SUNY
The State University of New York

PRESIDENTS OFFICE
JUL 14 2014
SUNY-ESF

Office of the
Chief Financial Officer

State University Plaza
Albany, New York 12246

www.suny.edu

June 20, 2014

President Quentin D. Wheeler
State University of New York College of Environmental
Science and Forestry
1 Forestry Drive
Syracuse, New York 13210

Re: START-UP NY

Dear President Wheeler:

Congratulations. Attached is the approved application for the SUNY College of Environmental Science and Forestry's Campus Plan for Designation of Tax-Free Area(s).

Please submit your Campus Plan to Designations@esd.ny.gov. Also, please copy program.review@suny.edu so that we know which plans have been submitted to ESDC and can monitor their progress at the agency.

Best of luck to you and the SUNY College of Environmental Science and Forestry in launching the START-UP NY Program.

Best regards,

Robert M. Haelen
Interim Chief Financial Officer

Attachment
Copy: SUNY START-UP NY Proposal Review Team

SUNY The State University of New York

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Area(s) Memorandum (CPM)

To: SUNY Chancellor

From: Dr. Quentin D. Wheeler, President

Re: **SUNY College of Environmental Science and Forestry Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan")**

Date: June 9, 2014

For campus Office of the President:
The arrangement documented in the attached Campus Plan is aligned to the academic mission of the SUNY College of Environmental Science of Forestry and in accordance with all SUNY policies, procedures, and guidelines.

Dr. Quentin D. Wheeler

10 June 2014
Date

FOR SUNY SYSTEM ADMINISTRATION USE ONLY

For SUNY's START-UP NY Proposal Review Team Co-Chair: It is recommended by the SUNY START-UP NY Proposal Review Team that SUNY [approve/reject] the attached Campus Plan:

Proposal Review Team Co-Chair

6-20-14
Date

Jeffrey A. Boyce
Print Name

For SUNY Office of the Chancellor:
The attached Campus Plan is hereby [approved/rejected] for campus submission to the NYS Commissioner of Economic Development.

Signature of the Chancellor or designee

6/20/14
Date

Robert Haeley
Print Name

SUNY The State University of New York

To: Mr. Kenneth Adams, NYS Commissioner of Economic Development

From: Dr. Quentin D. Wheeler, President, SUNY College of Environmental Science and Forestry

Re: **SUNY College of Environmental Science & Forestry**
Start-Up NY Campus Plan
Request for Exception to One-Mile Rule for Designation of Property

Date: June 9, 2014

The SUNY College of Environmental Science and Forestry (SUNY ESF) hereby requests consideration for inclusion of **50,700 square feet** of vacant commercial/industrial space located at **One General Motors Drive, Syracuse, New York**, in the college Campus Plan relative to the Start-Up NY program. SUNY ESF recognizes that if approved, inclusion of this space in the SUNY ESF Campus Plan will reduce future eligibility for off-campus space to 149,300 square feet.

Justification for this request follows:

1. SUNY ESF is working with a start-up wood product manufacturing company (the company) that has a proprietary process for treating wood in order to render it stronger and more flexible, making it suitable for manufacturing of a wide array of wood products. The company's proposed product manufacturing and associated research and development are very closely aligned with the SUNY ESF mission. Affiliation with this company will present a number of opportunities for the college and its students, including the potential for joint research and development on renewable wood products and processes; paid student internships; practical skills and applications training in state-of-the art wood-based materials science, including material and product testing; opportunities for guest lecturing; etc.

2. SUNY ESF does not have space that is suitable nor large enough to accommodate the company or other such industrial and manufacturing-type companies on campus.

3. The space located at One General Motors Drive is a small portion of a 795,850 square-foot former General Motors manufacturing facility that is currently being parceled out as a multi-tenant facility. The building has been plagued by a high vacancy rate, and is currently approximately 40% vacant. The property is located approximately five miles from the SUNY ESF campus. The SUNY College of Environmental Science and Forestry Campus Plan proposes inclusion of two spaces within this facility, either of which could be utilized by the company, with the second space offering potential to accommodate [an] additional Start-Up NY prospect[s] in future.

Thank you for your consideration.

SUNY The State University of New York

To: Mr. Kenneth Adams, NYS Commissioner of Economic Development
From: President Dr. Quentin D. Wheeler of the SUNY College of Environmental Science and Forestry
Re: **SUNY College of Environmental Science and Forestry's Campus Plan for Designation of Tax-Free Area(s)**
Date: June 9, 2014

I, President Quentin D. Wheeler of SUNY College of Environmental Science and Forestry hereby certify the following:

a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality or municipalities in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and

b.) we comply with Public Officers Law Section 74; State University of New York's Policy on Conflict of Interest and; the Research Foundation's Conflict of Interest Policy and Guidelines for the Management of Conflicts of Interest; and attached copies of the polices and/or guidelines herewith; and

c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and

d.) we are aware of the non-governmental use limitations associated with state issued tax exempt bonds and if our proposed Tax-Free NY Area was financed with tax exempt bonds, we will: 1) make potential businesses aware of these limitations when marketing property; and 2) take appropriate steps to ensure that non-governmental use of property funded with tax-exempt bonds will not jeopardize the tax exempt status of state issued bonds; and

e.) We consulted with the municipality or municipalities in which such land or space is located prior to including such space or land in the proposed Tax-Free NY Area and we have given preference to underutilized properties; and

f.) we have not relocated or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, parking, or any other facility, space or program that actively serves students, faculty or staff in order to created vacant land or space to be designated as a Tax-Free NY Area; and

g.) the information contained in the enclosed application is accurate and complete.

Dr. Quentin D. Wheeler, President

10 June 2014
Date

Attachments/Enclosures:

1) Tax-Free Area Plan with Polygon shapefile of campus area (if available) and/or point data of vacant space (if available), **OR** outline and shaded delineation of proposed tax-free area on a campus aerial photo and/or campus map shaded to indicate land or building containing proposed tax-free space, and floor plans of building space with designated space clearly labeled and shaded.
2) Excel spreadsheet of property to be designated
3) Applicable conflict of interest policies
4) Evidence of submission of Tax-Free Area Plan to interested parties

START-UP NY

START-UP NY CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name: SUNY College of Environmental Science and Forestry
Campus Contact Name: Joseph L. Rufo
Campus Contact Title: Vice President for Administration
Campus Contact E-mail: jlrufo@esf.edu
Campus Contact Phone: (315) 470-6622

THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:

   i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

SUNY College of Environmental Science and Forestry
1 Forestry Drive, Syracuse, New York 13210
Salina Industrial Powerpark One General Motors Drive (1000 Town Line Road) Syracuse, New York 13206 (SU-120-2-B-1GMDR-001-A)
26,700 square feet of leasehold space located within an existing 795,850 sf multi-tenant building. An interior floor plan for the entire building is not available.
Salina Industrial Powerpark One General Motors Drive (1000 Town Line Road) Syracuse, New York 13206 (SU-120-2-B-1GMDR-002-A)
24,000 square feet of leasehold space located within an existing 795,850 sf multi-tenant building. An interior floor plan for the entire building is not available.
Description of Salina Industrial Powerpark (SIP) The 795,850 SF industrial manufacturing facility situated upon 65 Acres in the town of Salina, Onondaga County was repurposed by General Motors Corporation in 1999.

Since the April 2000 commencement, SIP has been home to more than thirty companies. Through the adapted industrial use transformation, more than 80% of the physical space within the building has been updated and tenanted at one time or another since that date. Infrastructure such as roof, electrical, heating and air systems, potable water supply and distribution, fire suppression, roadways, parking and public areas are in competitive and sustainable condition. Currently, there are twelve (12) tenants occupying 56.4%. At this writing, an additional four tenants -- including the applicant -- are negotiating for 132,000 SF (17%). The annual goal for 75% occupancy positions SIP as self-sufficient for both operations and capital reserves. The site has been professionally managed by Pyramid Brokerage Company, Inc. since October 1999.

Historical

Salina Industrial Powerpark is the former Fisher Inland-Guide - General Motors Corporation facility in Salina NY. The property was designated a State Superfund Site in 1997 and has undergone extensive remediation under the "Order On Consent" (1999) Addendum to permit redevelopment consistent with 6 NYCRR. Extensive environmental remediation was completed by General Motors from 1993 through its June 2009 Bankruptcy. On March 31, 2011, the entre asset was transferred by the United States Bankruptcy Court to RACER (Reconditioned Auto Communities Environmental Response) Trust. An environmental remediation Trust Fund ($18M) was established through the court and in trust of RACER, for exclusive future use at Salina Industrial Powerpark, Salina, NY. Each manufacturing location (demised premises) at SIP is approved for use by the NYS DEC through the Tenant Use Notice process mandated by the Order on Consent Addendum. The applicant's TUN was submitted May 21, 2014.

In addition to tens of millions of environmental remediation dollars over two decades; more than $40 Million of non-environmental dollars have been injected into the Central NY economy over a twelve-year period. The strategy implemented with private funding to repurpose and reposition Salina Industrial Powerpark -- 'Economic Development through Environmental Remediation' -- supports sustainable superstructure and historic public infrastructure.

ii. Complete the Excel spreadsheet template provided with this document, noting the instructions on page 2. Include the official SUNY Physical Space Inventory (PSI) building number and a clear description of the spaces in the building or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Include only properties sought to be designated now and exclude potential sites that may be considered in the future (see 2a below). Attach the completed spreadsheet to this plan.

iii. Provide also a representation of each proposed site drawn in AutoCAD on a scaled campus map with boundaries drawn clearly. Two versions should be created; one including an imbedded layer from Google Earth or other aerial photograph of the property. The second version should exclude the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan

which ties to identifiers in the Excel spreadsheet. If digital files containing Polygon shapefile that delineates area for designation are available, provide these as well. Attach these materials to this plan.

iv. Provide a campus map with each proposed building shaded. Label each building with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. For each building shaded and labeled, include floor plans of all areas under consideration with the specific spaces clearly shaded and labeled with official PSI room numbers. If digital files containing Point shapefiles that provide locations of area for designation are available, provide these as well. Attach these materials to this plan.

2) The total square footage of the space and/or acreage of land proposed for designation as a Tax-Free NY Area is:

50,700 square feet

2a) *If applicable:* You may include here a description of any potential space or acreage of land that you may seek to designate as a Tax-Free NY Area under the START-UP NY Program in the future. This may include campus property that may become vacant, or other properties in your community that are not currently part of your campus but may be desirable for a company partner and with which you may consider an affiliation if an appropriate partner is identified. Do not include these properties in the Excel spreadsheet.

2b) *If applicable:* The total square footage of the space or acreage of land that you may propose to designate as a Tax-Free Area as identified in 2a, if known.

3) Provide a description of the type of business or businesses that may locate in the area identified in #1.

The businesses would engage in the application of "green" technology in the research, development and manufacture of sustainable products using renewable resources. Moreover, the businesses would promote the education and use of sustainable practices and renewable materials through teaching, research and outreach.

4) Provide a description of the campus academic mission, and explain how the businesses identified in #3 will align or further the academic mission of the university or college.

The mission of the College is to advance knowledge and skills and to promote the leadership necessary for the stewardship of both the natural and designed environments. ESF conducts research and offers academic programs that together seek to solve a wide range of environmental problems, while taking into consideration human and economic implications as well as scientific understanding. Sustainable practices have been embedded within the College from the beginning. Over the last one hundred years, the mission has expanded to address sustainable practices in other areas including water resources, community planning and design, ecosystem management, and construction.

The application of "green" technology in the manufacture of sustainable products using renewable wood resources as well as the wise use of renewable materials is integral to both economic and environmental well-being and is consistent with the mission of the College.

Successful partnerships with businesses will promote and foster sustainable jobs, economic growth as well as providing benefits to and academic engagement with the College through educational and research related contributions. These include, but not necessarily limited to providing paid internships to SUNY ESF students, giving or sponsoring lectures designated by SUNY ESF for the purpose of advancing the knowledge of the application of "green" technology in the research, development and manufacture of sustainable products using renewable wood resources, jointly pursuing Federal and State applied research and development grants, and establishing a scholarship fund for SUNY ESF students.

Specific SUNY ESF Undergraduate Academic Program Offerings that through which the College can potentially partner with business

Aquatic and Fisheries Science - Bachelor of Science

- biology and diversity of aquatic animals and plants
- application of ecological principles to understand and manage aquatic resources
- hands-on ecosystem studies in Adirondacks, Finger Lakes, Thousand Islands region, and Atlantic coast
- marine sciences semester option

Bioprocess Engineering - Bachelor of Science

- biologically based engineering program focused on renewable resources and sustainable practices
- career possibilities in bioengineering, resource engineering, pharmaceuticals, renewable energy, and environmental engineering

Biotechnology - Bachelor of Science

- plant biotechnology
- phytoremediation and bioremediation
- alternative energy and materials
- conservation of endangered plant species

Chemistry - Bachelor of Science

- biochemistry option
- environmental chemistry option
- polymer chemistry option
- secondary science teacher certification

Conservation Biology - Bachelor of Science

- application of science to conserve the earth's imperiled species and ecosystems
- focus on biological diversity and value of nature
- integration of biological perspectives with social, economic and legislative ones

Construction Management - Bachelor of Science

- management of construction processes
- sustainable construction and renewable materials focus
- emphasis on environmental and engineering issues
- 30 hour OSHA Certification and Level 1 Certified Professional Constructor exam

Environmental Biology - Bachelor of Science

- flexible program in biological sciences with an orientation to natural resources and environmental concerns
- secondary science teacher certification
- marine biology (SEA semester) study option

Environmental Education and Interpretation -Bachelor of Science

- patterns and relationships in the natural world
- identification, life history, distribution, and abundance of organisms
- interpretation and communication of ecology and science to diverse audiences

Environmental Health - Bachelor of Science (offered Fall 2014)

- impact of environmental factors on human health
- career outcomes in public health and environmental medicine

Environmental Resources Engineering - Bachelor of Science

- environmental and ecological engineering
- geographic information systems (GIS)
- water resources engineering
- pollution abatement and site remediation

Environmental Science - Bachelor of Science

- environmental information and mapping
- watershed science
- health and the environment
- earth and atmospheric systems science
- environmental analysis
- renewable energy systems

Environmental Studies - Bachelor of Science

- environmental policy, planning and law
- environment, communication and society
- biological science applications

Forest Ecosystem Science - Bachelor of Science

- combining forestry and environmental biology

Forest Health - Bachelor of Science

- multidisciplinary studies leading to the understanding and protection of the world's

forest resources
- identification and impact of biological agents of disease

Forest Resources Management - Bachelor of Science
- forest management
- forest ecology and biology
- forest measurements
- forest policy and administration

Landscape Architecture - Bachelor of Landscape Architecture
- community and environmental design
- urban and regional planning
- off-campus study program

Natural Resources Management - Bachelor of Science
- environmental and natural resources management
- recreation resources management
- water resources management

<u>Specific Graduate Academic Program Offerings that will help SUNY ESF identify potential business opportunities</u>

Environmental and Forest Biology
- M.S., M.P.S. and Ph.D. with areas of study in:
    - applied ecology (M.P.S. only)
    - chemical ecology
    - conservation biology
    - ecology
    - entomology
    - environmental interpretation
    - environmental physiology
    - fish and wildlife biology and management
    - forest pathology and mycology
    - plant biotechnology
    - plant science and biotechnology

Environmental and Forest Chemistry
- M.S., M.P.S. and Ph.D. with areas of study in:
    - biochemistry
    - environmental chemistry
    - organic chemistry of natural products
    - polymer chemistry

Environmental Resources Engineering
- M.S. and Ph.D. with areas of study in:
    - ecological engineering

- environmental resources engineering
- geospatial information science and engineering
- water resources engineering

- M.P.S. with areas of study in:
  - environmental management
  - environmental resources engineering
  - geospatial information science and engineering

Environmental Science

- M.S., M.P.S. and Ph.D. with areas of study in:
  - biophysical & ecological economics
  - coupled natural and human systems
  - ecosystem restoration
  - environmental and community land planning
  - environmental communication and participatory processes (Ph.D. only)
  - environmental monitoring and modeling
  - environmental & natural resources policy (Ph.D. only)
  - water and wetland resource studies

Environmental Studies

- M.S. and M.P.S. in:
  - environmental communication
  - environmental policy
  - general environmental studies

Forest Resources Management

- M.S., M.P.S. and Ph.D. with areas of study in:
  - ecology and ecosystems
  - economics, governance and human dimensions
  - management
  - monitoring, analysis and modeling

- Master of Forestry (M.F.)
  - forest management and operations

Landscape Architecture

- M.L.A. and M.S. with areas of study in:
  - community design and planning
  - cultural landscape studies and conservation
  - landscape and urban ecology

Paper and Bioprocess Engineering

- M.S., M.P.S. and Ph.D. with areas of study in:
  - bioprocess engineering
  - biomaterials engineering

- paper science and engineering

Sustainable Construction Management and Wood Science

- M.S., M.P.S. and Ph.D. with areas of study in:
  - construction management
  - sustainable construction
  - wood science

5) Provide a description of how participation by these types of businesses in the START-UP NY Program will generate positive community and economic benefits, including but not limited to:
   - Increased employment opportunities;
   - Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
   - Diversification of the local economy;
   - Environmental sustainability;
   - Increased entrepreneurship opportunities;
   - Positive, non-competitive and/or synergistic links to existing businesses;
   - Effect on the local economy; and
   - Opportunities as a magnet for economic and social growth.

As a major employer, purchaser of goods and services and premier academic and research institution, SUNY ESF is part of the cultural and economic fabric of Onondaga County, Central and Northern New York.

The business and economic development opportunities made possible through START-UP NY will enable SUNY ESF to further leverage its academic and research expertise in the promotion of local and state wide economic development.

SUNY ESF looks forward to partnering with companies whose mission is consistent with that of the College thereby expanding creating economic and educational benefits to both the College and the community. The College will encourage businesses whose enterprise can potentially support our mission to consider partnering with SUNY ESF, and through these partnerships help to create sustainable jobs, incremental investment, and industry sponsored research and a richer student and faculty experience.

Businesses that wish to participate with ESF as part of the Start Up NY program must demonstrate that their mission and activities further the academic mission and focus of the College in a manner consistent with the College's values. To that end we envision potential businesses to partner and engage with the College's various academic departments as described in 4 above.

Successful partnerships are those that promote and foster sustainable jobs, economic growth as well as providing shared benefits to the business, the community and the College through educational and research related contributions and grants as well as direct employment all of which will have a positive multiplier effect on economic activity in the region.

These partnerships will also provide benefits to the College and by extension to the local community through educational and research related contributions. These include, but not necessarily limited to providing paid internships to SUNY ESF students, giving or sponsoring lectures designated by SUNY ESF for the purpose of advancing the knowledge of the application of "green" technology in the research, development and manufacture of sustainable products using renewable wood resources, jointly pursuing Federal and State applied research and development grants, and establishing a scholarship fund for SUNY ESF students.

6) Provide a description of the process the Sponsor (campus) will follow to select participating businesses. The description should identify the membership of any group or committee that may make recommendations, the final decision-maker, and the criteria that will be used to make decisions. This group or committee must include representation from faculty governance. The criteria may include some or all of the following:

A. Academic and Research Alignment

1. Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
2. Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
3. Does the business provide areas for partnership and advancement for faculty and students?
4. Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
5. Will the business fund scholarships, campus facilities or other academic services or amenities?
6. Will the business and/or its employees contribute to instruction or provide student mentoring?
7. Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

B. Economic Benefit

1. How many net new jobs will be created?
2. Is the business viable in both the short- and long-term?
3. Will the business attract private financial investment?
4. Does the business plan to make capital investments (e.g., renovation, new construction)?
5. Are the new jobs in critical areas of the economy?
6. How will the University financially benefit from the terms of the lease?

C. Community Benefits

1. Does the business have the support of one or more municipal or community entities?
2. Is the business recruiting employees from the local workforce?
3. Does the business invest in underserved, economically distressed regions?
4. Will the business rely on suppliers within the local and regional economy?

SUNY ESF Process for Selecting Participating Businesses

Step 1: Initial Contact

Businesses that express interest in partnering with SUNY ESF in the START-UP NY program will be directed to contact the College's Vice President for Administration (the campus contact).

Steps 2: Initial Screening

The campus contact will review the business proposal and seek to ensure that

1) the proposal meets the eligibility criteria contained in Part 220 of the Regulations of the Commissioner of the Department of Economic Development, and;

2) the proposal is consistent with the mission and values of the College.

Proposals that do not meet the eligibility criteria and/or are not consistent with the mission and values of the College will not move forward.

Step 3: Review of Potential Business Opportunities

The campus contact as well as others who, given the specifics of the proposal may be needed (e.g., faculty, business office, legal counsel, local economic development and IDA representatives), will review with the business potential opportunities for meaningful engagement and collaboration. If there are no opportunities for meaningful engagement and collaboration the proposal will not move forward.

Step 4: Development of a Revised Proposal

The business will be asked to further develop their proposal as needed (the revised proposal) by providing additional information describing the proposal's academic and research, economic, and community related aspects as described below.

Academic and Research

- Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
- Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
- Does the business provide areas for partnership and advancement for faculty and students?
- Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
- Will the business fund scholarships, campus facilities or other academic services or amenities?
- Will the business and/or its employees contribute to instruction or provide student mentoring?
- Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

Economic Benefit

- How many net new jobs will be created?
- Is the business viable in both the short- and long-term?
- Will the business attract private financial investment?
- Does the business plan to make capital investments (e.g., renovation, new

construction)?

- Are the new jobs in critical areas of the economy?
- How will the University financially benefit from the terms of the lease?

Community Benefits

- Does the business have the support of one or more municipal or community entities?
- Is the business recruiting employees from the local workforce?
- Does the business invest in underserved, economically distressed regions?
- Will the business rely on suppliers within the local and regional economy?

Step 5: Review of the Revised Proposal

The revised proposal will be presented to

- The Executive Committee of Faculty Governance and the College's Chief Academic Officer will review the revised proposal to ensure that:

  1) there are faculty and/or academic programs or departments that can meaningfully engage with the proposed business, and;

  2) any academic initiatives, internships or other experiences for undergraduate and graduate study are in line with the academic mission of the College.

- A committee comprised of the Director of Business Affairs and the Director of Government Relations as well as any others who, given the specifics of the proposal may be needed (e.g., faculty, business office, legal counsel, local economic development and IDA representatives), will review the revised proposal with respect to economic and community benefits.

Steps 6 and 7: Campus Feedback and Final Decision

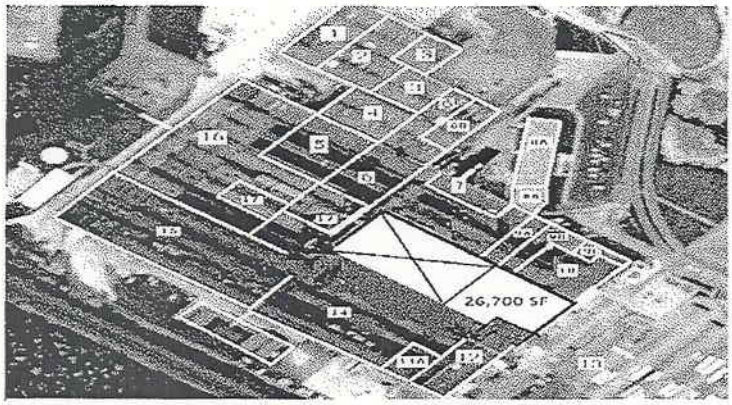
Proposals that merit continued consideration will be provided to the SUNY ESF campus community and open for a ten (10) calendar day comment period. Feedback will be provided to the Vice President for Administration, who will, in consultation with the College President, make the final decision.

Location	UniqueID	Owner	Property Type	Street Address	City	Zip Code	Parcel ID	Building	Space Type	SqFt	Acres	Description	On Campus	Within 1mile Of Campus	Latitude	Longitude
Salina Industrial Powerpark - I	SU-120-2-B-1GMDR-001-A	The Racer Trust	2	1 General Motors Drive*	Syracuse	13206-1117	001	Salina Industrial Power Park	G	26,700	0	Multi-tenant industrial space	No	> 1 mile from campus	43.08754099999999 N	76.11964499999999 W
Salina Industrial Powerpark - II	SU-120-2-B-1GMDR-002-A	The Racer Trust	2	1 General Motors Drive*	Syracuse	13206-1117	002	Salina Industrial Power Park	G	24,000	0	Multi-tenant industrial space	No	> 1 mile from campus	43.08754099999999 N	76.11964499999999 W
				* Also referred to as 1,000 Town Line Road.												
									** A = entire building							
									B = floor within building							
									C = Room within building							
			1 = on campus						D = land on campus							
			2 = off campus						E = land off campus							
			3= State Asset						F = entire building off campus							
									G = partial building off campus							
									H = state asset							

Designated Land or Buildings Unique ID Standard

See Sheet 2: SUNY Unique ID Codes

Property ID: SU 120 2 B 1GMDR 001 A
Salina Industrial Power Park
One General Motors Drive
Syracuse, New York 13206



26,700 SF

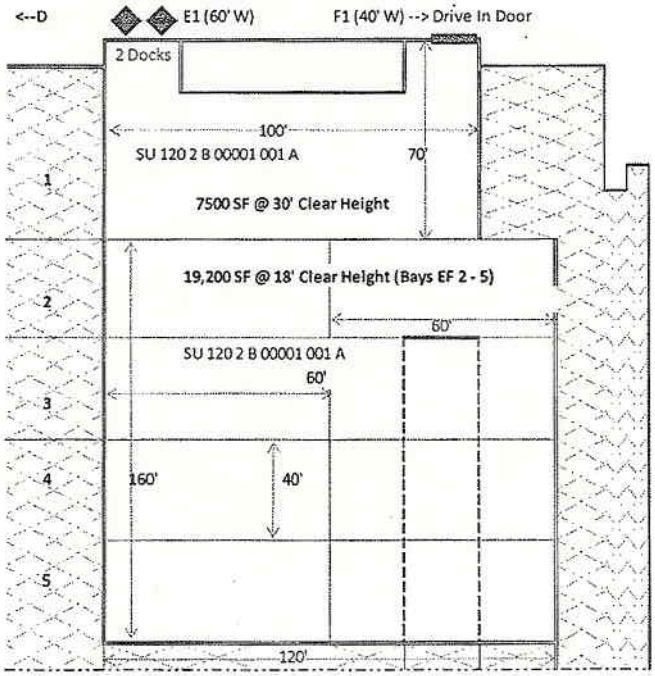
East Side Bays A5 & A6ab
100' length Front East East Exp
with two docks
Height in A bays is 30' Clear
Height in B, C, D, E bays 18' Clear

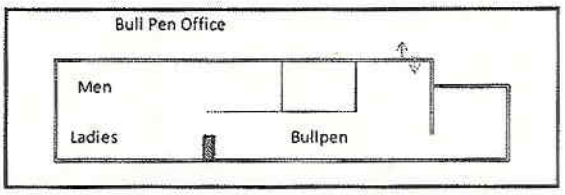
Depicts area for Expansion

Parking immediate to East (Neg)
Heavy Power / Steam Heat
Ready for occupancy

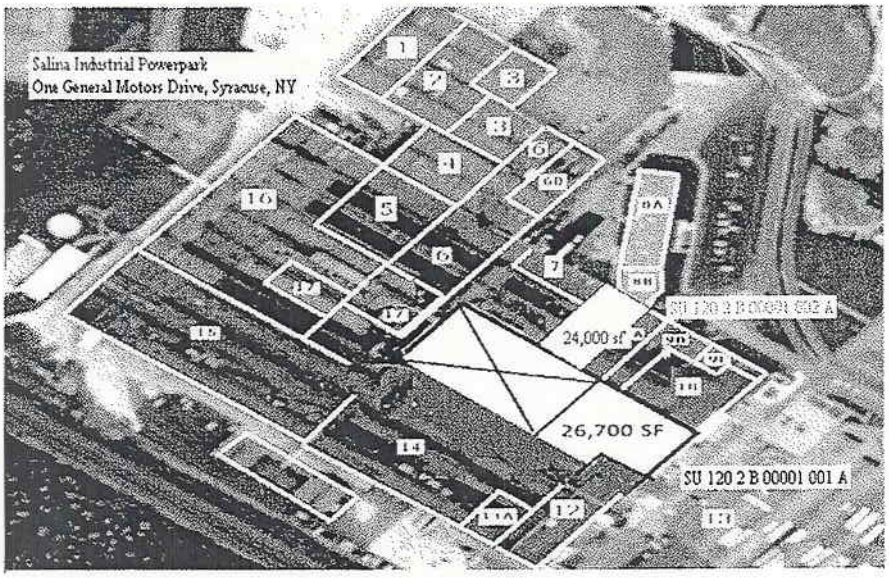
$3.95 PSF Modified Industrial Gross
Utilities, Janitorial and Rubbish by Tenant

The 26,700 sf depicted here for inclusion in the Start-Up NY program is leasehold space located within an existing 795,850 sf multi-tenant building. An interior floor plan for the entire building is not available.

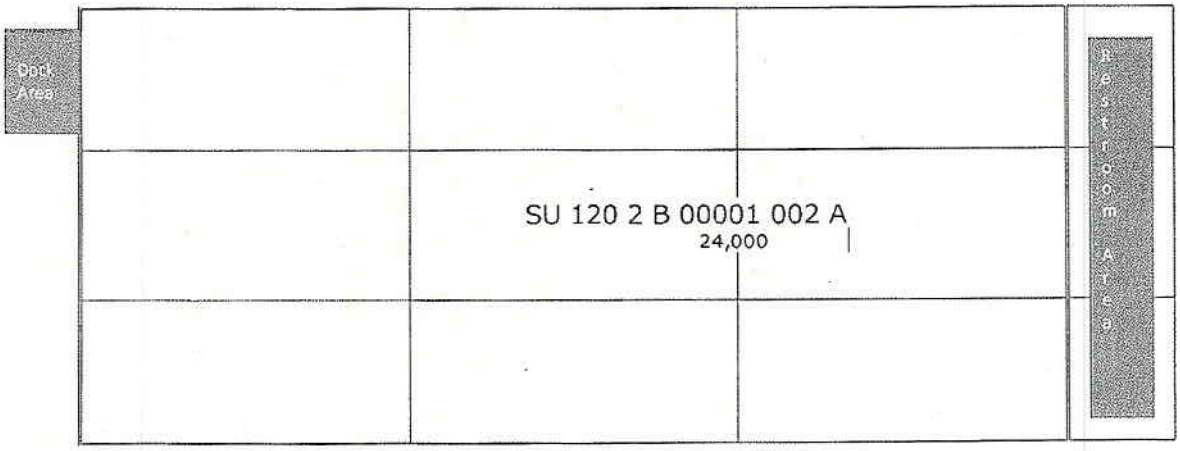




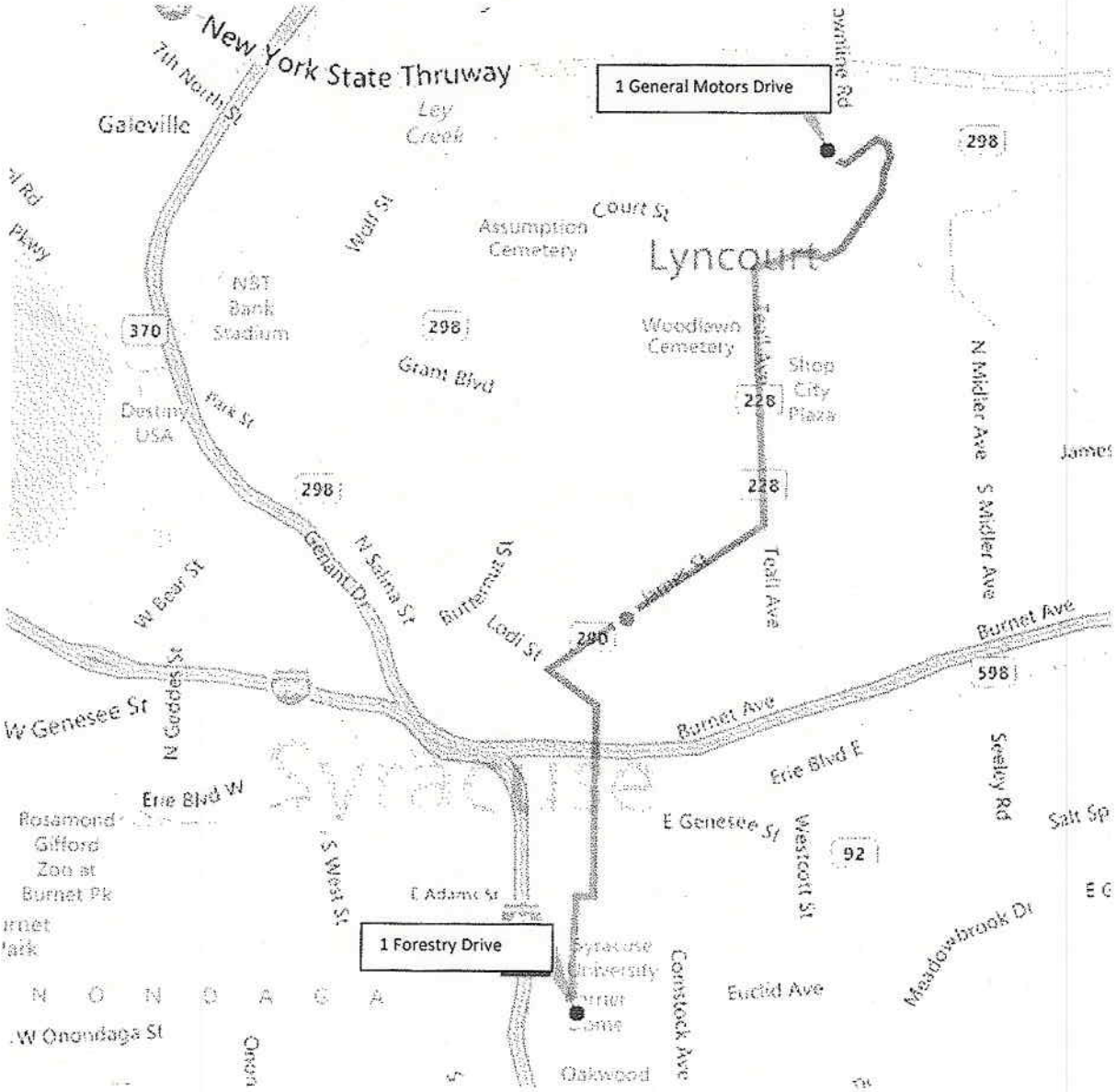
Property ID: SU 120 2 B 1GMDR 002 A
Salina Industrial Power Park
One General Motors Drive
Syracuse, New York 13206



The 24,000 sf depicted here for inclusion in the Start-Up NY program is leasehold space located within an existing 795,850 sf multi-tenant building. An interior floor plan for the entire building is not available.



Salina Industrial Power Park
1 General Motors Drive, Syracuse, NY 13206
to
SUNY College of Environmental Science and Forestry
1 Forestry Drive, Syracuse, NY 13210
Distance 5.0 miles



PUBLIC OFFICERS LAW
§ 74. Code of ethics.

1. **Definition.** As used in this section: The term ***"state agency"*** shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the finance law or their successors.

The term ***"legislative employee"*** shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. **Rule with respect to conflicts of interest.** No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

3. **Standards.**
   a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his independence of judgment in the exercise of his official duties.

   b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him to disclose confidential information which he by reason of his official position or authority.

   c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him in the course of his official duties nor use such information to further his personal interests.

   d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.

   e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.

   f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

g. An officer or employee of a state agency should abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.

h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.

i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services o any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.

4. **Violations.** In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.

Category: HR / Labor Relations Legal and Compliance Responsible Office: University Counsel	Policy Title: Conflict of Interest Document Number: 6001 Effective Date: October 01, 1995 This policy item applies to: State-Operated Campuses

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Summary

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests and are required to avoid conflicts of interest. Where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by University policy. This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

Policy

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests. In keeping with this obligation, they are also required to avoid conflicts of interest.

In instances where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by this University policy. It is the responsibility of campus officials charged with implementing this policy to identity potential or actual conflicts of interest and take appropriate steps to manage, reduce, or eliminate them.

This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

1. University faculty and staff may not engage in other employment which interferes with the performance of their professional obligation.
2. University faculty and staff are expected to comply with the New York State Public Officers Law provisions on conflict of interest and ethical conduct.
3. University faculty and staff, to the extent required by law or regulation, shall disclose at minimum whether they (and their spouses and dependent children) have employment or financial interests or hold significant offices, in external organizations that may affect, or appear to affect, the discharge of professional obligations to the University.
4. University campuses shall ensure that all faculty and staff subject to pertinent laws and regulations disclose financial interests in accordance with procedures to be established by the Chancellor or designee. Campuses shall retain the reported information as required, identify actual or apparent conflicts of interest and seek resolution of such conflicts.
5. Each campus president shall submit to the chancellor's designee the name and title of the person or persons designated as financial disclosure designee(s) and shall further notify the chancellor's designee when a change in that assignment occurs. The chancellor's designee shall also be notified of any reports regarding conflict of interest that are forwarded to state or federal agencies.

Definitions

Conflict of interest — any interest, financial or otherwise, direct or indirect; participation in any business, transaction or professional activity; or incurring of any obligation of any nature, which is or appears to be in substantial conflict with the proper discharge of an employee's duties in the 'public interest. A conflict of interest is also any financial interest that will, or may be reasonably expected to, bias the design, conduct or reporting of sponsored research.

Other Related Information

Outside Activities of University Policy Makers
Ethics in State Government - A Guide for New York State Employees
National Science Foundation, Grant Policy Manual

Procedures

There are no procedures relevant to this policy.

Forms

There are no forms relevant to this policy.

Authority

42 CFR 50, Subpart F

The following link to FindLaw's New York State Laws is provided for users' convenience; it is not the official site for the State of New York laws.

NYS Public Officers Law, Section 73-a, and 73 and 74

In case of questions, readers are advised to refer to the New York State Legislature site for the menu of New York State Consolidated.

Board of Trustees Policies - Appointment of Employees (8 NYCRR Part 335)

State University of New York Board of Trustees Resolution adopted June 27, 1995

History

Memorandum to presidents from the office of the University provost, dated June 30, 1995 regarding revision to University conflict of interest policy to bring it in conformity with federal guidelines issued by the National Science Foundation and the Public Health Service.

Appendices

There are no appendices relevant to this policy.

	Policy Title: START-UP NY Program Participation Policy Document Number: 6800
Category: Academic Affairs Community Colleges Legal and Compliance Research	Effective Date: February 10, 2014 This policy item applies to: Community Colleges State-Operated Campuses
Responsible Office: Academic Affairs	

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Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21st century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval and/or review of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or

perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

Policy

A. **Campus Plans for Designation of Tax-Free Area(s):** Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

B. **Sponsoring University or College Applications for Business Participation:** After a campus is notified by the Commissioner of Economic Development that its Campus Plan or any amendment(s) to an approved Campus Plan has been approved, it may submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development and to the Chancellor or designee for concurrent review and approval. Only Commissioner of Economic Development approval is required.

C. **Delegations:** The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.

D. **Conflicts of Interest:** Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 31st of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be

copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.

E. **Exceptions**: There are no exceptions to this policy.

Definitions

Business Interest means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

Official means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

Relative means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

Sponsoring College or University means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

START-UP NY Program means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article 21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

Other Related Information

Start-Up NY Regulations: available at the Start-Up NY Website.

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

StartUp-NY.gov website and program information.

Procedures

START-UP NY Program Participation, Procedures for

Forms

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Memorandum

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Template

ESD START-UP NY Sponsoring University or College Application for Business Participation

ESD START-UP NY Business Application Instructions

ESD START-UP Business Application

Authority

State University of New York Board of Trustees Resolution 14-(__), START-UP New York Program Administration, adopted January 14, 2014

Law, New York Economic Development Law Article 21 (Start-Up NY Program)

Start-Up NY Regulations

History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

Appendices

There are no appendices relevant to this policy.

SUNY RF

Conflict of Interest Policy

Effective Date:	March 15, 2013
Supersedes:	Conflicts of Interest Policy pursuant to 95-5 Resolution and the Procedure of Investigating Conflict of Interest Policy
Policy Review Date:	February 2016
Issuing Authority:	Research Foundation President
Responsible Party:	Chief Compliance Officer
Contact Information:	(518) 434-7145 rfcompliance@rfsuny.org

Reason for Policy

As Research Foundation Board Members, Officers, and Employees, we hold positions of trust and must act in the best interests of the Research Foundation. We must avoid any activity that impairs or would reasonably appear to impair the ability to perform our duties with independence and objectivity. A conflict of interest arises if our personal relationships, activities, or finances interfere, or appear to interfere, with our ability to act in the best interests of the Research Foundation.

Research Foundation Board Members, Officers, and Employees must incorporate, where necessary and possible, the following rules into their services on behalf of the Research Foundation. Research Foundation Officers and Employees must adhere to the standards outlined in the New York State Public Officers Law Section 74. This policy incorporates the key standards outlined in Section 74.

Statement of Policy

Research Foundation Board Members, Officers, and Employees may not have any interest or engage in any outside activity which results in an unmanaged conflict of interest. To this end, Board Members, Officers, and Employees must disclose their interests and outside activities, and those of a Related Party, which may affect their ability to perform their duties with independence and objectivity. A conflict of interest must be managed so the conflict is reduced or eliminated, and compliance with conflict of interest management plans should be monitored where necessary.

Prohibited Conflicts of Interest

A conflict of interest exists if you or a Related Party has a Financial or Other Interest that will or may reasonably be expected to:

- substantially conflict with the proper discharge of your duties in the Research Foundation's best interests;
- result in the disclosure of the Research Foundation's information that you have gained by reason of your position or authority; or
- impair your ability to exercise independent judgment in the performance of your duties and responsibilities.

Conflicts of Interest Posed By Outside Employment, Investments, or Other Business Activities

As a Research Foundation Board Member, Officer or Employee, you must not make personal investments in enterprises that you have reason to believe may be directly involved in decisions to be made by you or will otherwise create substantial conflict between your duty on behalf of the Research Foundation and your private interest.

If you or a Related Party has a Financial or Other Interest in any business entity, you may not represent the Research Foundation in any transaction with that entity and must disclose the interest in accordance with this policy and the Procedure for Managing Conflicts of Interest.

Procurement of goods or services by the Research Foundation shall be conducted consistent with the Foundation's established procurement policy.

You may not accept employment or engage in any business or professional activity that will impair the independence of your judgment in the exercise of your duties for the Research Foundation or require you to disclose confidential information that you gained by reason of your affiliation with the Research Foundation.

Prohibition Against Disclosure or Use of Confidential Material for Personal Gain

The Research Foundation prohibits disclosure of information that is confidential to the Research Foundation, acquired by any Board Member, Officer, or Employee in the course of his/her duties, except as required by law or as expressly authorized in writing by an Officer or other designated representative of the Research Foundation.

Board Members, Officers, and Employees may only use such confidential information in furtherance of their duties as a representative of the Research Foundation and shall not use such confidential information to further their personal interests or that of a Related Party.

You must not accept employment or engage in any business or professional activity that will require you to disclose confidential information that you gained by reason of your official position or affiliation with the Research Foundation.

Use of Research Foundation or State Resources

You may not misappropriate the property, services or other resources of the Research Foundation, SUNY, or others, whether for yourself or someone else.

Avoiding the Appearance of Impropriety

Board Members, Officers, and Employees shall not, by their conduct, give reasonable basis for the impression that any person can improperly influence them or unduly enjoy their favor in performance of their duties, or that they are affected by the kinship, rank, position, or influence of any party or person.

You may not use, or attempt to use, your position to secure unwarranted privileges or exemptions for yourself or others.

Similarly, bribery, extortion, and other attempts to exert undue influence are strictly prohibited. The Research Foundation expects Research Foundation Board Members, Officers, and Employees to avoid any conduct that may give the appearance of engaging in acts that are in violation of their trust.

Disclosing a Real, Apparent, or Potential Conflict of Interest

Board Members, Officers, and Employees must disclose all real, apparent, or potential conflicts of interest for review as described below. At an Operating Location, those disclosures should be made to the operations manager or his/her designee in accordance with this policy and the Procedure for Managing Conflicts of Interest. Disclosures are required in three instances:

1. **Annual Disclosures By Research Foundation Board Members, Officers, and Key Employees.** Board Members, Officers, and Key Employees must report Direct or Indirect Financial or other Interests that pose or may pose a real, apparent, or potential conflict of interest on an annual basis. These disclosures must be updated both annually and as new reportable interests are obtained or as new reportable activities occur.
2. **Grant-Related Disclosures.** Principal investigators must follow the policy at their respective campus locations.
3. **Situational Disclosures.** Board Members, Officers, and Employees must report any Direct or Indirect Financial Interest or other activity that may pose a conflict of interest under this policy. Such situational disclosures must be made as soon as practicable after the individual learns of the potential conflict.

When a disclosure is made under this policy, the actual, apparent, or potential conflict of interest will be reviewed pursuant to Procedure for Managing Conflicts of Interest. If a conflict of interest is found to exist, the Research Foundation must take steps to manage, reduce, or eliminate the conflict of interest. Individuals may appeal determinations with which they disagree. Please consult the Procedure for Managing Conflicts of Interest for more information.

Violation

In addition to any penalty contained in any provision of law or federal or state policy, individuals who knowingly and intentionally violate any of these provisions may be subject to action by the Research Foundation. For employees, this may include action under the Research Foundation's progressive discipline policy, including suspension or termination from employment.

Recordkeeping

The operating location operations manager must designate an appropriate office of record and must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of

interest are retained and documented. In addition to any recordkeeping process established by the operations manager, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

At the central office, the chief compliance officer must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of interest for all disclosures, at the central office or otherwise brought to the attention of the chief compliance officer, are retained and documented. In addition to any recordkeeping process established by the chief compliance officer, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

Campus Policy

An Operating Location may adopt a policy no less restrictive that than this Policy. If a local policy is adopted, then a copy of that policy must be filed with the RF's chief compliance officer.

Staffing Services

Employees employed by the RF under an agreement or contract, other than the 1977 Agreement between the RF and SUNY must adhere to the conflicts of interest policy in place by the entity the employees are employed to support. In the absence of a policy, the conflicts of interest policy effective at the associated operating location must govern.

Responsibilities

The following table outlines the responsibilities for compliance with this policy:

Responsible Party	Responsibility
Board Members, Officers, and Key Employees	Annual Disclosures
Principal Investigators	Grant-Related Disclosures
Employees	Situational Disclosures as needed

Definitions

Board Member: A member of the Research Foundation's board of directors.

Direct or Indirect Financial or Other Interests: Financial or Other Interests held by the Research Foundation Employee or by their spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

Employee: Officers, Key Employees, and any individual compensated employee of the Research Foundation.

Financial or Other Interests: Shall include, but are not limited to, the following:

- ownership or investment in any outside enterprise;
- serving as a director, officer, partner, consultant, broker, agent, or representatives of any outside enterprise;
- outside professional activity; or
- outside employment.

Key Employee: A "Key Employee" for purposes of this Policy includes:

1. Vice presidents;
2. Operations managers;
3. Deputy operations managers;
4. Chief research officers;
5. Technology transfer directors;
6. Sponsored program office directors or equivalent;
7. Other appointed officers
8. At the central office:
    a. Vice presidents
    b. Senior directors;
    c. Assistant Vice-Presidents; and
    d. Directors;
    e. Other appointed officers; and

9. Any other persons who have procurement authority equal to or exceeding $100,000 per transaction.

Officer: An officer elected under the Research Foundation's bylaws, including the Research Foundation's president, general counsel, secretary, and chief financial officer and those appointed pursuant to Article IV Section 13 of the RF's bylaws as appointed officers.

Operating Location: Research Foundation office located at a SUNY campus location or other SUNY location supporting the Research Foundation mission and SUNY operations overseen by an operations manager.

Operations Manager: An individual appointed to the position of operations manager by the Research Foundation.

Principal Investigator: Primary individual(s) in charge of a research grant or other project administered by the Research Foundation. The term "Principal Investigator" includes those individuals serving as co-principal investigators.

Related Party: A Research Foundation Employee's spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

Research Foundation (or Foundation or RF): The Research Foundation for The State University of New York.

Related Information

Management of Conflicts of Interest Procedure

Managing Conflicts of Interest Guidelines

NYS Public Officer's Law Sections 73 & 74

Conflicts of Interest in Public Health Service Sponsored Programs

Nepotism Policy

Gifts to Employees from Non-RF Sources Policy

Forms

Conflict of Interest Annual Disclosure Statement

Conflict of Interest Situational Disclosure Statement

Change History

Date	Summary of Change
December 7, 2012	Clarifies who is required to disclose conflicts, how, and when.Also allows for locations to use their own conflicts of interest policies and procedures, provided the policy is submitted to the compliance office and is no less restrictive than RF policy. Effective 3/15/2013