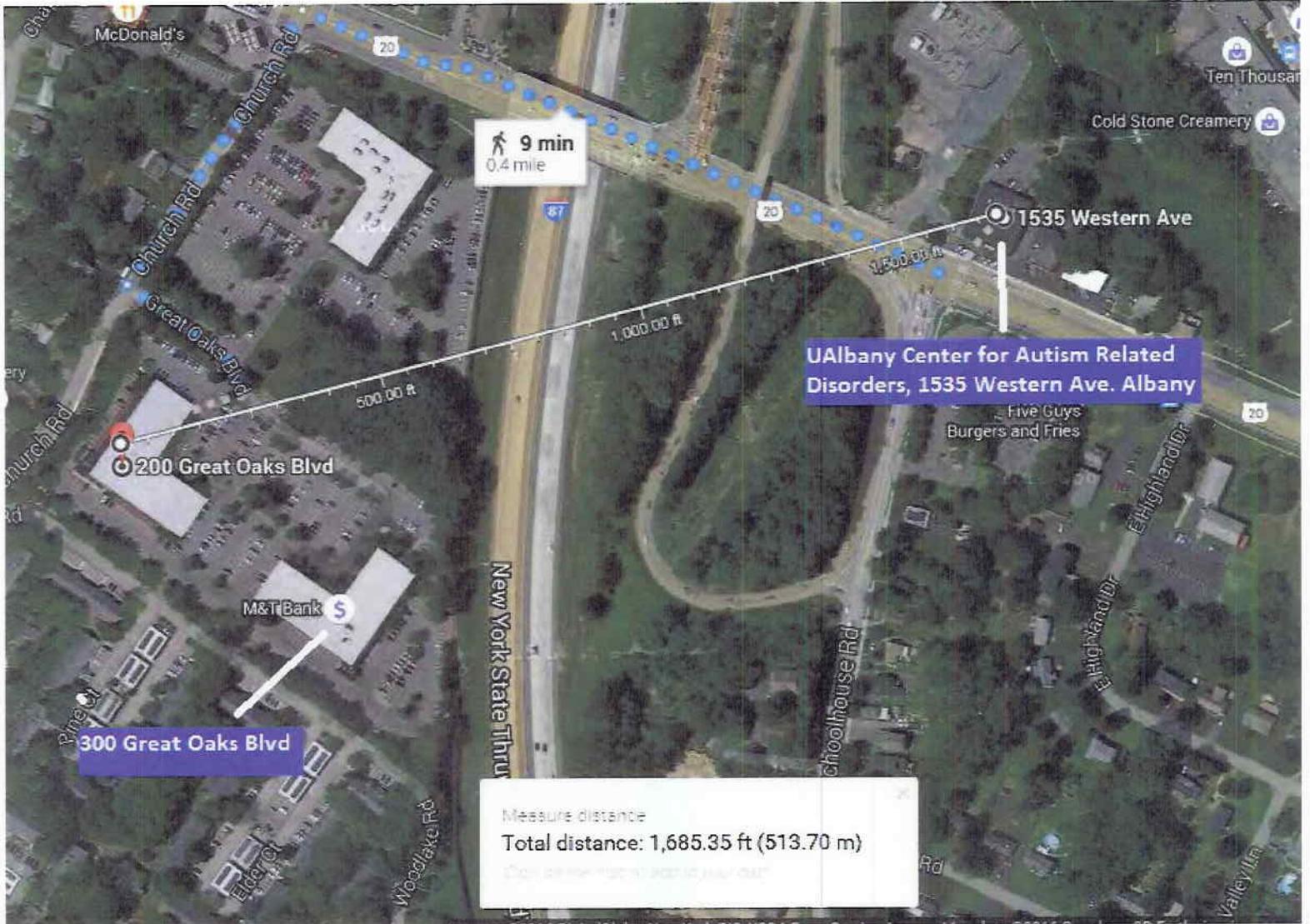
**Suite 303**  
Unique ID: SU-101-2-B-99026-303-A  
Total Area: 2147 SF





- Suite 101 1350 SF  
Unique ID: SU-101-2-B-99024-101-A
- Suite 102 3937 SF  
Unique ID: SU-101-2-B-99024-102-A
- Suite 103 3025 SF  
Unique ID: SU-101-2-B-99024-103-A

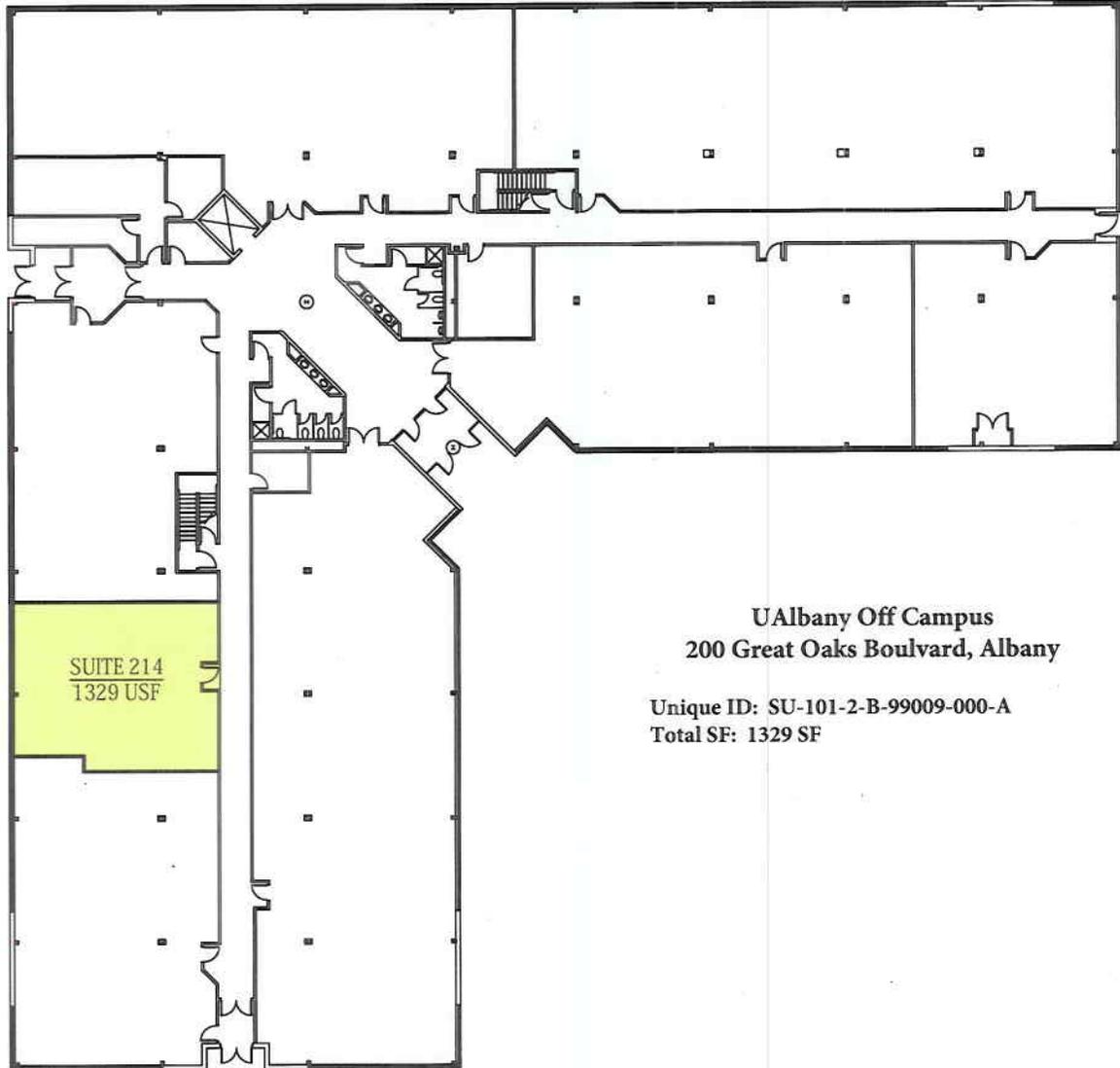
**UAlbany Off Campus Space  
3 Washington Square, Albany, NY**



9 min  
0.4 mile

UAlbany Center for Autism Related Disorders, 1535 Western Ave. Albany

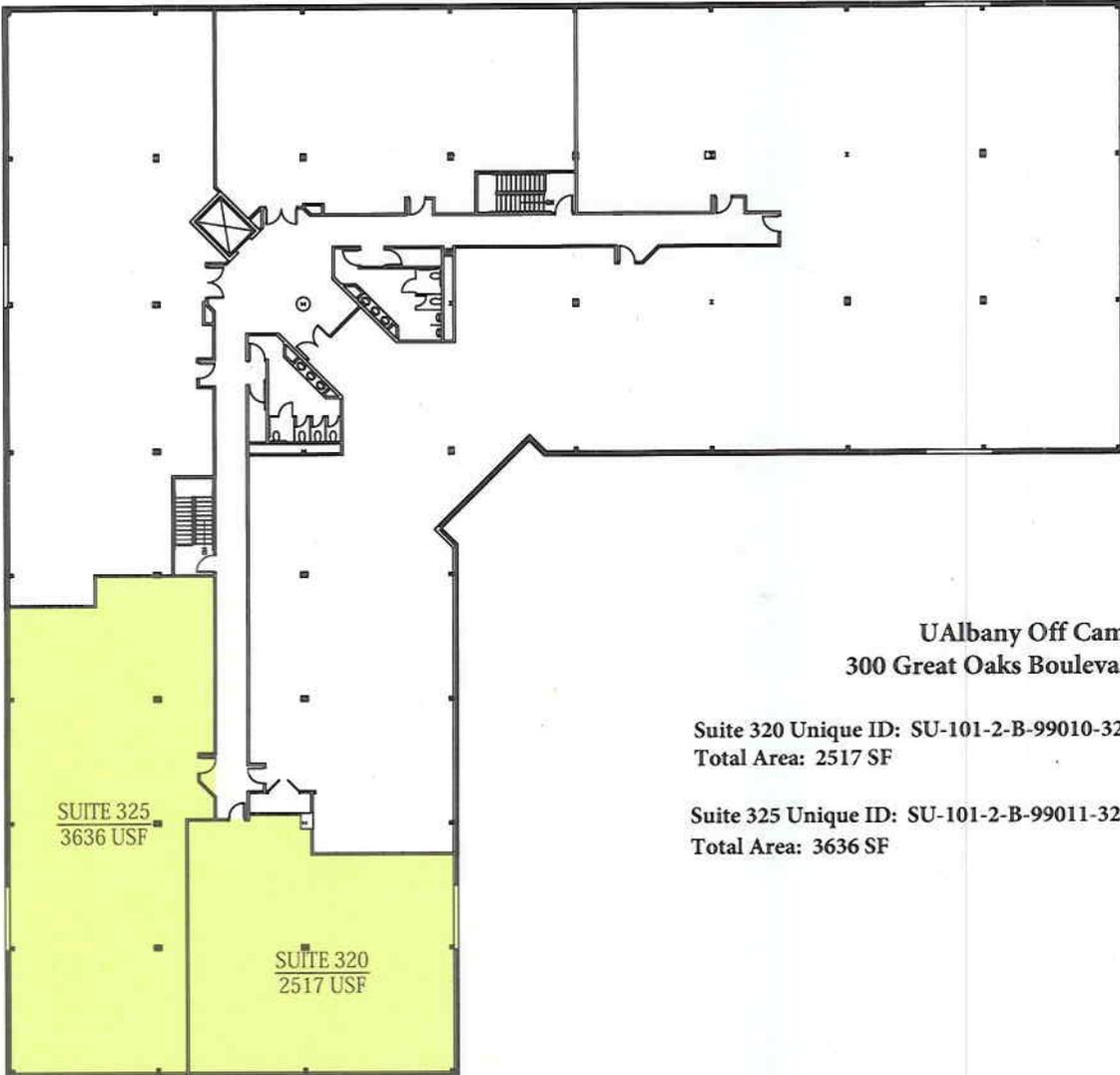
Measure distance  
Total distance: 1,685.35 ft (513.70 m)



**UAlbany Off Campus  
200 Great Oaks Boulevard, Albany**

Unique ID: SU-101-2-B-99009-000-A  
Total SF: 1329 SF

○ 200 GREAT OAKS BOULEVARD, ALBANY, NY 12203 - FIRST FLOOR



**UAlbany Off Campus  
300 Great Oaks Boulevard, Albany**

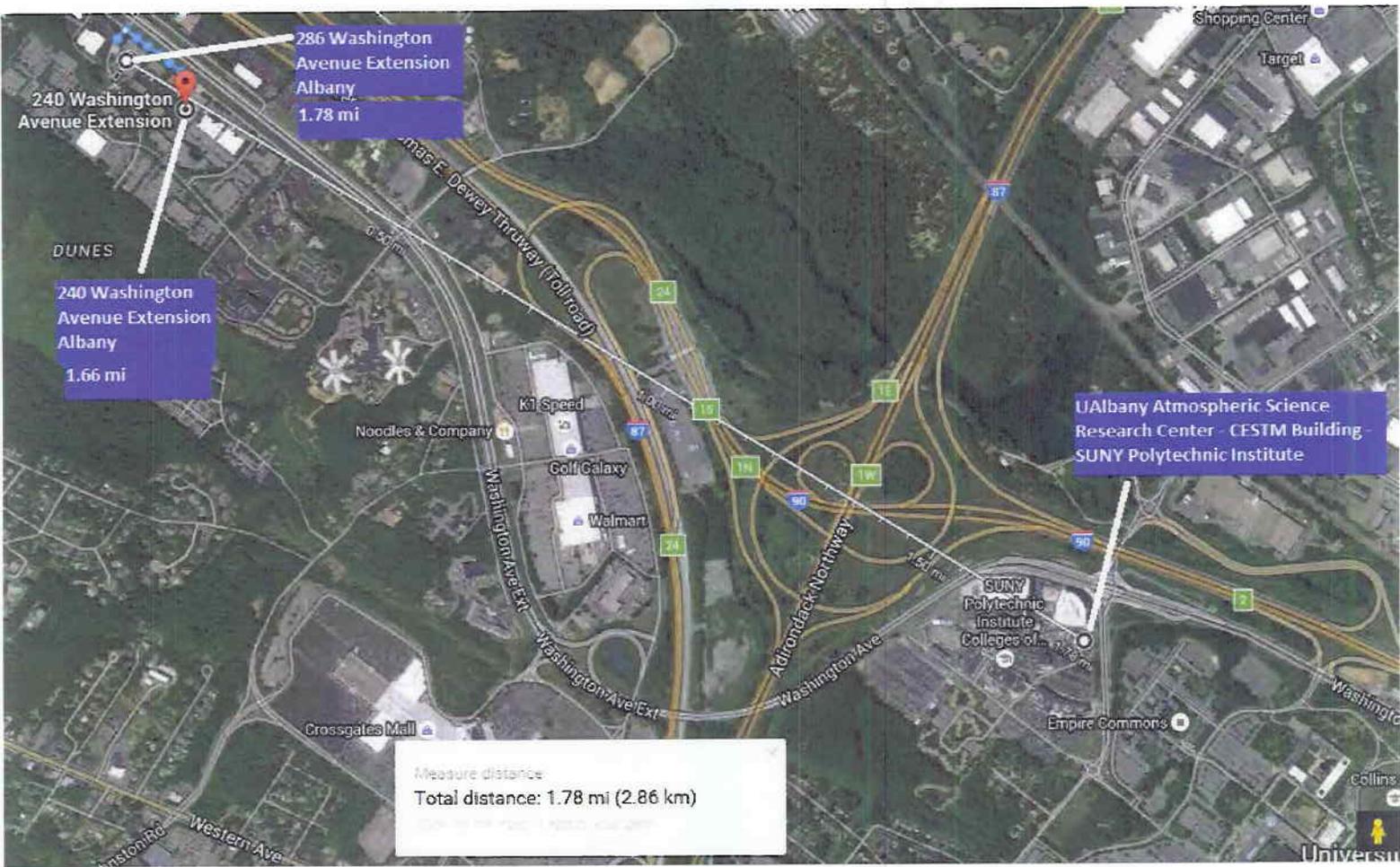
Suite 320 Unique ID: SU-101-2-B-99010-320-A  
Total Area: 2517 SF

Suite 325 Unique ID: SU-101-2-B-99011-325-A  
Total Area: 3636 SF

SUITE 325  
3636 USF

SUITE 320  
2517 USF

○ 300 GREAT OAKS BOULEVARD ALBANY, NY 12203 - SECOND FLOOR



240 Washington Avenue Extension

286 Washington Avenue Extension Albany 1.78 mi

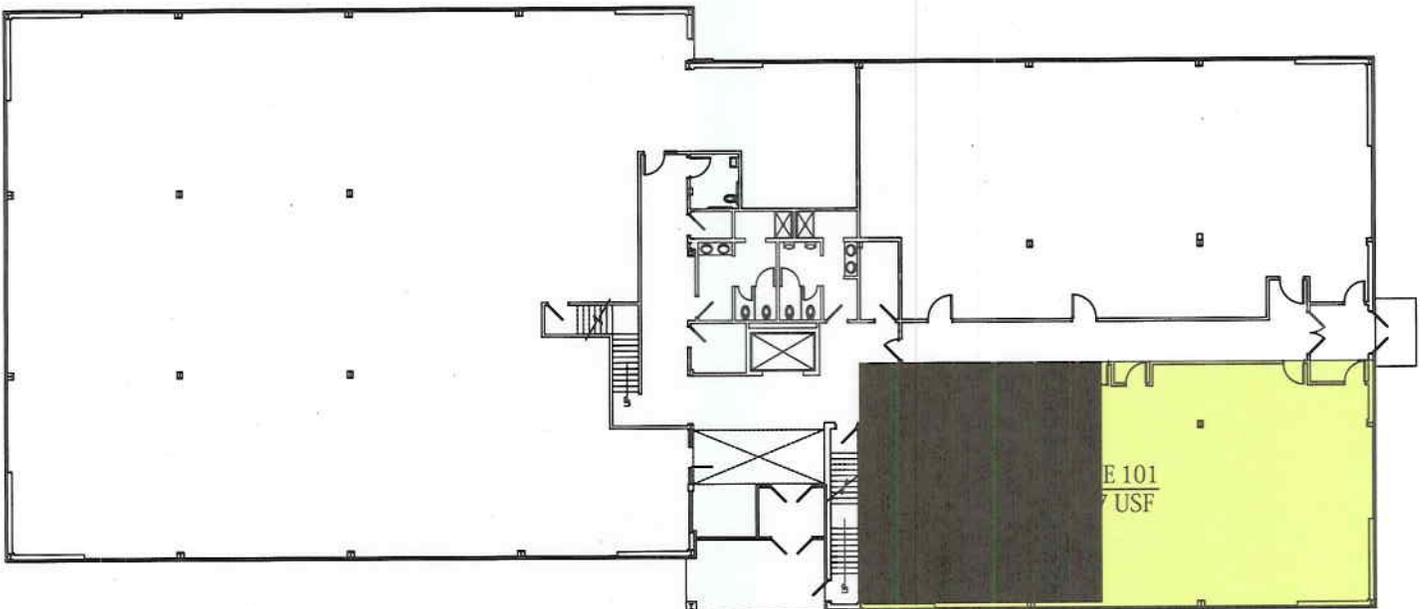
DUNES  
240 Washington Avenue Extension Albany 1.66 mi

UAlbany Atmospheric Science Research Center - CESTM Building - SUNY Polytechnic Institute

Measure distance  
Total distance: 1.78 mi (2.86 km)

**UAlbany Off Campus  
Corporate Plaza West  
286 Washington Avenue Extension, Albany**

Unique ID: SU-101-2-B-99012-000-A  
Total Area: 2737 SF

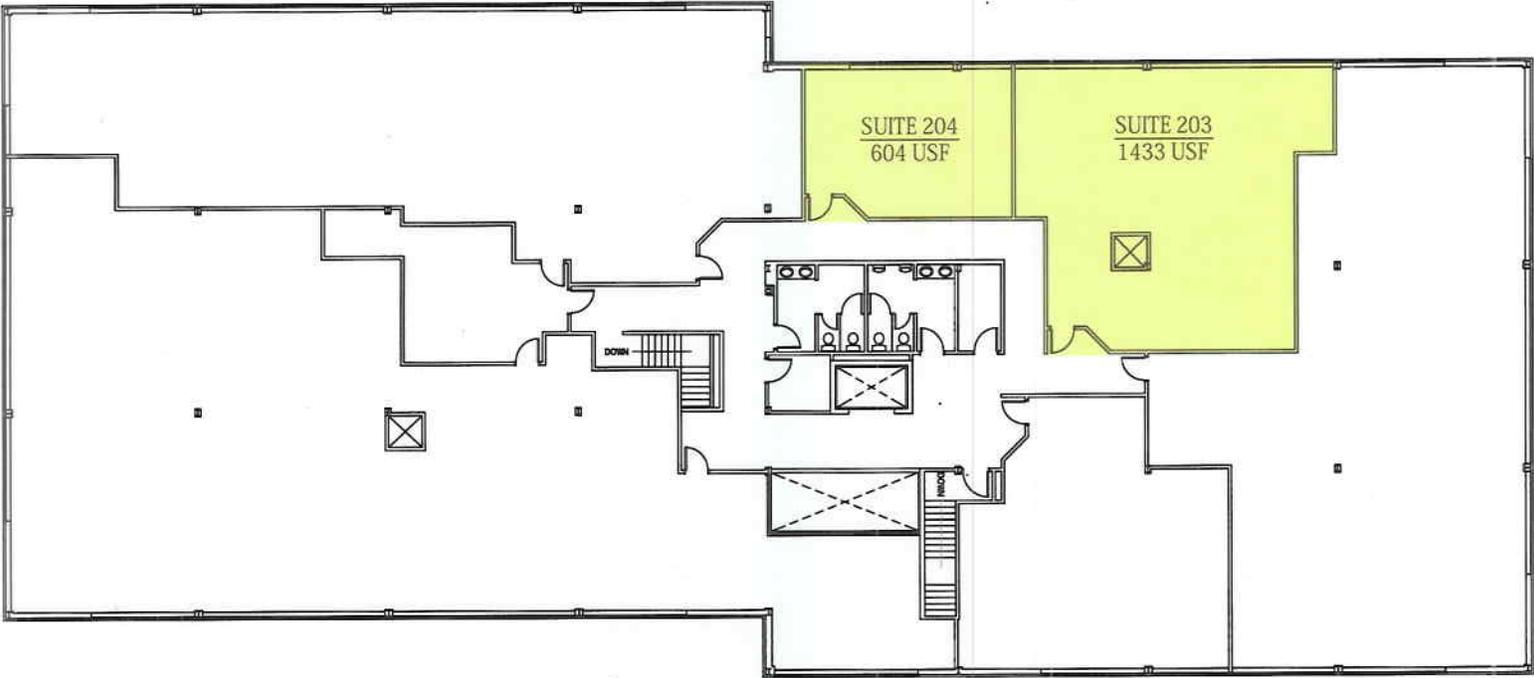


○ 286 WASHINGTON AVENUE EXTENSION, ALBANY, NY 12203 - FIRST FLOOR

**UAlbany Off Campus  
Corporate Plaza West  
286 Washington Avenue Extension, Albany**

Suite 203 Unique ID: SU-101-2-B-99013-203-A  
Total Area: 1433 SF

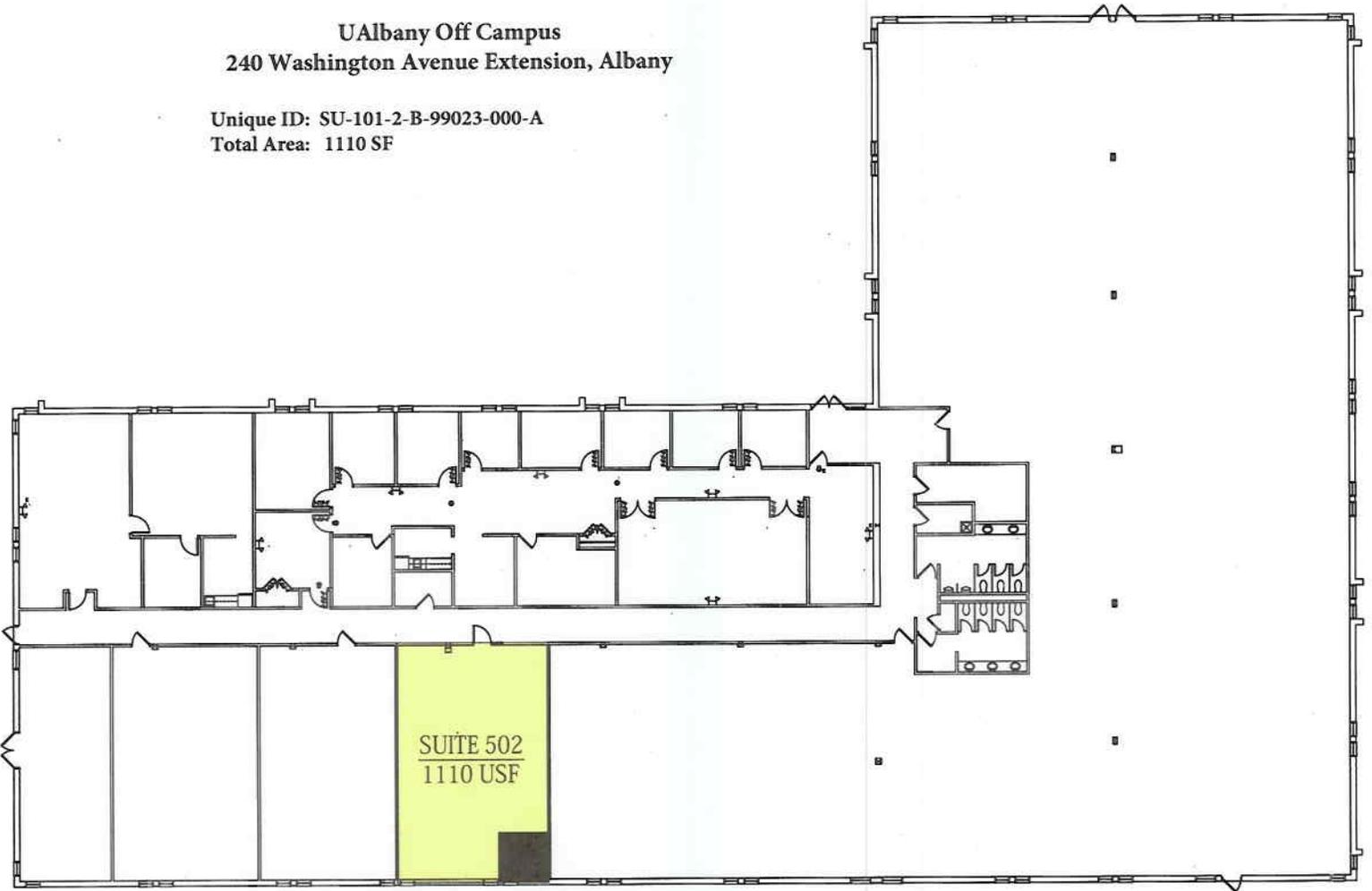
Suite 204 Unique ID: SU-101-2-B-99014-204-A  
Total Area: 604 SF



286 WASHINGTON AVENUE EXTENSION, ALBANY , NY 12203 - SECOND FLOOR

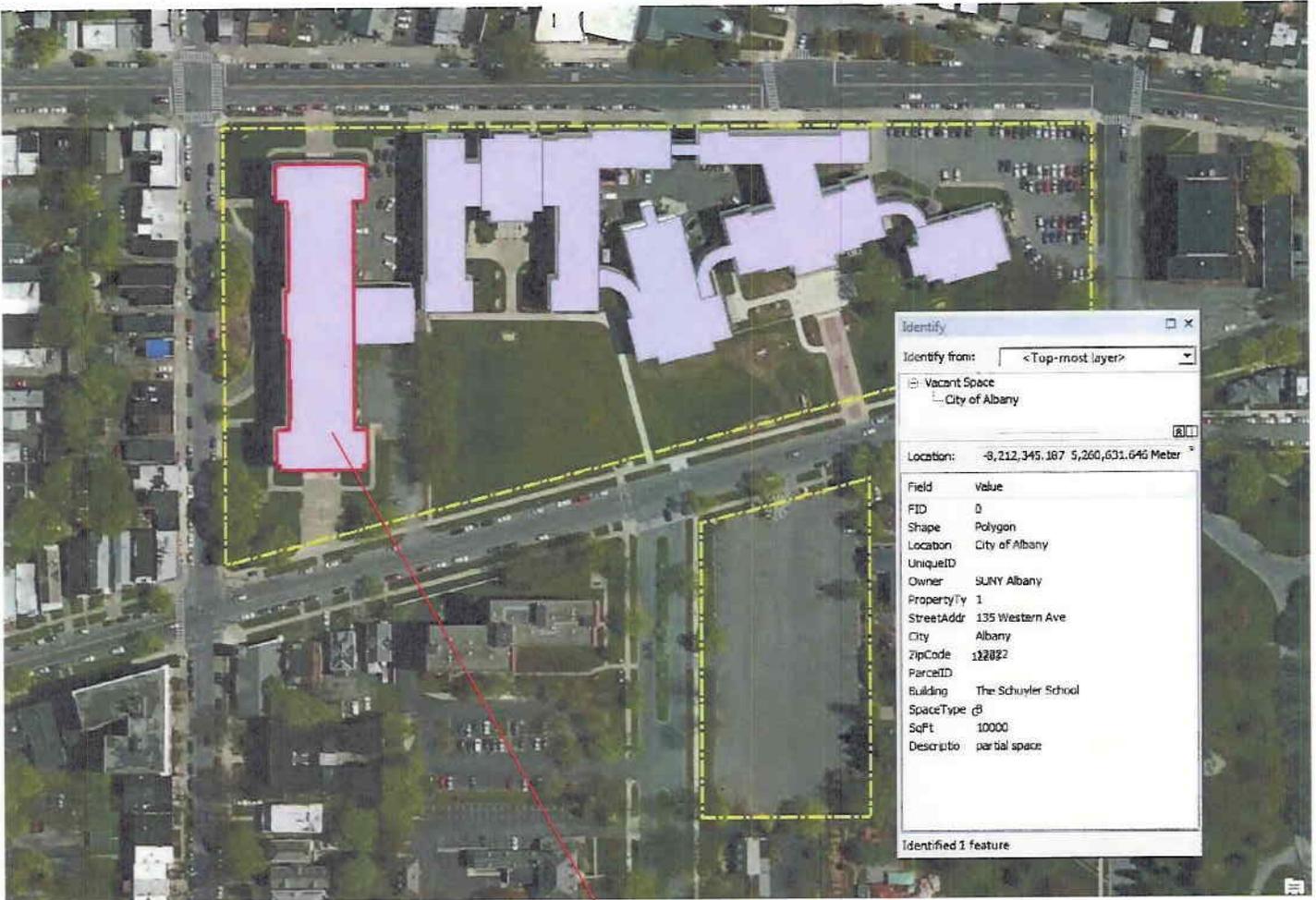
**UAlbany Off Campus**  
**240 Washington Avenue Extension, Albany**

Unique ID: SU-101-2-B-99023-000-A  
Total Area: 1110 SF

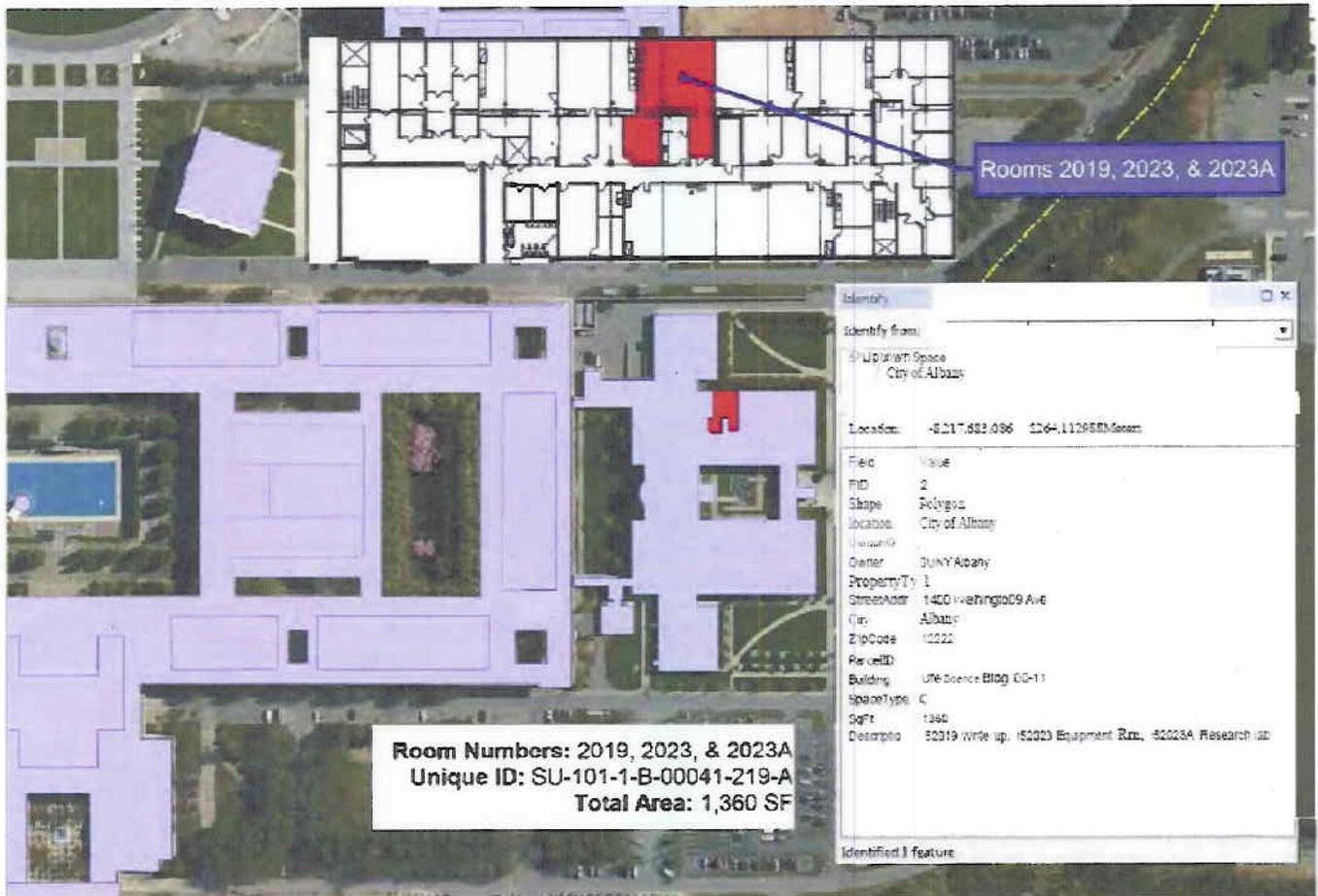


○ 240 WASHINGTON AVENUE EXTENSION, ALBANY, NY 12203 - FIRST FLOOR

**UAlbany Downtown Campus - The Schuyler Building  
135 Western Avenue, Albany**

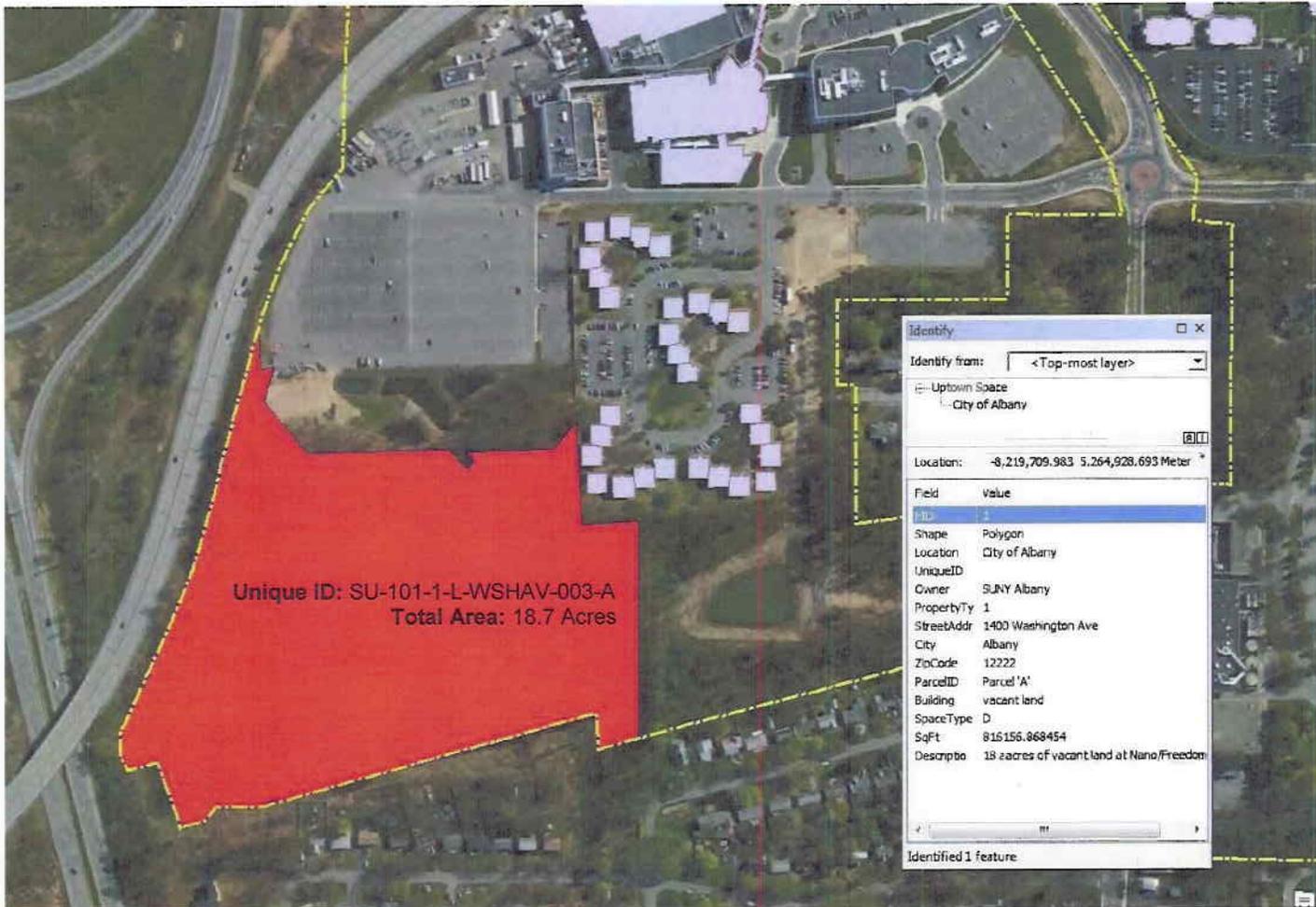


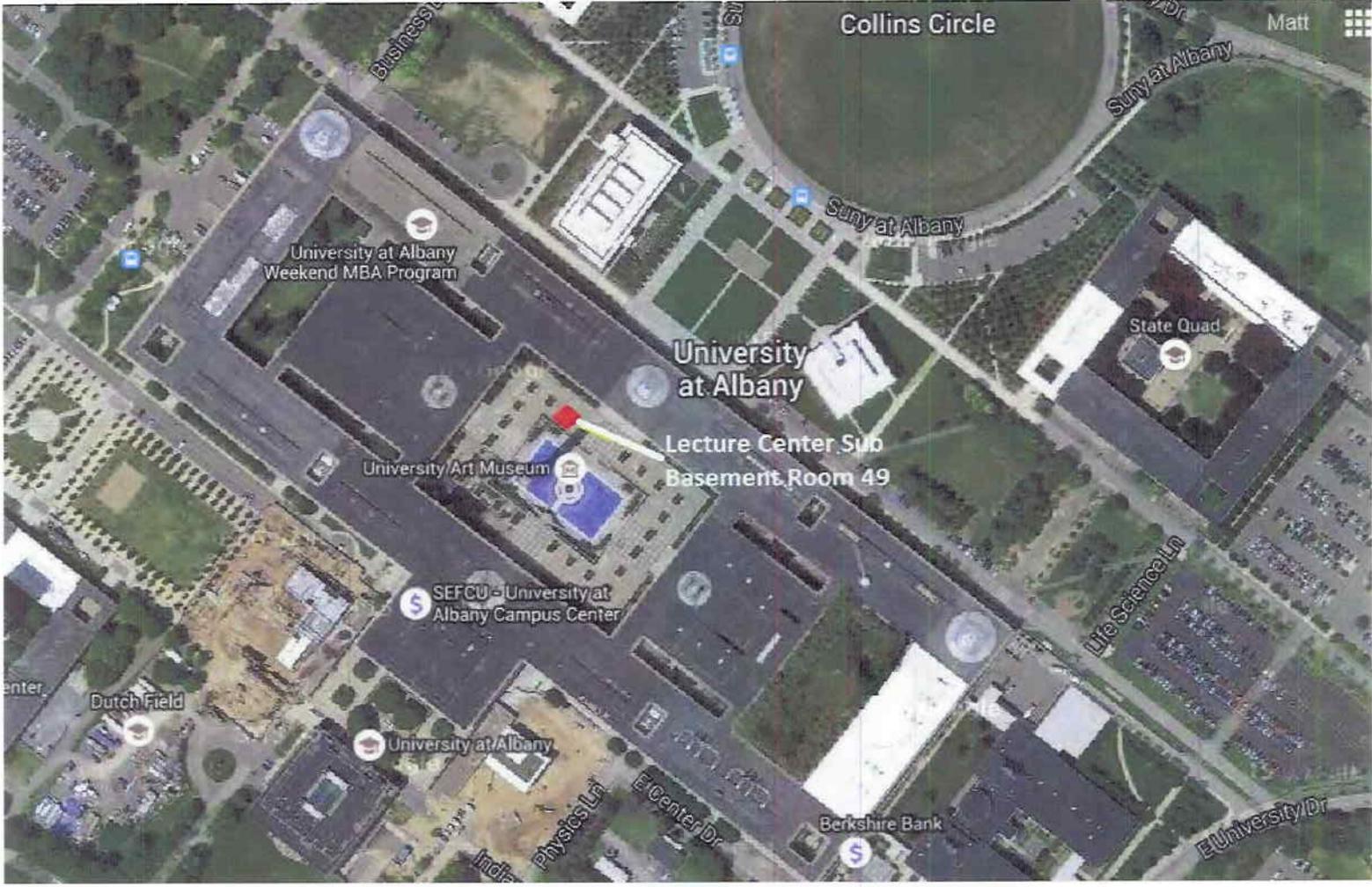
UA Albany Uptown Campus- Life Science Building, Rooms 2019, 2023, & 2023A





UAlbany Uptown Campus - Fuller Rd West Vacant Land (18.7 AC)





Lecture Center Sub  
Basement Room 49

University at Albany  
Weekend MBA Program

University Art Museum

SEFCU - University at  
Albany Campus Center

University at Albany

State Quad

Collins Circle

Sunny at Albany

Sunny at Albany

Life Science Ln

Physics Ln

E Center Dr

Dutch Field

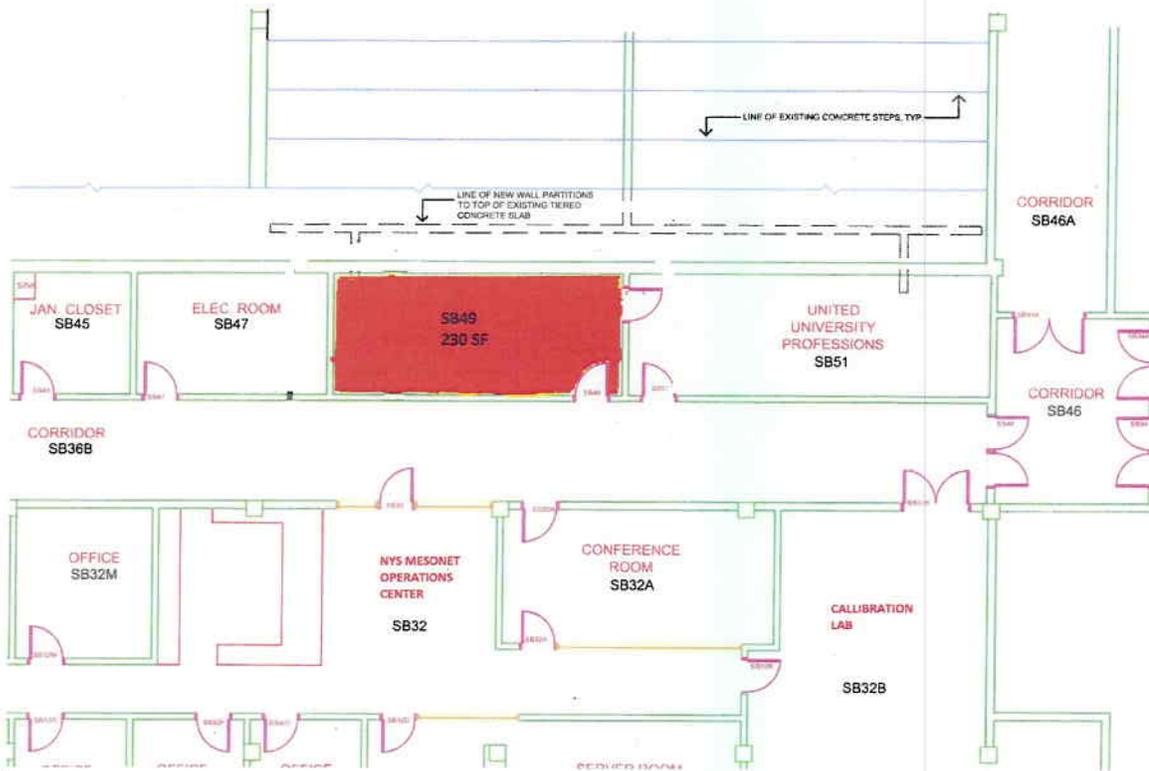
Berkshire Bank

E University Dr

Matt

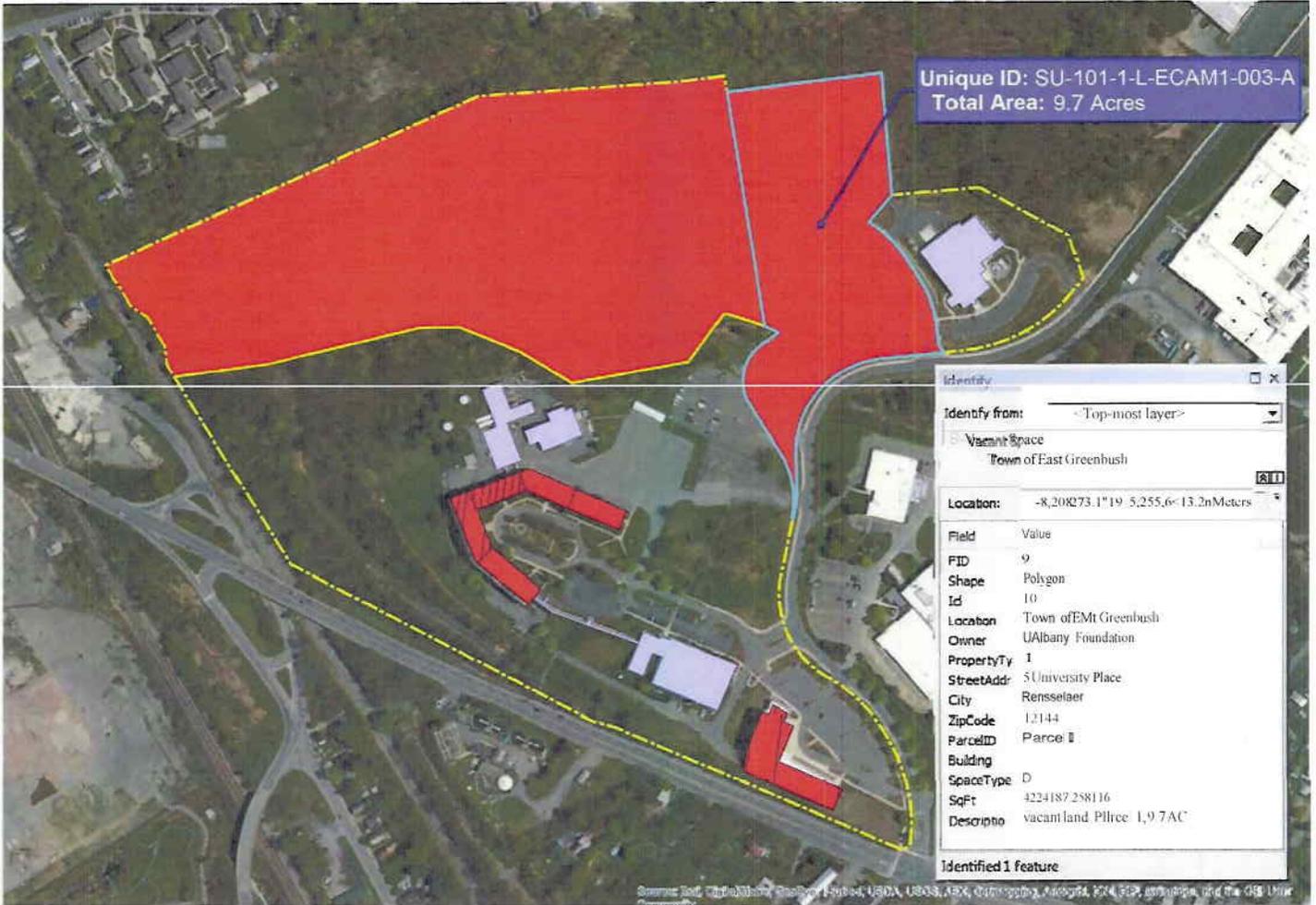
UAlbany On Campus Space - Uptown Campus  
Sub-Basement Academic Podium Lecture Center

Unique ID: SU-101-1-B-00038-049-A  
Total Area: 230 SF





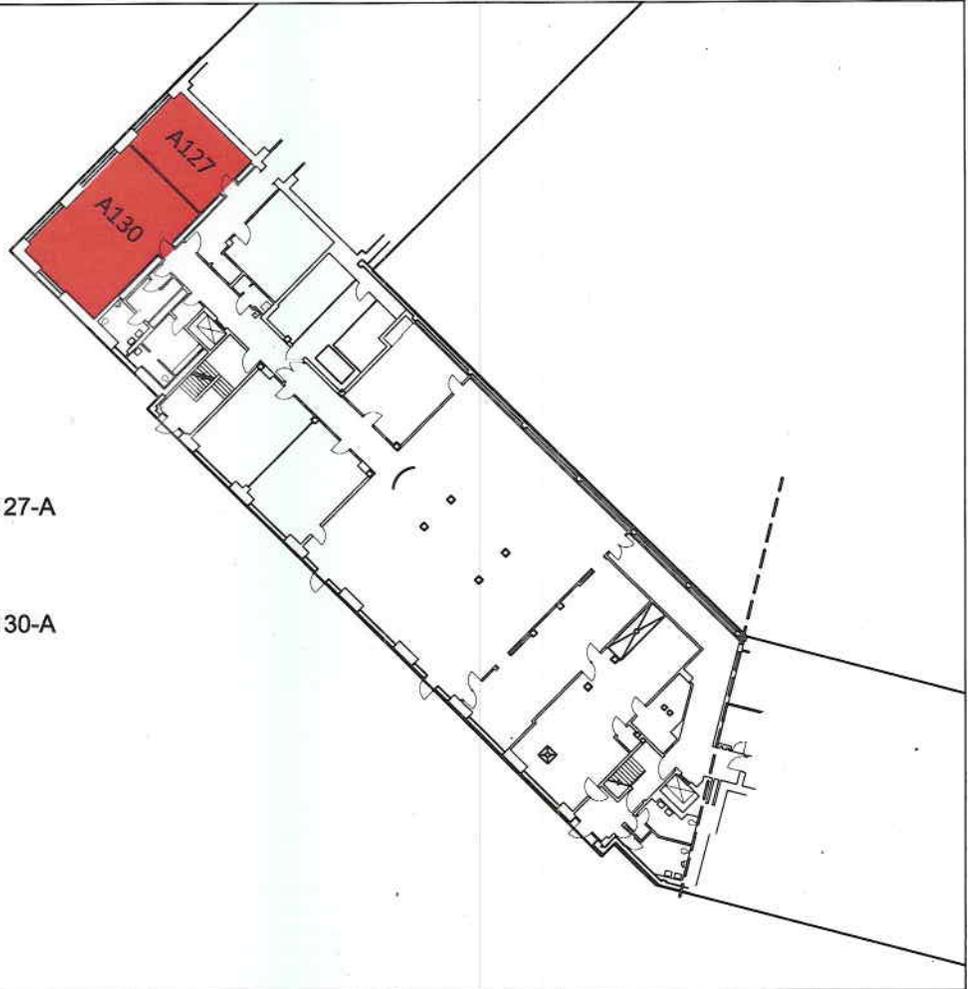
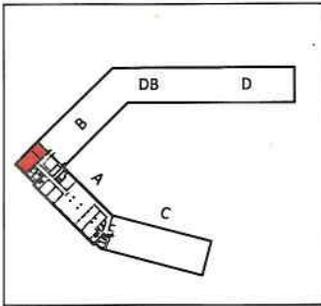
UAlbany East Campus- Parcel1



UAlbany East Campus- Parcel 2



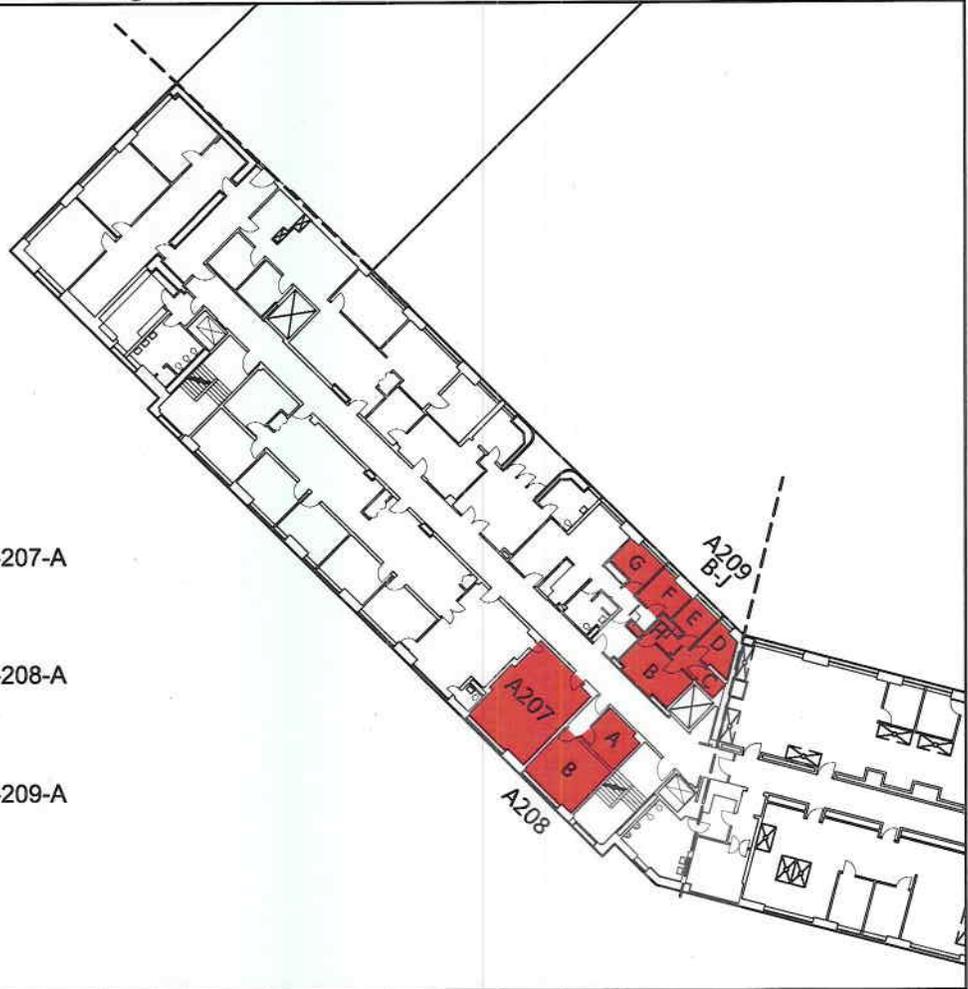
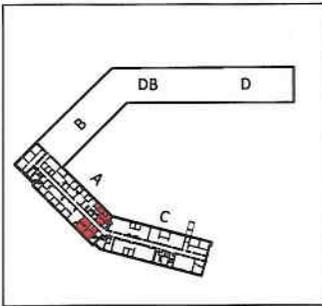
UAlbany East Campus - Sterling Winthrop  
First Floor / A Wing / Room 127, 130



**Room Number:** 127  
**Unique ID:** SU-101-1-B-00401-127-A  
**Total Area:** 474 SF

**Room Number:** 130  
**Unique ID:** SU-101-1-B-00401-130-A  
**Total Area:** 970 SF

UAlbany East Campus - Sterling Winthrop  
2nd Floor / A Wing / Room 207, 208A, 208B, 209 B-J

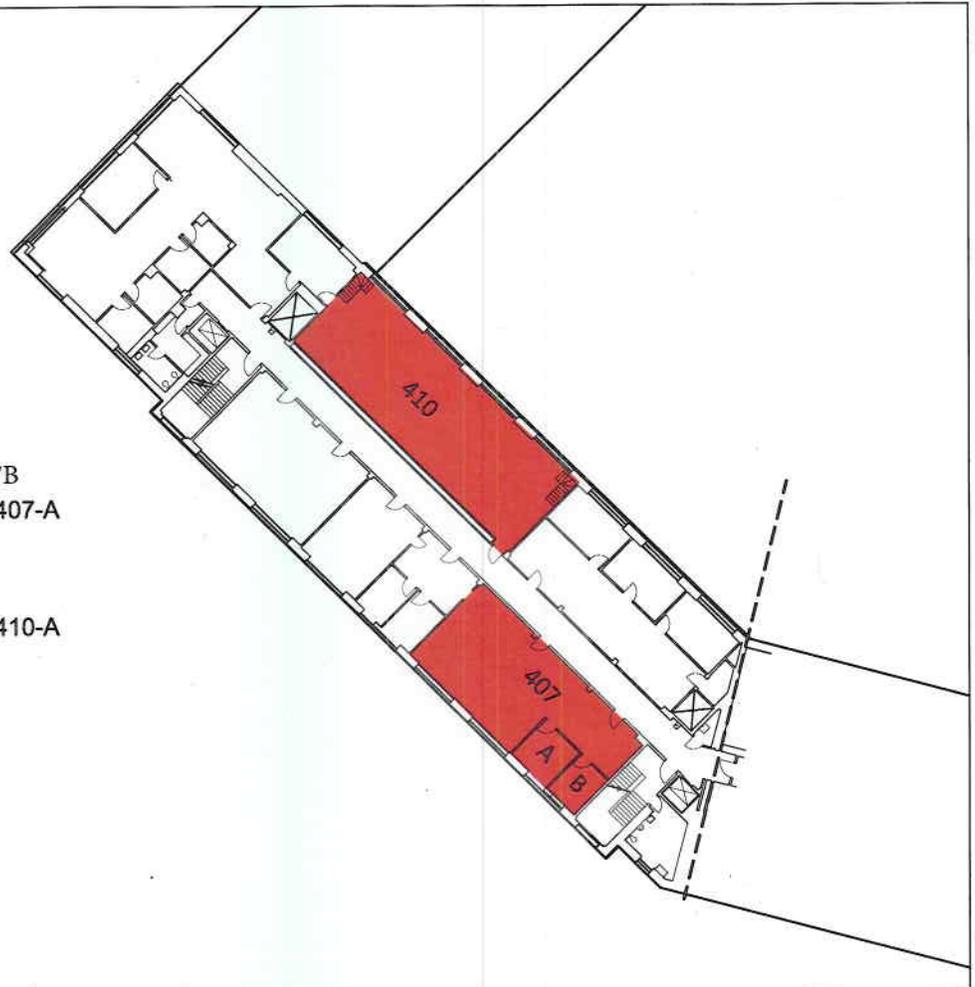
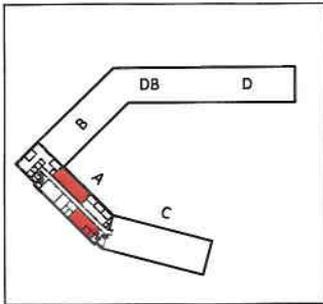


**Room Number:** 207  
**Unique ID:** SU-101-1-B-00401-207-A  
**Total Area:** 427 SF

**Room Number:** 208A, 208 B  
**Unique ID:** SU-101-1-B-00401-208-A  
**Total Area:** 366 SF

**Room Number:** 209 B-J  
**Unique ID:** SU-101-1-B-00401-209-A  
**Total Area:** 477 SF

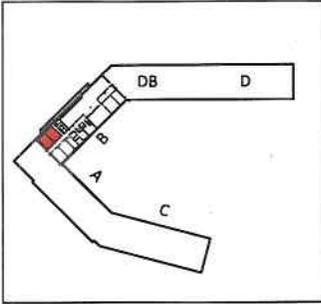
UAlbany East Campus - Sterling Winthrop  
Fourth Floor / A Wing / Room 407, 410



**Room Number:** 407, 407A 407B  
**Unique ID:** SU-101-1-B-00401-407-A  
**Total Area:** 1428 SF

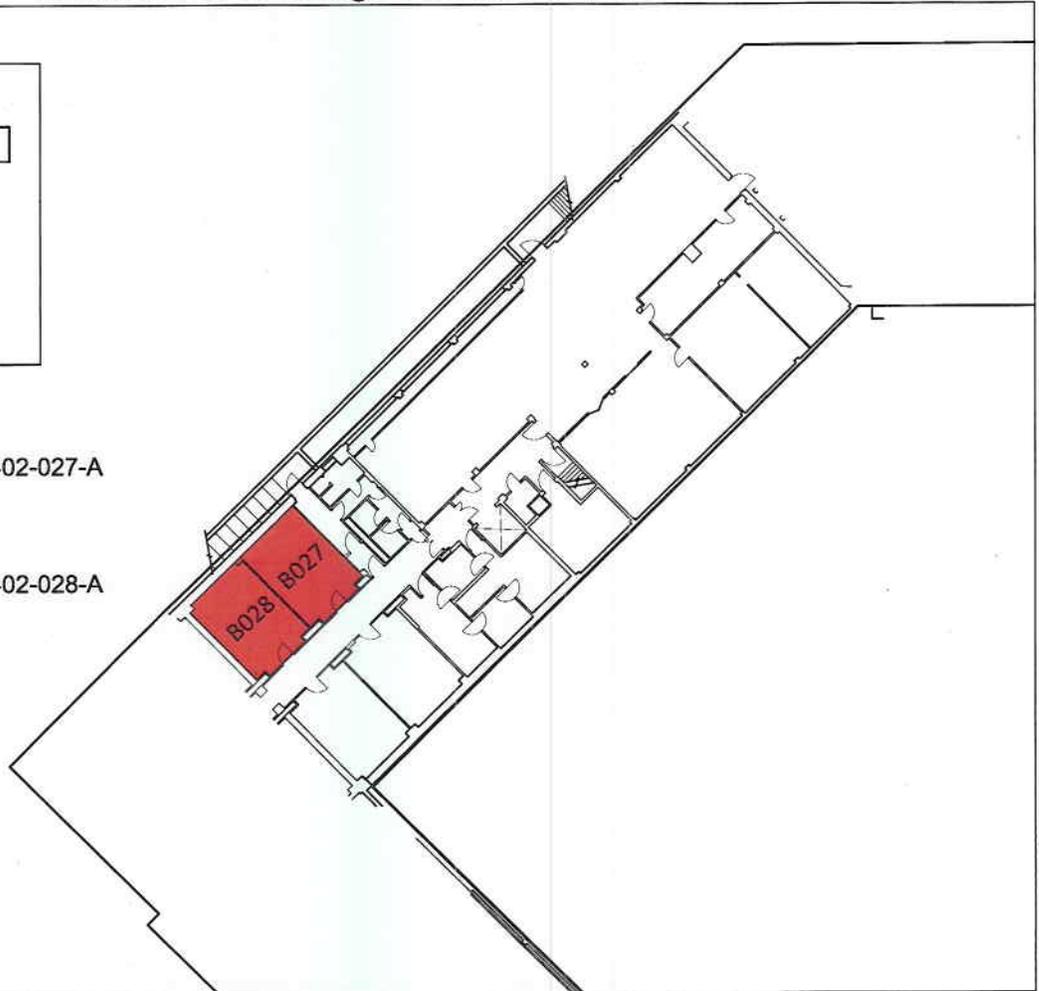
**Room Number:** 410  
**Unique ID:** SU-101-1-B-00401-410-A  
**Total Area:** 1,777 SF

UAlbany East Campus - Sterling Winthrop  
Basement / B Wing / Room 027, 028



**Room Number:** 027  
**Unique ID:** SU-101-1-B-00402-027-A  
**Total Area:** 473 SF

**Room Number:** 028  
**Unique ID:** SU-101-1-B-00402-028-A  
**Total Area:** 488 SF



## UAlbany East Campus - Sterling Winthrop

First Floor/ B Wing / Rooms 103, 104, 105, 106, 107, 107A-B, 124, 125, 126, 128, 129

**Room Number:** 103  
**Unique ID:** SU-101-1-B-00402-103-A  
**Total Area:** 481 SF

**Room Number:** 104  
**Unique ID:** SU-101-1-B-00402-104-A  
**Total Area:** 820 SF

**Room Number:** 105  
**Unique ID:** SU-101-1-B-00402-105-A  
**Total Area:** 310 SF

**Room Number:** 106  
**Unique ID:** SU-101-1-B-00402-106-A  
**Total Area:** 116 SF

**Room Number:** 107, 107 A & B  
**Unique ID:** SU-101-1-B-00402-107-A  
**Total Area:** 227 SF

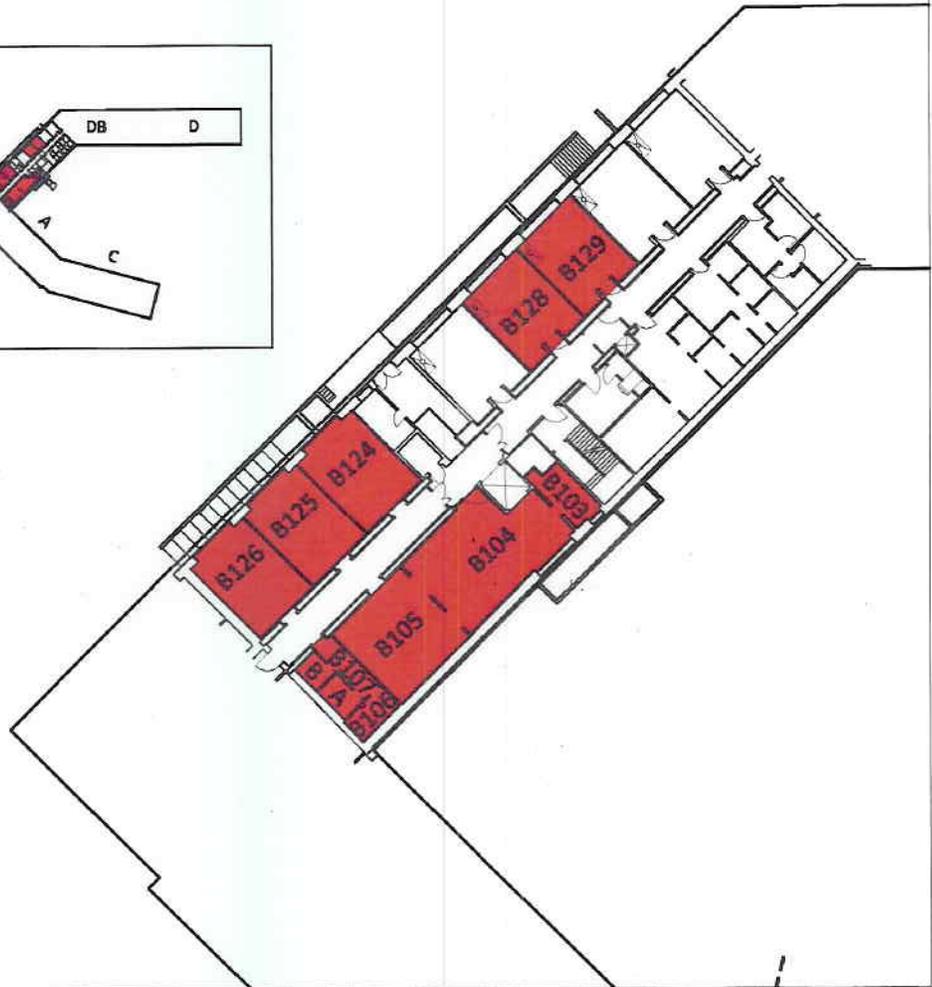
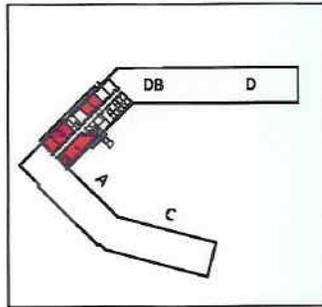
**Room Number:** 124  
**Unique ID:** SU-101-1-B-00402-124-A  
**Total Area:** 487 SF

**Room Number:** 125  
**Unique ID:** SU-101-1-B-00402-125-A  
**Total Area:** 471 SF

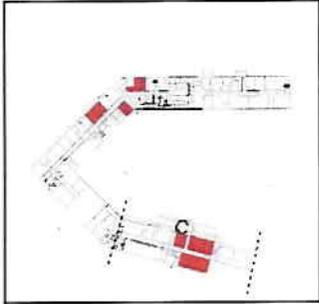
**Room Number:** 126  
**Unique ID:** SU-101-1-B-00402-126-A  
**Total Area:** 475 SF

**Room Number:** 128  
**Unique ID:** SU-101-1-B-00402-128-A  
**Total Area:** 453 SF

**Room Number:** 129  
**Unique ID:** SU-101-1-B-00402-129-A  
**Total Area:** 457 SF



UAlbany East Campus - Sterling Winthrop 1st Floor / C Wing / Various Rooms



Room Number: 112  
Unique ID: SU-101-1-B-00403-112-A  
Total Area: 447 SF

Room Number: 114  
Unique ID: SU-101-1-B-00403-114-A  
Total Area: 946 SF

Room Numbers: 115 & 115B  
Unique ID: SU-101-1-B-00403-115-A  
Total Area: 683 SF

Room Numbers: 115A & 115AA  
Unique ID: SU-101-1-B-00403-1AA- A

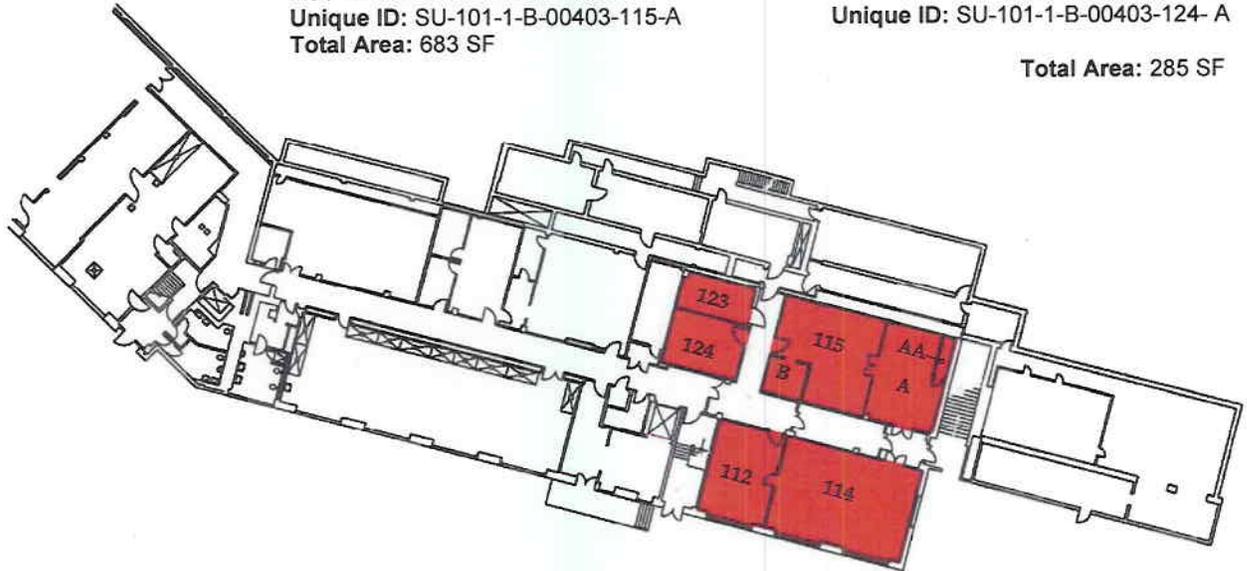
Total Area: 498 SF

Room Number: 123  
Unique ID: SU-101-1-B-00403-123- A

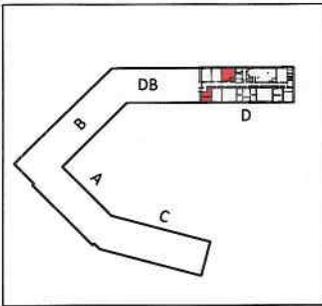
Total Area: 195 SF

Room Number: 124  
Unique ID: SU-101-1-B-00403-124- A

Total Area: 285 SF

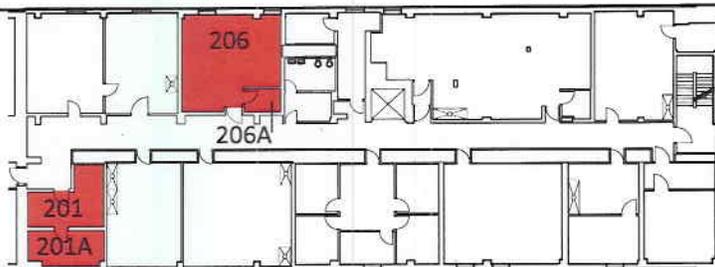


UAlbany East Campus - Sterling Winthrop  
2nd Floor / D Wing / Room 201, 201A, 206, 206A



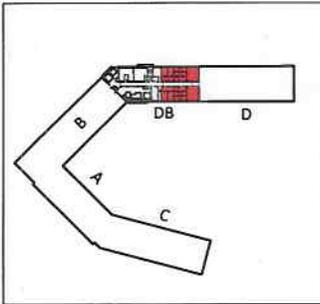
**Room Number:** 201, 201A  
**Unique ID:** SU-101-1-B-00405-201-I  
**Total Area:** 422 SF

**Room Number:** 206, 206A  
**Unique ID:** SU-101-1-B-00405-206-A  
**Total Area:** 601 SF



## UAlbany East Campus - Sterling Winthrop

### Basement / DB Wing / Room 14 A-B, 15 A-E, 16, 18, 19 -E, 20 A-B



**Room Number:** 14, 14A-B  
**Unique ID:** SU-101-1-B-00404-014 -A  
**Total Area:** 584 SF

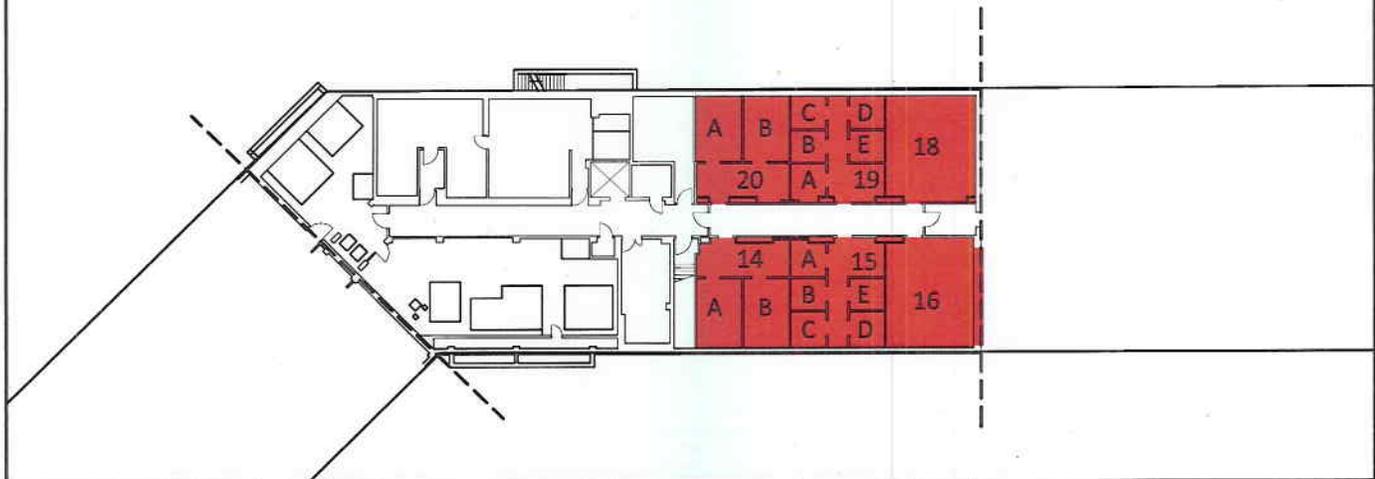
**Room Number:** 15, 15A-E  
**Unique ID:** SU-101-1-B-00404-015-A  
**Total Area:** 620 SF

**Room Number:** 16  
**Unique ID:** SU-101-1-B-00404-016-A  
**Total Area:** 611 SF

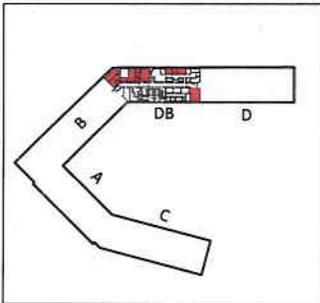
**Room Number:** 18  
**Unique ID:** SU-101-1-B-00404-018-A  
**Total Area:** 594 SF

**Room Number:** 19, 19A-E  
**Unique ID:** SU-101-1-B-00404-019-A  
**Total Area:** 587 SF

**Room Number:** 20, 20A-B  
**Unique ID:** SU-101-1-B-00404-020-A  
**Total Area:** 583 SF



UAlbany East Campus - Sterling Winthrop  
1st Floor / DB Wing / Room 119, 120F-H, 123, 124 B-E, 125, 125A-C



**Room Number:** 119  
**Unique ID:** SU-101-1-B-00404-119-A  
**Total Area:** 658 SF

**Room Number:** 120F-H  
**Unique ID:** SU-101-1-B-00404-120-A  
**Total Area:** 414 SF

**Room Number:** 123  
**Unique ID:** SU-101-1-B-00404-123-A  
**Total Area:** 433 SF

**Room Number:** 124B-E  
**Unique ID:** SU-101-1-B-00404-124-A  
**Total Area:** 629 SF

**Room Number:** 125, 125 A-C  
**Unique ID:** SU-101-1-B-00404-125-A  
**Total Area:** 713 SF

