



The State University
of New York

Office of the
Chief Financial Officer

State University Plaza
Albany, New York 12246

www.suny.edu

June 28, 2016

President Katherine P. Douglas
Corning Community College
1 Academic Drive
Corning, NY 14830

Re: START-UP NY

Dear President Douglas:

Congratulations. Attached is the approved application for Corning Community College's Amended Campus Plan for Designation of Tax-Free Area(s).

After completion of the required 30-day comment period, please submit evidence of stakeholder notification, along with your complete campus plan, to Empire State Development at designations@esd.ny.gov for final Commissioner approval.

Best of luck to you and Corning Community College in launching the START-UP NY program.

Best Regards,

A handwritten signature in cursive script, appearing to read "Eileen McLoughlin".

Eileen McLoughlin
Senior Vice Chancellor for
Finance and Chief Financial
Officer

Attachment

Copy: SUNY START-UP NY Proposal Review Team

To Learn
To Search
To Serve

the Power of SUNY



The State University
of New York

SUNY START-UP NY
Campus Plan for Designation of Tax-Free Area(s) Memorandum (CPM)

To: SUNY Chancellor

From: President Katherine P. Douglas, Corning Community College

Re: Corning Community College Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan")

Date: June 8, 2016

For campus Office of the President:

The arrangement documented in the attached Campus Plan is aligned to the academic mission of Corning Community College and in accordance with all SUNY policies, procedures, and guidelines.

Katherine P. Douglas

Signature of campus President

Katherine P. Douglas

Print Name

-----FOR SUNY SYSTEM ADMINISTRATION USE ONLY-----

For SUNY's START-UP NY Proposal Review Team Co-Chair: It is recommended by the SUNY START-UP NY Proposal Review Team that SUNY approve ~~reject~~ the attached Campus Plan:

Jeffrey A. Boyce

Proposal Review Team Co-Chair

6-24-16

Date

Jeffrey A. Boyce

Print Name

For SUNY Office of the Chancellor:

The attached Campus Plan is hereby approved ~~rejected~~ for campus submission to the NYS Commissioner of Economic Development.

Eileen McLoughlin

Signature of the Chancellor or designee

6/28/16

Date

Eileen McLoughlin

Print Name

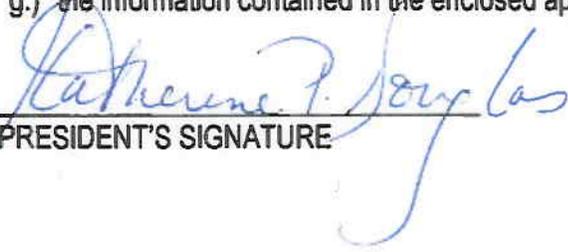


The State University of New York

To: Howard Zensky, President & CEO of Empire State Development and Commissioner of NYS
From: President Katherine P. Douglas of Corning Community College
Re: Corning Community College Campus Plan for Designation of Tax-Free Area(s)
Date: June 8, 2016

I, President Douglas of Corning Community College hereby certify the following:

- a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality or municipalities in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and
- b.) we comply with Public Officers Law Section 74; State University of New York's Policy on Conflict of Interest and; attached copies of the polices and/or guidelines herewith; and
- c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and
- d.) we are aware of the non-governmental use limitations associated with state issued tax exempt bonds and if our proposed Tax-Free NY Area was financed with tax exempt bonds, we will: 1.) make potential businesses aware of these limitations when marketing property; and 2.) take appropriate steps to ensure that non-governmental use of property funded with tax-exempt bonds will not jeopardize the tax exempt status of state issued bonds; and
- e.) we consulted with the municipality or municipalities in which such land or space is located prior to including such space or land in the proposed Tax-Free NY Area and we have given preference to underutilized properties; and
- f.) we have not relocated or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, parking, or any other facility, space or program that actively serves students, faculty or staff in order to created vacant land or space to be designated as a Tax-Free NY Area; and
- g.) the information contained in the enclosed application is accurate and complete.


PRESIDENT'S SIGNATURE

6-9-16
DATE



START-UP NY CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name: Corning Community College
Campus Contact Name: Katherine P. Douglas, Ed.D.
Campus Contact Title: President
Campus Contact E-mail: president@corning-cc.edu
Campus Contact Phone: (607) 962-9232

THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

- 1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:
 - i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

Name: Corning Community College
Campus Address: 1 Academic Drive
Address(es) of Proposed Tax-Free NY Area(s): Site 1: 1250 Schweizer Rd., Horseheads, NY 14845 (vacant) Site 2: 326 Daniel Zenker Drive, Big Flats, NY 14814 (vacant) Site 3: 9183 Lindley Presho School Rd., Lindley, NY 14858 (vacant)
Description of Physical Characteristics of Proposed Tax-Free NY Area(s): 1250 Schweizer Road (80,220 sf) –The former Schweizer facility is located off campus with a combination of office, research, manufacturing, and hanger space. Great opportunity for manufacturing, automotive/aircraft refinishing or call center company. Borders Elmira-Corning Regional Airport with potential for runway access. 3/4 mile to I-86 and twenty minutes from PA border. <i>A waiver request letter has been included in the Campus Plan packet. Please refer to the letter to Commissioner Zemsky.</i> 326 Daniel Zenker Drive (62,142 sf) –The former Corning Shared Services building is within one mile of Corning Community College’s Airport Corporate Park campus at 360 Daniel Zenker Drive, Horseheads, NY. The two-story office building has a good mix of executive offices, conference rooms, open cubical areas, meeting / presentation rooms, break rooms, grand entrance-way and a cafeteria. Parking is available next to the building; fiber, cable and/or satellite communications are available in this mixed-use industrial park.

9183 Lindley Presho School Road (23,145 sf)– The former Lindley-Presho Elementary school is located off campus with a combination of office, classroom, conference, and storage space, along with a cafeteria. Seven miles to I-86 and less than 6 miles to the PA border. The Lindley-Presho Elementary building has been vacant for 5 years. CCC has selected this under-utilized property based on its proximity to the I-86 corridor, lack of long-term tenants, debt-free and tax roll status. In addition, the Lindley-Presho Elementary building site has tremendous renovation potential to support new business endeavors including light manufacturing, engineering, or other business services. *A waiver request letter has been included in the Campus Plan packet. Please refer to the letter to Commissioner Zemsky.*

- ii. Complete the Excel spreadsheet template provided with this document, noting the instructions on page 2. Include the official SUNY Physical Space Inventory (PSI) building number and a clear description of the spaces in the building or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Include only properties sought to be designated now and exclude potential sites that may be considered in the future (see 2a below). Spreadsheet attached to this plan.
- iii. Provide also a representation of each proposed site drawn in AutoCAD on a scaled campus map with boundaries drawn clearly. Two versions should be created; one including an imbedded layer from Google Earth or other aerial photograph of the property. The second version should exclude the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which ties to identifiers in the Excel spreadsheet. If digital files containing Polygon shapefile that delineates area for designation are available, provide these as well. Materials attached to this plan.
- iv. Provide a campus map with each proposed building shaded. Label each building with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. For each building shaded and labeled, include floor plans of all areas under consideration with the specific spaces clearly shaded and labeled with official PSI room numbers. If digital files containing Point shapefiles that provide locations of area for designation are available, provide these as well. Materials attached to this plan.

2) The total square footage of the space and/or acreage of land proposed for designation as a Tax-Free NY Area is:

165,507 sf

2a) *if applicable:* You may include here a description of any potential space or acreage of land that you may seek to designate as a Tax-Free NY Area under the START-UP NY Program in the future. This may include campus property that may become vacant, or other properties in your community that are not currently part of your campus but may be desirable for a company partner and with which you may consider an affiliation if an appropriate partner is identified. Do not include these properties in the Excel spreadsheet.

2b) *if applicable:* The total square footage of the space or acreage of land that you may propose to designate as a Tax-Free Area as identified in 2a, if known.

- 3) Provide a description of the type of business or businesses that may locate in the area identified in #1.

As a premier two-year college in Corning, NY, CCC offers 40 academic programs (including four online programs), more than 800 courses, and customized business training opportunities to support students of all ages and backgrounds in our community. Founded in 1957, CCC began modestly in a scattering of old buildings in the city of Corning. Today, we encompass a 550-acre main campus on Spencer Hill with a complex of eight buildings, plus an observatory, planetarium and nature center. With numerous off-campus sites throughout Steuben, Chemung and Schuyler counties, annually CCC educates thousands of students throughout the region.

In partnership with CCC's START-UP NY Advisory Committee, CCC has selected space with the goal of attracting a diverse group of advanced manufacturers, smart energy, e-commerce, and healthcare industry partners whose products, processes, tools, and techniques will enhance and expand on current CCC and regional economic development initiatives. CCC is in a unique position to attract START-UP NY businesses due to its proximity to other vital initiatives occurring within the Southern Tier region. Specifically, CCC and START-UP NY businesses have access to: Governor Cuomo's Innovation Hot Spot program which supports research/development/technology through partnerships between the Ceramics Corridor Incubator in Painted Post, NY and Cornell and Binghamton Universities; access to Corning Inc., a leader in emerging research and design; and a strong partnership with the Regional Economic Development Council of the Southern Tier. Corning Community College is also a partner in the Southern Tier STEM Academy, the first P-Tech high school in our region to support an educated and sustainable workforce.

Corning Community College is open to exploring appropriate new business opportunities for START-UP NY to contribute to a robust upstate economy. However, CCC's primary educational focus for this initiative will be to exemplify the mutual goals expressed in CCC's mission, the Southern Tier Regional Economic Development Council's (STREDC) Plan, and current program offerings.

Businesses selected for START-UP NY will fall into the advanced manufacturing, smart energy, e-commerce, and healthcare categories and use tools, technologies, and techniques that can be supported by both CCC's non-credit and credit programs. Examples of technologies include: design (computer-aided design, SolidWorks); planning and control (Six Sigma, Lean); Technology (Computer Numerically Controlled (CNC) equipment, electronics, and advanced manufacturing), Robotics, and other automated equipment. Programs that support e-commerce include non-credit programs in Customer Service and Supervisory Training and credit programs in Business Administration concentrations in Administrative Assistant and Sales and Customer Service.

- 4) Provide a description of the campus academic mission, and explain how the businesses identified in #3 will align or further the academic mission of the university or college.

Corning Community College serves lifelong learners in our region by providing access to high-quality, affordable transfer, career, and workforce development educational opportunities. CCC's learning environment fosters empowerment, leadership, and teamwork for academic, professional, and personal success. We cultivate local collaboration and global awareness for social, environmental, and economic sustainability.

Through the collaborative partnership that will evolve between CCC and participating START-UP NY businesses, significant opportunities will develop to support and further CCC's overall vision as "a premier community college where learning transforms lives." Specifically, START-UP NY

business partnerships will:

- Nurture post-secondary credential attainment through scholarships;
- Create a climate for innovation where students and faculty can be exposed to cutting edge technology through co-ops, internships, service learning projects, makers' space and on-the job training experiences;
- Improve the business climate by supporting the creation of new jobs and support a continuous life-long learning model; and
- Provide a workforce that supports the needs of manufacturing for the 21st century;

CCC possesses the following programs to support advanced manufacturing and smart energy companies: non-credit programs: Welding, Six Sigma, Lean, and Solidworks®; and credit programs: Chemical Technology (AAS), Computer Aided Drafting (CAD) (certificate), Computer Science/Computer Information Science (AS), Electrical Technology-Electronics (AAS), Engineering Science (AS), Machine Tool Technology (AAS and certification programs), Manufacturing Technology (AAS), Mechanical Technology (AAS), and Numerical Control Programming (certificate). Programs that support the healthcare industry include non-credit programs: Medical Billing and Coding, Lean, Non-Profit Management, Lean for Healthcare; and credit programs in Business Administration concentrations in Human Services (AAS). Programs that support e-commerce include non-credit programs in Customer Service and Supervisory Training and credit programs in Business Administration concentrations in Administrative Assistant and Sales and Customer Service.

- 5) Provide a description of how participation by these types of businesses in the START-UP NY Program will generate positive community and economic benefits, including but not limited to:
- Increased employment opportunities;
 - Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
 - Diversification of the local economy;
 - Environmental sustainability;
 - Increased entrepreneurship opportunities;
 - Positive, non-competitive and/or synergistic links to existing businesses;
 - Effect on the local economy; and
 - Opportunities as a magnet for economic and social growth.

Attracting new START-UP NY businesses will create the opportunity for area businesses, education, and communities to develop robust private-public collaborations that target: 1) increased employment opportunities; 2) increased educational attainment of students/individuals; 3) increased pool of qualified workers to support new and existing businesses, and 4) possible diversification of the regional economy. Specifically, through employer-based co-ops, internships, scholarships, and mentoring CCC students and faculty will be exposed to and remain current with the ever changing technology and methods within the 21st century advanced manufacturing sector. START-UP NY businesses and CCC will benefit from services/programming through accessing support through the Southern Tier Innovation Hot Spot, possible future funding through STREDC's Consolidated Funding Application, and opportunities to access Corning Inc.'s Sullivan Park Research Center scientists and processes whose depth of knowledge of materials science and process engineering is at the cutting edge of new technologies for the 21st century.

CCC envisions the partnerships with START-UP NY businesses to have positive impact on systemic issues of education and training in the region. Educational attainment in CCC's service area is notably lower than that of the state as a whole. Based on 2010 US Census data 67.1%,

67.8%, and 71.7% of residents in Steuben, Chemung, and Schuyler Counties respectively have not earned the equivalent of an associate's degree or higher in comparison to the 59% of New York residents with less than a two year degree.

Advanced manufacturing is a sector in which New York State and the U.S. has an opportunity to retain (or, perhaps reclaim in certain sectors) its competitive advantage. However, as noted in Southern Tier Regional Economic Development Council's 2011-2016 Strategic Economic Development plan, "[t]he workforce must be prepared to support emerging growth industries, [and] the region must attract and retain an educated workforce that has the specialized talent and desire to be part of the resurgence of the Southern Tier's economy" (p. 11). Advanced manufacturing jobs often require two year degrees or certificates and/or continuing professional training and education to be available for both workers and employers to remain competitive and productive. According to the most recent US Census data there are 82 employers in Steuben County, 93 in Chemung and 29 in Schuyler designated as manufacturing businesses with NAICS codes beginning with 31-33.

Although national trends show that manufacturing continues to decline, the demand for Advanced Manufacturing in the Southern Tier remains stable, suggesting an opportunity for growth. STREDC Board's 2013 Comprehensive Economic Development Strategy notes that while manufacturing in the tri-county area has generally followed national trends, manufacturing continues to remain stable in the region and responsive to market demands. The counties of Steuben, Chemung, and Schuyler have thus been able to continue a long history of stable and adaptable manufacturing sectors as noted in the percentage of employees 25 years or older in the manufacturing sector. According to the most recent U.S. Census data, the percentage of workers in manufacturing in Steuben (21%), Chemung (16%), and Schuyler (14%) is two to three times greater than the State as a whole (7%).

Health Care (Guthrie Corning Hospital; Arnot Health; Chemung/Schuyler/Steuben County Health Departments; Bath Veterans Affairs – health care system) The region has local, regional and national quality health care providers committed to delivering the best outcomes at the lowest cost. Each of the region's five integrated health care systems has adopted electronic medical records systems to enhance case management, quality improvement, and cost-efficient health care delivery. Each also operates an organized recruitment program to address the projected need for qualified technicians and other health care professionals.

Smart Energy (Hunt Engineers) The Southern Tier region is rich in natural resources. Private sector corporate leaders are deeply involved in energy technology research and manufacturing, and some of the state's most forward-thinking energy curricula are in place now at Corning Community College. Additionally, the Steuben County Industrial Development Agency (wind and solar farm firms), Steuben County's primary resource for business development services, will work with Corning Community College on implementation to build networks and additional business partnerships for the program, particularly in the Smart Energy sector.

CCC's START-UP NY program will support STREDC's commitment to support meaningful and measurable progress toward the implementation of a key objective of attracting talent and investment to New York State and other state-wide initiatives such as Making Higher Education a Jobs Generator and Cross-regional Collaboration.

- 6) Provide a description of the process the Sponsor (campus) will follow to select participating businesses. The description should identify the membership of any group or committee that may make recommendations, the final decision-maker, and the criteria that will be used to make decisions. This group or committee must include representation from faculty governance. The criteria may include some or all of the following:

A. Academic and Research Alignment

1. Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
2. Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
3. Does the business provide areas for partnership and advancement for faculty and students?
4. Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
5. Will the business fund scholarships, campus facilities or other academic services or amenities?
6. Will the business and/or its employees contribute to instruction or provide student mentoring?
7. Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

B. Economic Benefit

1. How many net new jobs will be created?
2. Is the business viable in both the short- and long-term?
3. Will the business attract private financial investment?
4. Does the business plan to make capital investments (e.g., renovation, new construction)?
5. Are the new jobs in critical areas of the economy?
6. How will the University financially benefit from the terms of the lease?

C. Community Benefits

1. Does the business have the support of one or more municipal or community entities?
2. Is the business recruiting employees from the local workforce?
3. Does the business invest in underserved, economically distressed regions?
4. Will the business rely on suppliers within the local and regional economy?

Potential START-UP NY businesses will complete the START-UP NY Business Application Participation package and submit it to CCC's Office of Workforce Education and Academic Pathways. CCC has formed an internal work group consisting of: the College's President, Vice President/Administrative Services, Vice President/Academic Affairs, and the Executive Director, Workforce Education and Academic Pathways; along with a faculty governance representative from (full-time) Business Administration faculty who will assess the above criteria.

In the instance that the above criteria are met, the College President will recommend approval of the applicant to CCC's Regional Board of Trustees. Once approved by CCC's Regional Board of Trustees, the application along with any other supporting documentation will be submitted to the Commissioner of the Department of Economic Development for further review and approval. If CCC's Regional Board of Trustees does not approve the recommendation, the application will be returned to the business applicant for further work or be declined.

Distribution of plan in advance of submission to Commissioner.

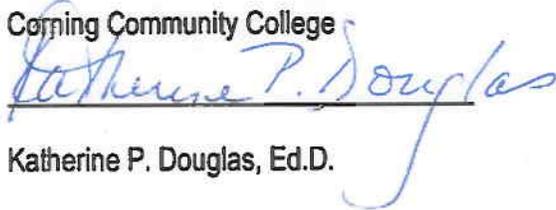
This plan was sent and/or presented to the following: CCC Student Government; Chemung County Executive; Executive Director of Southern Tier Economic Growth; Town Supervisors in Big Flats and Horseheads, NY; CCC Faculty Assembly; CCC Professional Support Staff; CCC Administrator Assemblies; Public Safety Officers Union, Council 82; Professional Educators of Corning Community College (PECCC); and the Civil Service Employees Association (CSEA). The college received no written response to this plan.

Certifications and Attestations

1. Corning Community College will comply with the anti-competition provision of the START-UP statute in the selection of business applicants.
2. The proposed tax-free areas have not been financed with any tax-exempt bonds, therefore, will not jeopardize the tax-free bond status of the college or campus.
3. The plan includes off-campus properties that are currently vacant.
4. No college program will be relocated or eliminated to implement this plan.
5. The information contained in this document is accurate and complete.

Respectfully submitted this 8th day of June, 2016

Corning Community College



Katherine P. Douglas, Ed.D.

President

PUBLIC OFFICERS LAW

§ 74. Code of ethics.

1. Definition. As used in this section: The term "*state agency*" shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the finance law or their successors.

The term "*legislative employee*" shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. Rule with respect to conflicts of interest. No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his duties in the public interest.

3. Standards.

- a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his independence of judgment in the exercise of his official duties.
- b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him to disclose confidential information which he by reason of his official position or authority.
- c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him in the course of his official duties nor use such information to further his personal interests.
- d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.
- e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his official duties.
- f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his conduct give reasonable basis for the impression that any person can improperly influence him or unduly enjoy his favor in the performance of his official duties, or that he is affected by the kinship, rank, position or influence of any party or person.

- g. An officer or employee of a state agency should abstain from making personal investments in enterprises which he has reason to believe may be directly involved in decisions to be made by him or which will otherwise create substantial conflict between his duty in the public interest and his private interest.
- h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he is likely to be engaged in acts that are in violation of his trust.
- i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.

4. Violations. In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.

 <p>Category: HR / Labor Relations Legal and Compliance</p> <p>Responsible Office: University Counsel</p>	<p>Policy Title: Conflict of Interest</p> <p>Document Number: 6001</p> <p>Effective Date: October 01, 1995</p> <p>This policy item applies to: State-Operated Campuses</p>
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Table of Contents

[Summary](#)

[Policy](#)

[Definitions](#)

[Other Related Information](#)

[Procedures](#)

[Forms](#)

[Authority](#)

[History](#)

[Appendices](#)

Summary

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests and are required to avoid conflicts of interest. Where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by University policy. This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

Policy

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests. In keeping with this obligation, they are also required to avoid conflicts of interest.

In instances where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by this University policy. It is the responsibility of campus officials charged with implementing this policy to identify potential or actual conflicts of interest and take appropriate steps to manage, reduce, or eliminate them.

This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

1. University faculty and staff may not engage in other employment which interferes with the performance of their professional obligation.

2. University faculty and staff are expected to comply with the New York State Public Officers Law provisions on conflict of interest and ethical conduct.
3. University faculty and staff, to the extent required by law or regulation, shall disclose at minimum whether they (and their spouses and dependent children) have employment or financial interests or hold significant offices, in external organizations that may affect, or appear to affect, the discharge of professional obligations to the University.
4. University campuses shall ensure that all faculty and staff subject to pertinent laws and regulations disclose financial interests in accordance with procedures to be established by the Chancellor or designee. Campuses shall retain the reported information as required, identify actual or apparent conflicts of interest and seek resolution of such conflicts.
5. Each campus president shall submit to the chancellor's designee the name and title of the person or persons designated as financial disclosure designee(s) and shall further notify the chancellor's designee when a change in that assignment occurs. The chancellor's designee shall also be notified of any reports regarding conflict of interest that are forwarded to state or federal agencies.

Definitions

Conflict of interest — any interest, financial or otherwise, direct or indirect; participation in any business, transaction or professional activity; or incurring of any obligation of any nature, which is or appears to be in substantial conflict with the proper discharge of an employee's duties in the 'public interest. A conflict of interest is also any financial interest that will, or may be reasonably expected to, bias the design, conduct or reporting of sponsored research.

Other Related Information

[Outside Activities of University Policy Makers](#)

[Ethics in State Government - A Guide for New York State Employees](#)

[National Science Foundation, Grant Policy Manual](#)

Procedures

There are no procedures relevant to this policy.

Forms

There are no forms relevant to this policy.

Authority

[42 CFR 50, Subpart F](#)

The following link to FindLaw's [New York State Laws](#) is provided for users' convenience; it is not the official site for the State of New York laws.

[NYS Public Officers Law, Section 73-a, and 73 and 74](#)

In case of questions, readers are advised to refer to the New York State Legislature site for the menu of [New York State Consolidated](#).

[Board of Trustees Policies - Appointment of Employees \(8 NYCRR Part 335\)](#)

State University of New York Board of Trustees Resolution adopted June 27, 1995

History

Memorandum to presidents from the office of the University provost, dated June 30, 1995 regarding revision to University conflict of interest policy to bring it in conformity with federal guidelines issued by the National Science Foundation and the Public Health Service.

Appendices

There are no appendices relevant to this policy.

Policy Title: **START-UP NY Program Participation Policy**

Document Number: 6800

Effective Date: February 10, 2014

This policy item applies to:
Community Colleges
State-Operated Campuses

Table of Contents

Summary
Policy
Definitions
Other Related Information
Procedures
Forms
Authority
History
Appendices

Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21st century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development. This policy governs the review process that all participating campuses must follow to secure SUNY's approval of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program.

Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

Policy

A. Campus Plans for Designation of Tax-Free Area(s): Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor

6800 - START-UP NY Program Participation Policy

or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

B. Sponsoring University or College Applications for Business Participation: Any campus intending to submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Sponsor Applications within thirty (30) business days of receipt. Any rejected Sponsor Application shall be accompanied by an explanation of the basis for rejection. Once approved the campus may submit the Sponsor Application to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Sponsor Application that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Sponsor Applications must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

C. Delegations: The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.

D. Conflicts of Interest: Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 31st of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.

E. Exceptions: There are no exceptions to this policy.

Definitions

Business Interest means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

Official means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

Relative means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

Sponsoring College or University means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

START-UP NY Program means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article 21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

6800 - START-UP NY Program Participation Policy

21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

Other Related Information

[Start-Up NY Regulations](#); available at the [Start-Up NY Website](#).

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

[StartUp-NY.gov](#) website and program information.

Procedures

[START-UP NY Program Participation, Procedures for](#)

Forms

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Memorandum](#)

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Template](#)

[START-UP NY Sponsoring University or College Application for Business Participation Memorandum](#)

[ESD START-UP NY Sponsoring University or College Application for Business Participation](#)

[ESD START-UP NY Business Application Instructions](#)

[ESD START-UP Business Application](#)

Authority

State University of New York Board of Trustees Resolution 14-(), START-UP New York Program Administration, adopted January 14, 2014 Law, New York Economic Development Law Article 21 (Start-Up NY Program) [Start-Up NY Regulations](#)

History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

Effective: February 10, 2014 Page 3 of 4

6800 - START-UP NY Program Participation Policy

Appendices

There are no appendices relevant to this policy.

Effective: February 10, 2014 Page 4 of 4

June 7, 2016

Mr. Howard Zemsky
New York State Commissioner of Economic Development
625 Broadway St.
Albany, NY 12207

Dear Commissioner Zemsky,

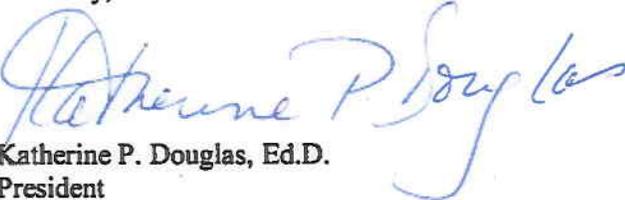
It is with great pleasure that Corning Community College (CCC) supports the collaborative partnership between Empire State Development, Governor Cuomo, and SUNY regarding the START-UP NY program. Through this collaboration, CCC envisions positive outcomes for business, students, and our community.

Due to lack of available space on campus to support the START-UP NY program, we are looking to designate 23,145 sq. ft. off-campus. CCC has partnered with Southern Tier Economic Growth (STEG) to locate under-utilized space for the START-UP NY program.

CCC would like to request exemption from the one-mile campus requirement as the space selected for START-UP NY is located more than one-mile from campus. Corning Community College, in partnership with Southern Tier Economic Growth (STEG), has identified the Lindley-Presho Elementary building, located at 9183 Lindley Presho School Road, Lindley, NY, for consideration in its campus plan. The Lindley-Presho Elementary building has been vacant for 5 years. CCC and STEG have selected this under-utilized property based on its proximity to the I-86 corridor, lack of long-term tenants, debt-free and tax roll status. In addition, the Lindley-Presho Elementary building site has tremendous renovation potential to support new business endeavors.

CCC has submitted its campus plan to all interested parties. The college has received no comments back from officials regarding the inclusion of the space in the START-UP NY program.

Sincerely,



Katherine P. Douglas, Ed.D.
President

June 7, 2016

Mr. Howard Zemsky
New York State Commissioner of Economic Development
625 Broadway St.
Albany, NY 12207

Dear Commissioner Zemsky,

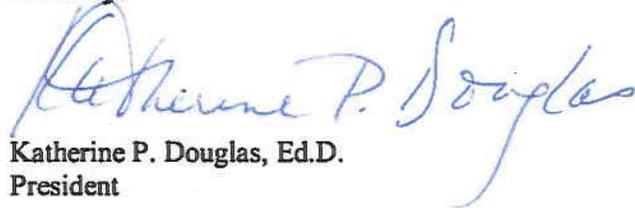
It is with great pleasure that Corning Community College (CCC) supports the collaborative partnership between Empire State Development, Governor Cuomo, and SUNY regarding the START-UP NY program. Through this collaboration, CCC envisions positive outcomes for business, students, and our community.

Due to lack of available space on campus to support the START-UP NY program, we are looking to designate 80,220 sq. ft. off-campus. CCC has partnered with Southern Tier Economic Growth (STEG) to locate under-utilized space for the START-UP NY program.

CCC would like to request exemption from the one-mile campus requirement as the space selected for START-UP NY is located more than one-mile from campus. Corning Community College, in partnership with Southern Tier Economic Growth (STEG), has identified space in the Schweizer building, located at 1250 Schweizer Road, Horseheads, NY, for consideration in its campus plan. The Schweizer facility was donated by UTC/Sikorsky to the Chemung County IDA in March 2012. The building has been vacant since that date and stands alone on 43 acres. CCC and STEG have selected this under-utilized property based on its proximity to the I-86 corridor, lack of long-term tenants, debt-free and tax roll status. In addition, the Schweizer building site has tremendous renovation potential to support new business endeavors.

CCC has submitted its campus plan to all interested parties. The college has received no comments back from officials regarding the inclusion of the space in the START-UP NY program.

Sincerely,



Katherine P. Douglas, Ed.D.
President

Location	UniqueID	Owner	PropertyType	StreetAddress	City	Zip	ParcelID	Building	SpaceType	SqFt	Description	onCampus	W/in1mileOfCampus	Latitude	Longitude
Big Flats	SU-307-2-B-1111-000-A	Chemung Cnty IDA	2	1250 Schweizer Rd.	Horsesheds	14845	57.2-2-59.1	Schweizer facility	G	80,220	Partial Building off campus	no	no	42.13N	76.93 W
Big Flats	SU-307-2-B-2222-000-A	Chemung Cnty IDA	2	326 Daniel Zenker Dr.	Big Flats	14834	67.03-1-7.211	Corning Shared Services	F	62,142	Entire Building off campus	no	yes	42.15205N	76.91564W
Lindley	SU-307-2-B-3333-000-A	Corning Painted Post School District	2	9183 Lindley Prescho School Rd.	Lindley	14858	369.07-01-006.000	Lindley-Precho Elementary	F	23,145	Entire Building off campus	no	no	42.082839N	-77.156776W

* 2= on campus
 2= 1 mile off campus
 3= State Asset
 ** A=entire building
 B=floor within building
 C=Room within building
 D=land on campus
 E= land off campus
 F=entire building off campus
 G=partial building off campus
 H=static asset

Designated Land or Buildings Unique ID Standard
 See Sheet 2 - SUAY Unique ID Codes



CCC START-UP NY



Legend

- Utility, Pipeline
- 300' Buffer Corridor
- CCC Campus
- 300-foot Gap
- Barren

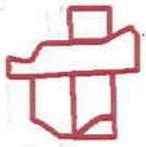
1 in = 2,000 feet



LINDLEY PRESHO BUILDING
UNIQUE ID #SU-307-2-B-3333-000-A
23,145 sf



**CORNING COMMUNITY COLLEGE
MAIN CAMPUS**



**CORNING COMMUNITY COLLEGE
CRIMINAL JUSTICE COMPLEX**



**CORNING COMMUNITY COLLEGE
SATELLITE CAMPUS**



CORNING SHARED SERVICES BUILDING
UNIQUE ID #SU-307-2-B-2222-000-A
62,142 sf



SCHWEIZER BUILDING
UNIQUE ID #SU-307-2-B-1111-000-A
80,220 sf



CORNING COMMUNITY COLLEGE
SATELLITE CAMPUS

CORNING SHARED SERVICES BUILDING
62,142 sf UNIQUE ID #SU-307-2-B-2222-000-A



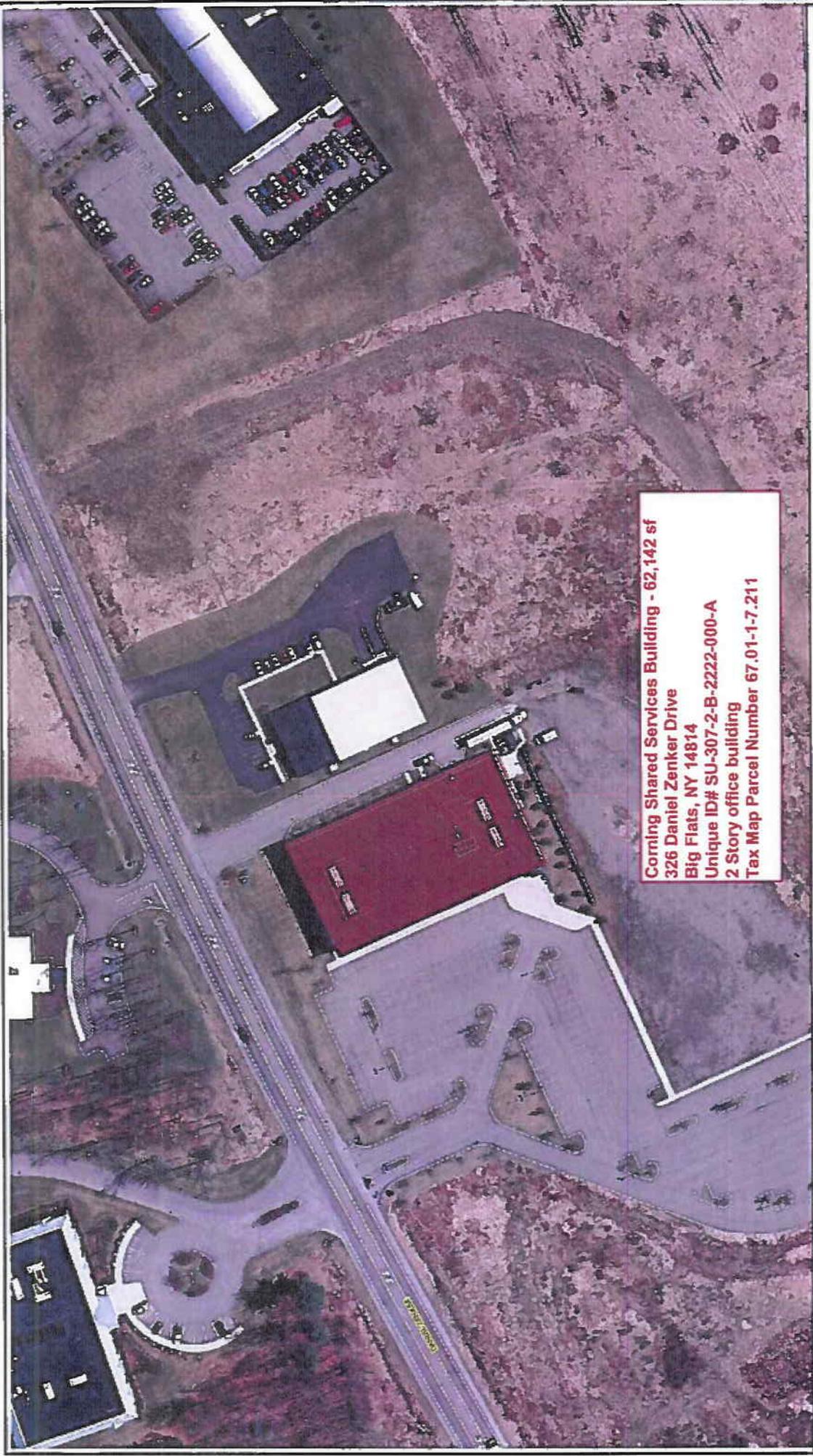
CCC START-UP NY

326 Daniel Zenker Drive

SU-307-2-B-2222-000-A
62,142 sf



1 in = 100 feet



Corning Shared Services Building - 62,142 sf
 326 Daniel Zenker Drive
 Big Flats, NY 14814
 Unique ID# SU-307-2-B-2222-000-A
 2 Story office building
 Tax Map Parcel Number 67.01-1-7.211

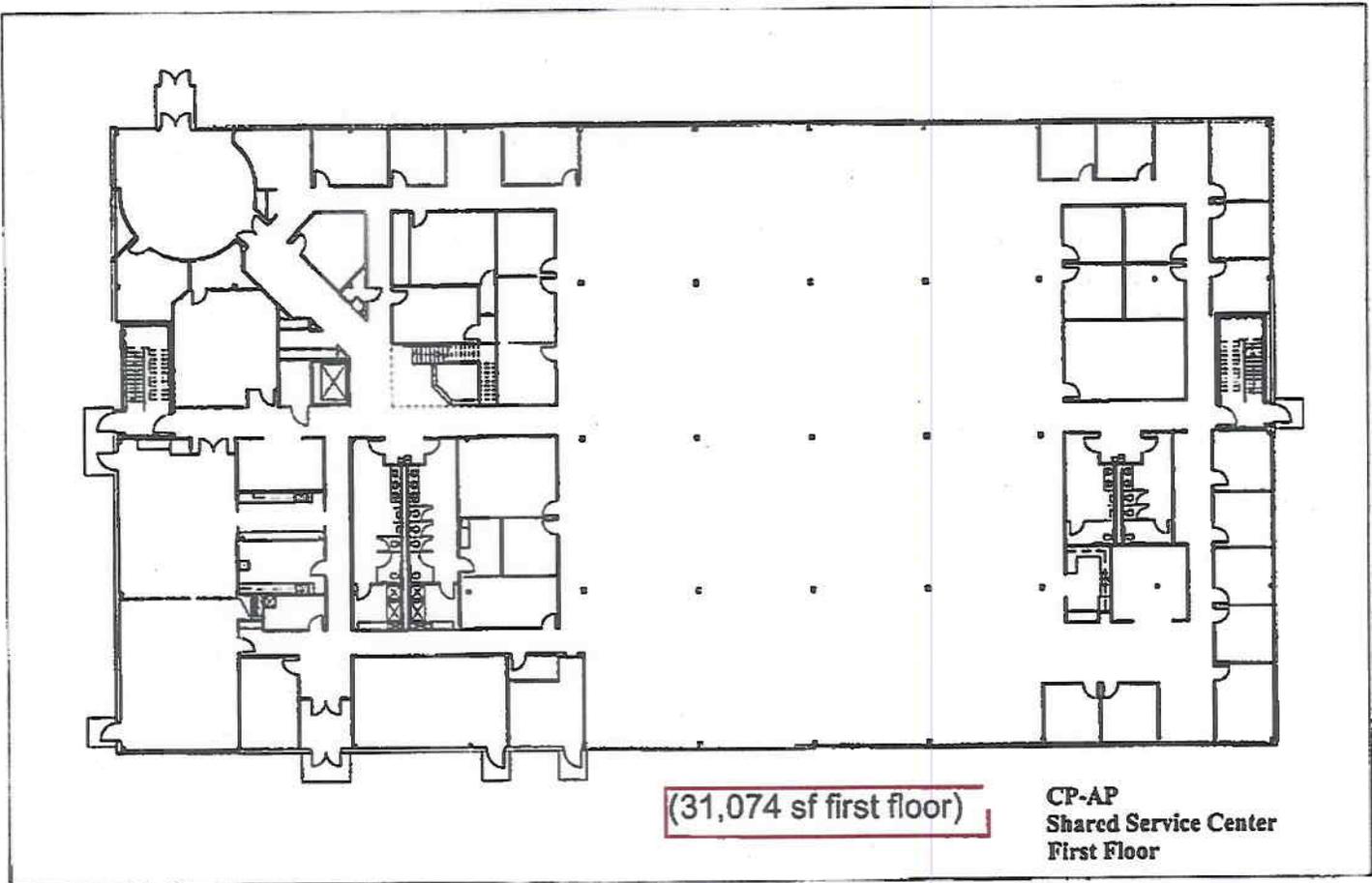
Legend
 Corning Shared Services

1 in = 47 feet

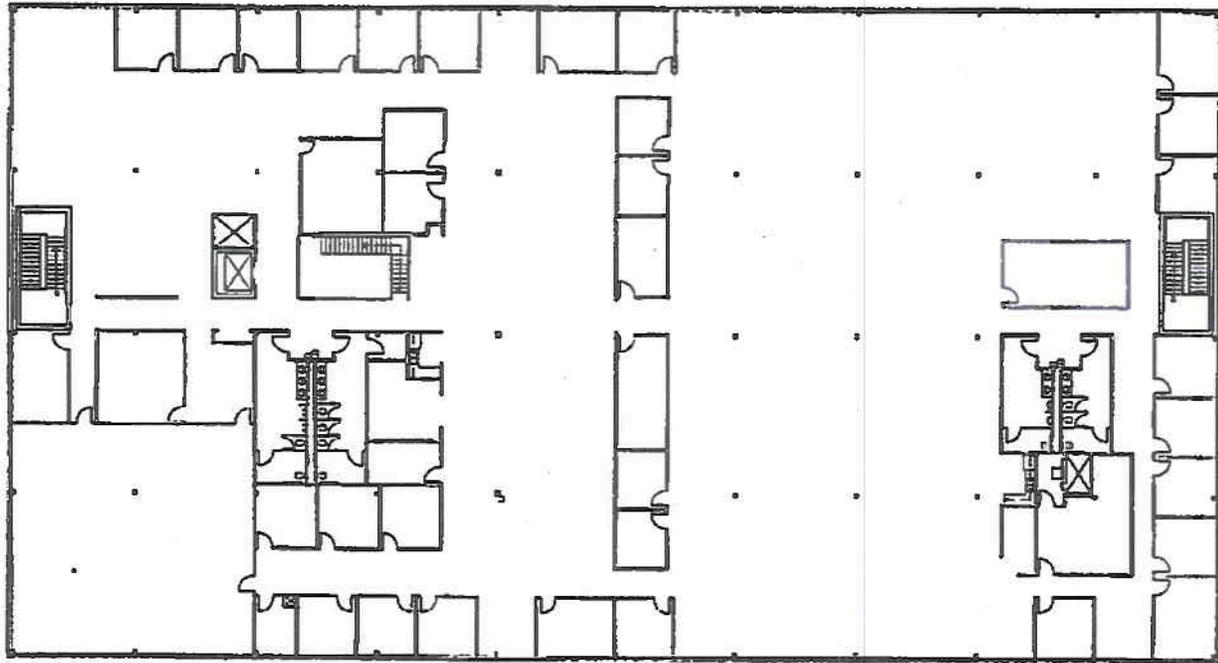
CCC START-UP NY

326 Daniel Zenker Drive





326 Daniel Zenker Drive
Big Flats, New York
Start-Up NY
Unique ID Number: SU-307-2-B-2222-000-A
62,142 Square Feet
2 Story Office Building
Tax Map Parcel #67.01-1-7.211



31,068 sf second floor

CP-AP
Shared Service Center
Second Floor

326 Daniel Zenker Drive
Big Flats, New York
Start-Up NY
Unique ID Number: SU-307-2-B-2222-000-A
62,142 Square Feet
2 Story Office Building
Tax Map Parcel #67.01-1-7.211



Schweizer Site - 80,220 sq ft
Unique ID #SU-307-2-B-111-300-A
**1250 Schweizer Road
Horseheads, NY 14845**



CCC START-UP NY

Schweizer Site



SU-307-2-B-111-300-A
80,220 sq ft

Legend
Schweizer Site
Street

1 in = 34 feet



Schweizer Site - 80,220 s/f
Unique ID #SU-307-2-B-1111-000-A

**1250 Schweizer Road,
Horseheads, NY 14845**

Corning
Community
College
Satellite Campus

Legend

- CCC Campus
- Schweizer Site
- Street

SU-307-2-B-1111-000-A
80,220 square feet

1 in = 330 feet

CCC START-UP NY

Schweizer Site



0 240 480 960 1,440 1,920 Feet



Lindley Presho Elementary -- 23,145 sf
9183 Lindley Presho School Road
Lindley, NY 14858
Unique ID #SU-307-2-B-3333-000-A

CCC START-UP NY

Lindley-Presho Elementary School

Legend
Library, Parking
Streets

SU-307-2-B-3333-000-A
23,145 sf



1 in = 40 feet



LINDLEY PRESHO BUILDING --- 23,145 square feet
9183 Lindley Presho School Rd.
Lindley, NY 14858
UNIQUE ID #SU-307-2-B-3333-000-A
Tax Map Parcel #369.07-01-006.000

