SUNY The State University of New York

Office of the
Chief Financial Officer

State University Plaza
Albany, New York 12246

www.suny.edu

August 23, 2016

Randall J. VanWagoner, President
Mohawk Valley Community College
1101 Sherman Drive
Utica, NY 13501

Re: START-UP NY

Dear President VanWagoner:

Congratulations. Attached is the approved application for Mohawk Valley Community College's Amended Campus Plan for Designation of Tax-Free Area(s).

After completion of the required 30-day comment period, please submit evidence of stakeholder notification, along with your complete campus plan, to Empire State Development at designations@esd.ny.gov.

Best of luck to you and Mohawk Valley Community College in launching the START-UP NY program.

Best Regards,

Eileen McLoughlin
Senior Vice Chancellor for
Finance and Chief Financial
Officer

Attachment
Copy: SUNY START-UP NY Proposal Review Team

SUNY The State University of New York

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)

To: SUNY Chancellor

From: Randall J. VanWagoner, President

Re: Mohawk Valley Community College Campus Plan for Designation of Tax-Free Areas ("Campus Plan")

Date: August 10, 2016

For campus Office of the President:
The arrangement documented in the attached Campus Plan is aligned to the academic mission of Mohawk Valley Community College and in accordance with all SUNY policies, procedures, and guidelines.

Randall J. VanWagoner
Signature of campus President

8/10/16
Date

Randall J. VanWagoner

----------FOR SUNY SYSTEM ADMINISTRATION USE ONLY----------

For SUNY's START-UP NY Proposal Review Team Co-Chair: It is recommended by the SUNY START-UP NY Proposal Review Team that the Chancellor approve the attached Campus Plan:

Jeffrey A. Boyce
Proposal Review Team Co-Chair

8-18-16
Date

Jeffrey A. Boyce
Co-Chair's name

For SUNY Office of the Chancellor:
The attached Campus Plan is hereby approved for campus submission to the chief executive officer of the municipality or municipalities in which the proposed Tax-Free Area is located, local economic development entities, the applicable campus governance bodies, union representatives and the and the NYS Commissioner of Economic Development.

Eileen M Loughlin
Signature of the Chancellor or designee

8/22/16
Date

Eileen McLoughlin
Chancellor or designee name

SUNY The State University of New York

To: Mr. Howard Zemsky, NYS Commissioner of Economic Development
From: Randall J. VanWagoner, President
Mohawk Valley Community College
Re: Mohawk Valley Community College's Campus Plan for Designation of Tax-Free Areas
Date: August 10, 2016

I, President VanWagoner of Mohawk Valley Community College hereby certify the following:

a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality or municipalities in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and

b.) we comply with Public Officers Law Section 74; Mohawk Valley Community College's Conflict of Interest Policy; and Oneida County's Ethics in Public Contracting/Employee Conflicts of Interest Policy (which applies to MVCC); and attached copies of the law and polices herewith; and

c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and

d.) the proposed Tax-Free NY Areas have not been financed with any tax-exempt bonds and, therefore, will not jeopardize the tax-free status of the campus; and

e.) we have not relocated or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, or any other facility, space or program that actively serves students, faculty or staff in order to create vacant land or space to be designated as a Tax-Free NY Area; and

f.) the information contained in the enclosed application is accurate and complete.

Randall J. VanWagoner

Randall J. VanWagoner, President

8/10/16
Date

Attachments/Enclosures:

1) Tax-Free Area Plan with rendering of proposed tax-free area on a scaled campus map
2) List of properties (see attached Excel spreadsheet)
3) POL§74
4) MVCC and Oneida County Conflict of Interest Policies
5) START-UP NY Program Participation Policy
6) Evidence of submission of Tax-Free Area Plan to interested parties

Attachment 1)

START-UP NY

START-UP NY CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name:	Mohawk Valley Community College
Campus Contact Name:	Frank B. DuRoss
Campus Contact Title:	Executive Director of Institutional Advancement
Campus Contact E-mail:	fduross@mvcc.edu
Campus Contact Phone:	315.792.5526

THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:
   i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

Name: Mohawk Valley Community College
Campus Address: 1101 Sherman Drive, Utica, NY 13501
Addresses of Proposed Tax-Free NY Areas : 1) 1101 Sherman Drive, Utica, NY 13501 2) 1101 Floyd Ave, Rome, NY 13440 3) 784 Bomber Dr, Rome, NY 13441
Description of Physical Characteristics of Proposed Tax-Free NY Areas: 1) 5 acres of vacant land 2) 9.6 acres of vacant land 3) 671 Sq. Ft. of office and storage space in Nosedock # 784 NOTE: The nosedock office space is currently vacant.

   ii. Provide a clear boundary of each proposed site drawn in AutoCAD on a scaled campus map. Two versions should be created, one which included an imbedded layer from Google earth or other aerial photograph of the property. The second version would be without the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which will tie to the excel spreadsheet. Details are to be included in the excel spreadsheet attached to this plan. Provide digital files containing Polygon shapefile that delineates area for designation (if available).

Attached to Campus Plan

iii. Provide a campus map with each building proposed to be designated as tax free space shaded. The building(s) should be identified with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. The excel spreadsheet should include the official building number and a clear description of the spaces in the building (using official PSI room numbers), or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Each building must also include floor plans of all areas under consideration with the specific spaces clearly identified by official PSI room and shaded. All building information is also to be included in the excel spreadsheet. Provide digital files containing Point shapefiles that provide locations of area for designation (if available).

Attached to Campus Plan; Buildings are licensed and do not have PSI numbers.

2) The total square footage of the space or acreage of land proposed for designation as a Tax-Free NY Area

14.6 acres of land total; 671 square feet of office and storage space total.

3) Provide a description of the type of business or businesses that may locate on the area to be designated.

There are several business sectors that MVCC would be interested in attracting to the Rome and/or Utica Campuses:

- The emerging Unmanned Aerial System industry, whether manufacturing, operations, or maintenance, etc. Griffiss International Airport is approximately a mile from the Rome Campus. The region was recently awarded a grant through the Regional Economic Development Council in part to construct a maintenance curriculum at MVCC. It is expected more businesses will locate to the Griffiss over the next several years.
- Cyber Security; there are several existing Cyber Security related businesses at the Griffiss Technology & Business Park and expansion of this industry is expected.
- Nanotechnology; there is in excess of $15 billion in investment expected over the next several years to build the Marcy Nanocenter at SUNYIT.
- Biomedical research; The Masonic Medical Research Laboratory (MMRL) is an internationally recognized biomedical research institute and is located within a mile of the Utica Campus. For its size, there are few institutes worldwide whose cutting edge research is as productive and influential in the field of experimental cardiology. In recent years, the MMRL has become an international center for genetic screening of cardiac arrhythmia diseases, especially those contributing to sudden death syndromes, including sudden infant death syndrome.
- Manufacturing firms would find opportunities in support of the above sectors as well as within other existing markets.

4) Provide a description of the academic mission of the Sponsor and how the anticipated businesses will align or further the academic mission of the university or college.

MVCC's Mission of promoting student success and community involvement through a commitment to excellence and a spirit of service is complemented by the following Statement of Purpose:
As a diverse institution with a global view, Mohawk Valley Community College provides opportunities for affordable education, with support from Oneida County and the State of New York, and offers career, transfer and transitional education, programs for personal and cultural enrichment, and supports community and economic development.

To this end MVCC offers programs in the areas of Cyber Security, Culinary Arts, Carpentry & Masonry, Airframe & Powerplant (airplane repair and maintenance), STEM, and various health-related areas in addition to the traditional academic programs. Curriculum development for UAS support and Nanotechnology is ongoing.

The anticipated businesses would further the academic mission and purpose of the College providing an opportunity for students and faculty to see learned concepts and theories applied in a practical setting. It is anticipated internship opportunities would arise as well as the opportunity for classes to collaborate with the businesses to provide a "learning laboratory" within the business.

In regards to alignment, a critical piece of the vetting process would be an evaluation of how the business activity would synthesize with the academic programs.

5) Provide a description of how participation by those types of businesses in the Program would generate positive community and economic benefits, including but not limited to:

- Increased employment opportunities;
- Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
- Diversification of the local economy;
- Environmental sustainability;
- Increased entrepreneurship and business startup opportunities;
- Positive, non-competitive and/or synergistic links to existing businesses;
- Effect on the local economy; and
- Opportunities as a magnet for economic and social growth.

Businesses participating in the Program would generate positive economic benefits through the creation of jobs. The local economy has been diversifying with the investment in Nanotechnology, Cyber Security and now Unmanned Aerial System/Drone research and manufacturing. Adding businesses from these sectors to the local economy would further that diversification and development and would also spur expansion of local support businesses, e.g., materials suppliers, transportation, etc.

Locating on or near campus would also create opportunities for internships, vocational training and experiential learning for students. In regard to the Unmanned Aerial System/Drones industry, Griffiss International Airport was recently designated as one of six test sites for manufacturers in the nation. It is expected drone manufacturers and related businesses will locate at and near the Airport. The College is a stakeholder in the effort to gain the designation and is expected to expand the existing Airframe & Powerplant (A&P) Program to provide necessary labor for businesses. Many graduates of the A&P Program are hired by businesses currently located at the Airport and this would be expected to continue with drone businesses that enter the Program. The College currently partners with the existing businesses at the airport to provide students experiential learning experiences and is working with them to develop a vocational training component to the program. It is expected this would also be the case with businesses entering the Program.

Cyber Security and Nanotechnology businesses entering the program, in addition to the existing businesses, would continue to build on the enormous local investment in these areas. Our expectation for these businesses entering into the program would be agreements where they would accept internships and/or experiential learning arrangements. MVCC also benefits from an articulation agreement with Utica College for the Cyber Security program. An increase in Cyber Security firms would bring increased interest from applicants to the combined BS program where students start at MVCC.

Manufacturing considered as a "type of business" would bring positive economic impact through the creation of jobs that do not currently exist. As MVCC partners with manufacturers entering the program partnerships would be forged to allow for internships, experiential learning, etc. MVCC would also have opportunities to cultivate diversification of the local economy, and educationally-aligned partners, through the selection process described in this plan.

Due to the emerging nature of the industries, we believe businesses entering the program would be positioned to collaborate more than compete. There are also measures within the program to prevent businesses entering the program that will compete with existing businesses. We also believe as the technology evolves in all these industries there will be many opportunities for spin-offs and startups as has been seen in other technology fields. MVCC is a key stakeholder in a local entrepreneurial support agency, the thINCubator (The Home for Innovative New Companies), which will drive innovation and entrepreneurship in collaboration with other educational institutions, existing entrepreneurs, support organizations, government agencies and the community.

6) Provide a description of the process the Sponsor will follow to select participating businesses.

The process MVCC will employ to select businesses for participation in the START-UP NY program includes multiple steps and criteria for selection.

Solicitation of Applications

MVCC will work closely with ESDC and SUNY to identify businesses interested in locating on our campuses. In addition, MVCC President VanWagoner is a former member of the Mohawk Valley Regional Economic Development Council and has leveraged that experience into relationships with

local economic development agencies, including the Oneida County, City of Rome, City of Utica and Mohawk Valley EDGE where he serves as an executive committee member. MVCC will also work closely with these agencies to identify businesses interested in participating in the program.

Acceptance of Applications

MVCC will establish a START-UP NY Advisory Committee to review applications and make a recommendation to the President regarding acceptance based on established criteria. The Committee will be comprised of:

- Vice President for Administrative Services;
- Vice President for Learning and Academic Affairs;
- Chair of the College Senate (or delegate);
- President of Student Congress (or delegate);
- Director of Facilities and Operations;
- Executive Director of Institutional Advancement.

Final Approval of Applications

Upon receipt of a recommendation for acceptance from the Committee, the President will make a final determination as to approval or not. The President will base the determination on factors including, but not limited to, the calculated criteria scores (described below), consultation with the Board of Trustees, consultation with the College's local sponsor County, overall balance of the application portfolio, competitor analysis and other specific factors as may be determined by the President. Applications approved for acceptance by the President will then be submitted by MVCC.

Acceptance Criteria

Acceptance criteria are grouped into three broad areas of consideration, as described below:

Academic and Research Alignment

- Is the business in an industry aligned with current and/or developing College research, scholarly, and creative activity?
- Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
- Does the business provide areas for partnership and advancement for faculty and students?
- Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
- Will the business fund scholarships, campus facilities or other academic services or amenities?

- Will the business and/or its employees contribute to instruction or provide student mentoring?
- Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

Economic Benefit

- How many net new jobs will be created?
- Is the business viable in both the short- and long-term?
- Will the business attract private financial investment?
- Does the business plan to make capital investments (e.g., renovation, new construction)?
- Are the new jobs in critical areas of the economy?
- How will the College financially benefit from the terms of the lease?

Community Benefits

- Does the business have the support of one or more municipal or community entities?
- Is the business recruiting employees from the local workforce?
- Does the business invest in underserved, economically distressed regions?
- Will the business rely on suppliers within the local and regional economy?

Scoring

Each criterion above will be assigned a grade of: 1 (does not meet the criteria); 2 (satisfies the criteria); or 3 (exceeds the standard expected for the criteria). The average score will be calculated for each category of criteria and applications with an average score in each category equal to or greater than 2 will be forwarded to the President with a recommendation for approval.

Attachment 2)

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)
List of Properties

Please see attached document.

Attachment 3)

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)
POL§74

PUBLIC OFFICERS LAW

§ 74. Code of ethics.

1. Definition. As used in this section: The term ***"state agency"*** shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the finance law or their successors.

The term ***"legislative employee"*** shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. Rule with respect to conflicts of interest. No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

3. Standards.

a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his independence of judgment in the exercise of his official duties.

b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him to disclose confidential information which he by reason of his official position or authority.

c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him in the course of his official duties nor use such information to further his personal interests.

d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.

e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.

f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

g. An officer or employee of a state agency should abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.

h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.

i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services o any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.

4. Violations. In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.

Attachment 4)

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)
MVCC and Oneida County Conflict of Interest Policies

MVCC:

Conflict of Interest Policy

Any college officer or employee who has, will have or later acquires an interest in any actual or proposed contract with the college of which he is an officer or employee, shall publicly disclose the nature and extent of such interest in writing to the Board of Trustees thereof as soon as he has knowledge of such actual or prospective interest.

No college officer or employee shall be interested financially in any contract entered into by the college pursuant to Sections 800-808 of the General Municipal Law. This also precludes acceptance of gratuities, financial or otherwise, by the above persons, from any supplier of materials or services to the college (Limit $75).

(Approved by MVCC Trustees 11/21/11)

Oneida County:

ARTICLE 6 – ETHICS IN PUBLIC CONTRACTING

6-101 Criminal Penalties.

To the extent that violations of the ethical standards of conduct set forth in this Article constitute violations of any New York State or Oneida County law they shall be punishable as provided therein. Such penalties shall be in addition to the civil sanctions set forth in this Part. Criminal, civil, and administrative sanctions against employees or non-employees which are in existence on the effective date of this Policy shall not be impaired.

6-102 Employee Conflicts Of Interest

It shall be unethical for any County of Oneida employee to participate directly or indirectly in a procurement contract when the County employee knows that:

a) the County of Oneida employee or any member of the County employee's immediate family has a financial interest pertaining to the procurement contract; or
b) any other person, business, or organization with which the County employee or any member of a County employee's immediate family is negotiating or has an arrangement concerning prospective employment is involved in the procurement contract. A County of Oneida employee or any member of a County employee's immediate family who holds a financial interest in a disclosed blind trust shall not be deemed to have a conflict of interest with regard to matters pertaining to that financial interest.

6-103 Gratuities and Kickbacks

1) Gratuities. It shall be unethical for any person to offer, give, or agree to give any County employee or former County employee, or for any County employee or former County employee to solicit, demand, accept, or agree to accept from another person, a gratuity or an offer of employment in connection with any decision, approval, disapproval, recommendation, or preparation of any part of a program requirement or a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim, or controversy, or other particular matter, pertaining to any program requirement or a contract or subcontract, or to any solicitation or proposal therefor.
2) Kickbacks. It shall be unethical for any payment, gratuity, or offer of employment to be made by or on behalf of a subcontractor under a contract to the prime contractor or higher tier subcontractor or any person associated therewith, as an inducement for the award of a subcontract or order.
3) Contract Clause. The prohibition against gratuities and kickbacks prescribed in this section shall be conspicuously set forth in every contract and solicitation therefor.

6-104 Prohibition Against Contingent Fees

It shall be unethical for a person to be retained, or to retain a person, to solicit or secure a County contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except for retention of bona fide employees or bona fide established commercial selling agencies for the purpose of securing business.

6-105 Contemporaneous Employment Prohibited

It shall be unethical for any County employee who is participating directly or indirectly in the procurement process to become or to be, while such a County employee, the employee of any person contracting with the governmental body by which the employee is employed.

6-106 Waivers for Contemporaneous Employment Prohibition and Other Conflicts of Interest.

The County Board of Ethics may grant a waiver from the employee conflict of interest provision (Section 6-102; Employee Conflict of Interest) or the contemporaneous employment provision (Section 6-105; Contemporaneous Employment Prohibited) upon making a written determination that:

a) the contemporaneous employment or financial interest of the County employee has been publicly disclosed; and
b) the County employee will be able to perform its procurement functions without actual or apparent bias or favoritism; and
c) the award will be in the best interests of the County of Oneida.

6-107 Use of Confidential Information

It shall be unethical for any county employee or former county employee to knowingly use confidential information for actual or anticipated personal gain, or for the actual or personal gain of any other person.

6-108 Sanctions

1) Employees. Sanctions against employees shall be in accordance with Chapter 66 of the Laws of Oneida County. (Code of Ethics)

2) Non-Employees. The Director of Purchasing may impose any one or more of the following sanctions on a non-employee for violations of ethical standards:
a) written warnings or reprimands;
b) termination of contracts; or
c) debarment or suspension as provided in Section 4-101 (Authority to Debar or Suspend).

Attachment 5)

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)
START-UP NY Program Participation Policy

Category: Research	Academic Affairs Community Colleges Legal and Compliance
Responsible Office:	Academic Affairs
Policy Title:	START-UP NY Program Participation Policy
Document Number:	6800
Effective Date:	February 10, 2014
This policy item applies to:	Community Colleges State-Operated Campuses

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Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21st century workforce businesses need to grow and thrive.
START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of

any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

Policy

A. Campus Plans for Designation of Tax-Free Area(s): Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

B. Sponsoring University or College Applications for Business Participation: Any campus intending to submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Sponsor Applications within thirty (30) business days of receipt. Any rejected Sponsor Application shall be accompanied by an explanation of the basis for rejection. Once approved the campus may submit the Sponsor Application to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Sponsor Application that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Sponsor Applications must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

C. Delegations: The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.

D. Conflicts of Interest: Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this

policy, and shall report such disclosures on a calendar year basis, by January 3s1t of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.

E. Exceptions: There are no exceptions to this policy.

Definitions

Business Interest means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.
Official means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.
Relative means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.
Sponsoring College or University means any entity defined or described in NYS Education Law Sec. 352 and Article 126.
START-UP NY Program means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.
Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article 21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

Other Related Information

Start-Up NY Regulations; available at the Start-Up NY Website.
At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.
StartUp-NY.gov website and program information.

Procedures

START-UP NY Program Participation, Procedures for

Forms

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Memorandum

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Template

START-UP NY Sponsoring University or College Application for Business Participation Memorandum

ESD START-UP NY Sponsoring University or College Application for Business Participation

ESD START-UP NY Business Application Instructions

ESD START-UP Business Application

Authority

State University of New York Board of Trustees Resolution 14-(adopted January 14, 2014) , START-UP New York Program Administration,Law, New York Economic Development Law Article 21 (Start-Up NY Program) Start-Up NY Regulations

History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

Appendices

There are no appendices relevant to this policy.

Effective: February 10, 2014

Attachment 6)

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Areas Memorandum (CPM)
Evidence of Submission of Tax-Free Area Plan to Interested Parties

Location	UniqueID	Owner	PropertyType	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus	Within1mileOfCampus	Latitude	Longitude	Note
City of Rome	SU-320-1-L-27734-000-A	MVCC/Oneida County	1	1101 Floyd Ave	Rome	13440	246.006-1-1	N/A	D	N/A	9.6	9.6 acres of vacant land	yes	on campus	43* 13' N	75* 25' W	
City of Utica	SU-320-1-L-07734-000-A	MVCC/Oneida County	1	1101 Sherman Dr	Utica	13501	331.013-2-1	N/A	D	N/A	5	5 acres of vacant land	yes	on campus	43* 4' N	75* 13' W	
City of Rome	SU-320-1-B-57734-000-A	Oneida County	1	784 Bomber Dr	Rome	13441	243.000-1-1.1	N/A	C	671	N/A	office space in Nosedock 78	yes	on campus	43*13'N	75*25'W	

* 1= on campus
2= 1 mile off campus
3= State Asset

** A=entire building
B=floor within building
C=Room within building
D=land on campus
E= land off campus
F=entire building off campus
G=partial building off campus
H=state asset

Designated Land or Buildings Unique ID Standard

See Sheet 2: SUNY Unique ID Codes

MVCC CAMPUS BOUNDARY MAP- Rome Campus

Aerial Image Overlay Map

Legend

- MVCC SUNY Sites
- Campus Boundary
- Municipal Boundaries
- Parcels

N
W E
S

0 175 350 700
Feet
Scale: 1 inch = 175 feet

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Imagery Source: Pictometry
Date of Imagery: 2013

Aerial Image Overlay Map

Legend

- MVCC SUNY Sites
- Campus Boundary
- Municipal Boundaries
- Parcels

N W E S

0 175 350 700

Feet

Scale: 1 inch = 175 feet

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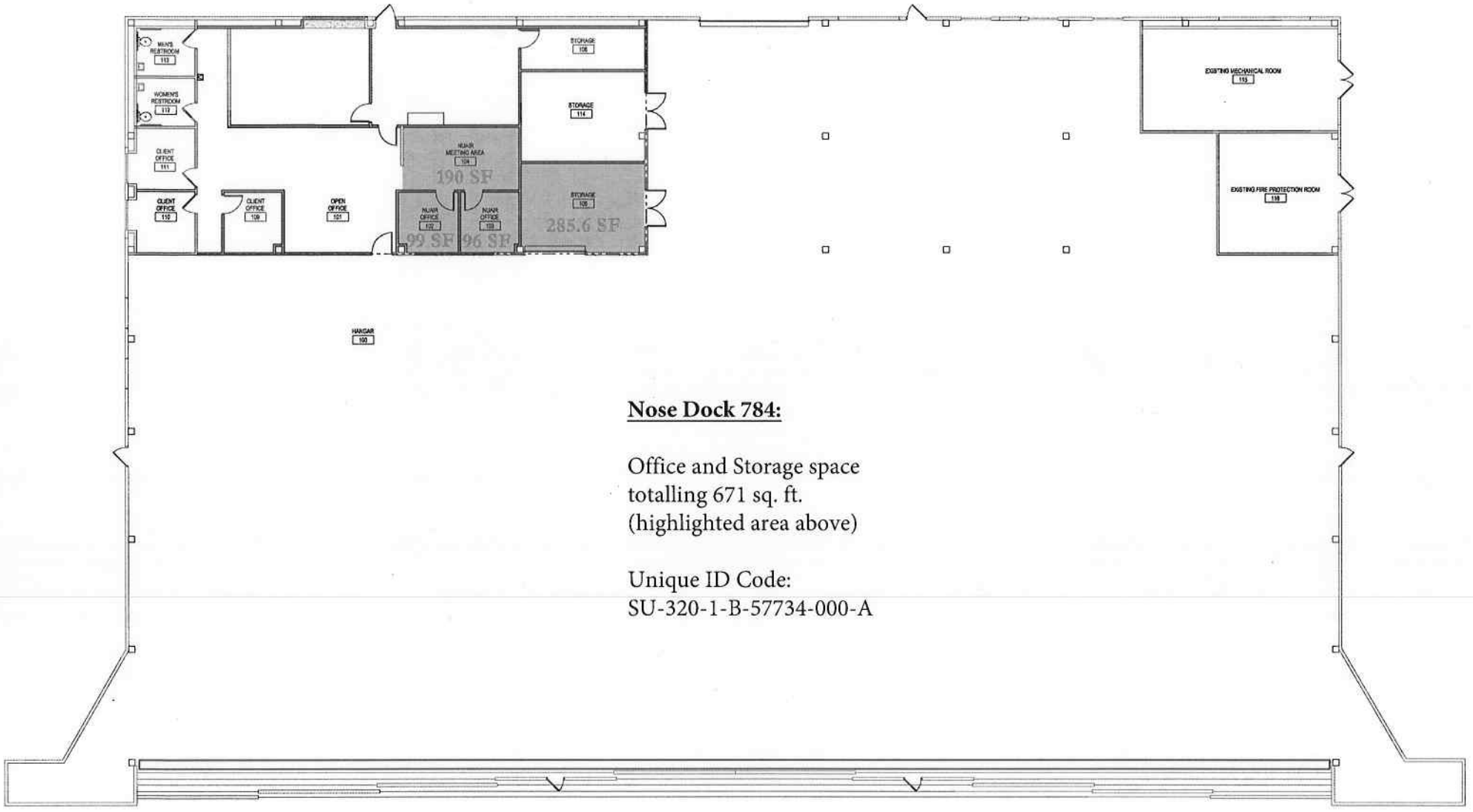
Imagery Source: Pictometry
Date of Imagery: 2013



Nose Dock 784:

Office and Storage space
totalling 671 sq. ft.
(highlighted area above)

Unique ID Code:
SU-320-1-B-57734-000-A

NOSE DOCK #784